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07-08-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and

100756554

original documents or copy thereof.

1. Name of conveying party(ies):

Novartis Corporation
formerly known as
Ciba-Geigy Corporation

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State Delaware
☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other Nunc Pro Tunc Assignment

effective January 1, 1997

Execution Date: June 29, 1998

2. Name and address of receiving party(ies):

Name: Novartis Finance Corporation

Internal Address: _____

Street Address: 608 Fifth AvenueCity: New York State: NY ZIP: 10020

- ☐ Individual(s) citizenship _____
☐ Association _____
☐ General Partnership _____
☐ Limited Partnership _____
☒ Corporation-State New York
☐ Other _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark registration No.(s)

1,082,190

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Keith E. Sharkin, Esq.Internal Address: Nims, Howes, Collison,
Hansen & LackertStreet Address: 605 Third Avenue, Suite 3500City: New York State: NY ZIP: 10158

6. Total number of applications and registrations involved: _____

1

7. Total fee (37 CFR 3.41): _____ \$ 40.00

- ☐ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathryn Jennison Shultz

Name of Person Signing

Signature

TRADEMARK

Date

July 1, 1998

REEL: 1749 FRAME: 0006

Total number of pages comprising cover sheet:

1

NUNC PRO TUNC

TRADEMARK ASSIGNMENT

WHEREAS, NOVARTIS CORPORATION (by way of merger and change of name from Ciba-Geigy Corporation), a Delaware corporation, with a principal place of business at 564 Morris Avenue, Summit, NJ 07901 (hereinafter "Assignor"), has adopted, used, and is using the mark NINE-O for "herbicide" (the "Trademark") and is the owner of Registration No. 1,082,190 in the U.S. Patent and Trademark Office; and

WHEREAS, NOVARTIS FINANCE CORPORATION, a New York corporation, and an affiliated company of Novartis Corporation, with a principal place of business at 608 Fifth Avenue, New York, New York 10020 (hereinafter "Assignee"), desires to acquire the Trademark and the goodwill of the business pertaining thereto along with the registration therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby assigns and transfers its entire right, title and interest in and to the Trademark and the registration therefor, effective as of January 1, 1997, together with the goodwill of the business pertaining thereto, to Assignee.

NOVARTIS CORPORATION

By: Wayne P. McKelso

Name: Wayne P. McKelso

Title: V P & Assoc General Counsel

TRADEMARK

REEL: 1749 FRAME: 0007

ACKNOWLEDGEMENT

STATE OF NEW JERSEY)
COUNTY OF Union) ss:

On this 29th day of June, 1998, before me personally appeared Wayne P. Merkelson, to me known, who, being by me duly sworn, did depose and say that he/she is V.P. Assoc. Gen. Counsel of Novartis Corporation, the Assignor above-named, and acknowledged that he/she executed the foregoing Assignment on behalf of said Assignor and pursuant to authority duly received.

Lorraine F. Williams
NOTARY PUBLIC

LORRAINE F. WILLIAMS
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 28, 2002