

07-08-1998

SHEET  
15U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents

100756553

attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Sandoz Corporation  
merged into  
Ciba-Geigy Corporation  
which changed its name to

- ☐ Individual(s) ☐ Association  
☐ General Partnership ☐ Limited Partnership  
☒ Corporation-State Delaware  
☐ Other \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☒ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Other \_\_\_\_\_ effective January 1, 1997

Execution Date: December 26, 1996

## 2. Name and address of receiving party(ies):

Name: Novartis Corporation

Internal Address: \_\_\_\_\_

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901

- ☐ Individual(s) citizenship \_\_\_\_\_  
☐ Association \_\_\_\_\_  
☐ General Partnership \_\_\_\_\_  
☐ Limited Partnership \_\_\_\_\_  
☒ Corporation-State Delaware  
☐ Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark registration No.(s)

1,082,190

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Keith E. Sharkin, Esq.

Internal Address: Nims, Howes, Collison,

Hansen &amp; Lackert

Street Address: 605 Third Avenue, Suite 3500

City: New York State: NY ZIP: 10158

## 6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathryn Jennison Shultz

Name of Person Signing

Signature

TRADEMARK

Date

July 1, 1998

REEL: 1 Total number of pages comprising cover sheet: 1

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CERTIFICATE OF MERGER  
OF  
SANDOZ CORPORATION  
INTO  
CIBA-GEIGY CORPORATION  
UNDER SECTION 904 OF THE BUSINESS CORPORATION LAW

We, the undersigned, Jeff Benjamin and Bruce J. Brumberg, being respectively Vice President, General Counsel and Secretary, and Assistant Secretary of Ciba-Geigy Corporation and Robert L. Thompson, Jr. and Wayne P. Markelson, being respectively Vice President, General Counsel and Secretary, and Vice President, Associate General Counsel and Assistant Secretary of Sandoz Corporation hereby certify:

FIRST: The name of the constituent corporation which is to be the surviving corporation is Ciba-Geigy Corporation and the name under which it was formed is Ardsley Chemical Corporation. The date upon which its Certificate of Incorporation was filed by the Department of State is November 15, 1966.

SECOND: The name of the other constituent corporation which is being merged into the surviving corporation is Sandoz Corporation, and the name under which it was formed is Todnas Holdings, Inc. The date upon which its Certificate of Incorporation was filed by the Department of State is December 22, 1976.

THIRD: The Board of Directors of each of the constituent corporations has duly adopted a Plan of Merger setting forth the terms and conditions of the merger of said corporations.

FOURTH: As to each constituent corporation, the designation and number of outstanding shares of each class and series and the voting rights thereof are as follows:

- (a) Designation, voting rights and number of shares in each class or series outstanding:
  - (1) For Ciba-Geigy Corporation:
    - (i) Common Stock, \$1.00 par value; voting; 72,230,756 shares outstanding
    - (ii) Common Stock, \$1.00 par value; nonvoting; 5,304 shares outstanding
  - (2) For Sandoz Corporation:
    - Common Stock, \$1.00 par value; voting; 1,000 shares outstanding

- (b) Shares entitled to vote as a class or series:  
(1) For Ciba-Geigy Corporation: None  
(2) For Sandoz Corporation: None

FIFTH: The merger was adopted by each constituent corporation in the following manner:

- (a) As to Sandoz Corporation, by the written consent of the sole shareholder.  
(b) As to Ciba-Geigy Corporation, by the written consent of the sole shareholder.

SIXTH: The Certificate of Incorporation of Ciba-Geigy Corporation is hereby amended as follows:

- (a) Article 1 (concerning the name of the corporation) of the Certificate of Incorporation is hereby deleted in its entirety and replaced with:

"The name of the corporation shall be Novartis Corporation."

SEVENTH: The effective date of the merger shall be January 1, 1997.

IN WITNESS WHEREOF, we have signed this certificate on the 26 day of December, 1996, and we affirm the statements contained therein as true under penalties of perjury.

CIBA-GEIGY CORPORATION

By: Jeff Benjamin  
Name: Jeff Benjamin  
Title: Vice President  
By: Bruce Brumberg  
Name: Bruce Brumberg  
Title: Assistant Secretary

SANDOZ CORPORATION

By: Robert L. Thompson, Jr.  
Name: Robert L. Thompson, Jr.  
Title: Vice President  
By: Wayne P. Markelson  
Name: Wayne P. Markelson  
Title: Assistant Secretary

tek:cofmerger

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