

07-31-1998



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commission

the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Ciba-Geigy Corporation**

- |   |  |
|---|--|
| <input type="checkbox"/> Individual(s)                | <input type="checkbox"/> Association         |
| <input type="checkbox"/> General Partnership          | <input type="checkbox"/> Limited Partnership |
| <input checked="" type="checkbox"/> Corporation-State | <input type="checkbox"/>                     |
| <input type="checkbox"/> Other                        |  |

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- |   |  |
|---|--|
| <input type="checkbox"/> Assignment         | <input checked="" type="checkbox"/> Merger         |
| <input type="checkbox"/> Security Agreement | <input checked="" type="checkbox"/> Change of Name |
| <input type="checkbox"/> Other              |  |

Execution Date: **January 1, 1997**

2. Name and address of receiving party(ies):

Name: **Novartis Corporation**

Internal Address:

Street Address: **564 Morris Avenue**

City: **Summit** State: **NJ** Zip: **07901**

- |   |                 |
|---|-----------------|
| <input type="checkbox"/> Individual(s) citizenship    |                 |
| <input type="checkbox"/> Association                  |                 |
| <input type="checkbox"/> General Partnership          |                 |
| <input type="checkbox"/> Limited                      |                 |
| <input checked="" type="checkbox"/> Corporation-State | <b>Delaware</b> |
| <input type="checkbox"/> Other                        |                 |

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s) **820,563**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Barry A. Solomon**

Internal Address: **Patent and Trademark Department**

Street Address:

**564 Morris Avenue**

City: **Summit** State: **NJ** Zip: **07901-1027**

6. Total number of applications and registrations involved: **1**

7. Total fee (37 CFR 3.41) .....\$ **40.00**

- |   |
|---|
| <input type="checkbox"/> Enclosed   |
| <input checked="" type="checkbox"/> Authorized to be charged to deposit account |

8. Deposit account number:

**50-0430**

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature. *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Barry A. Solomon**

Name of Person Signing

Signature

**July 28, 1998**

Date

Total number of pages including cover sheet, attachments, and document: **10**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK  
REEL: 1762 FRAME: 0114

07/30/1998 DCDATES 00000067 300430 820563

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CSC 45

961231000673 #7

CERTIFICATE OF MERGER

OF

SANDOZ CORPORATION

INTO

CIBA-GEIGY CORPORATION

UNDER SECTION 904 OF THE BUSINESS CORPORATION LAW

CURTIS, MALLET-REVOST, COLT &  
MOSLE  
101 PARK AVE.  
SUITE 3500  
NEW YORK, NY 10178

GIR

PK

ICC

STATE OF NEW YORK  
DEPARTMENT OF STATE

FILED DEC 31 1996

TAX \$

BY:

West

BILLED

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961231000652

TRADEMARK

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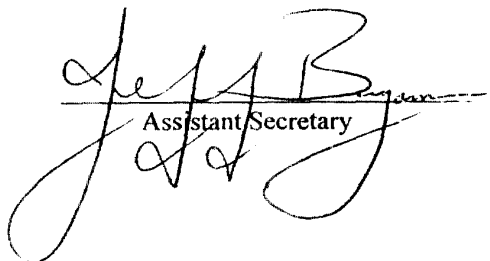
DEC 21 11 09 AM '96

CERTIFICATE

I, Jeff Benjamin, Assistant Secretary of Novartis Corporation, a New York corporation  
(the "Corporation"), DO HEREBY CERTIFY as follows:

1. Attached hereto as Exhibit A is a true and correct copy of the Certificate of Merger of Sandoz Corporation into Ciba-Geigy Corporation Under Section 904 of the Business Corporation Law of the State of New York (the "Certificate of Merger"), which was duly filed with the Secretary of the State of New York on December 31, 1996 and was effective as of January 1, 1997. Pursuant to the Certificate of Merger, Ciba-Geigy Corporation was the surviving corporation and its name was changed to Novartis Corporation effective as of January 1, 1997.
2. Attached hereto as Exhibit B is a true, correct and complete copy of resolutions duly adopted by the Board of Directors of the Corporation on December 20, 1996 and such resolutions are now in full force and effect.

IN WITNESS WHEREOF I have hereunto subscribed my name and affixed the seal of said  
Corporation this sixth day of January, 1997.

  
Assistant Secretary

Document #: 17890

CSC 45

f961231000613

CERTIFICATE OF MERGER  
OF  
SANDOZ CORPORATION  
INTO  
CIBA-GEIGY CORPORATION  
UNDER SECTION 904 OF THE BUSINESS CORPORATION LAW

We, the undersigned, Jeff Benjamin and Bruce J. Brumberg, being respectively Vice President, General Counsel and Secretary, and Assistant Secretary of Ciba-Geigy Corporation and Robert L. Thompson, Jr. and Wayne P. Merkelson, being respectively Vice President, General Counsel and Secretary, and Vice President, Associate General Counsel and Assistant Secretary of Sandoz Corporation hereby certify:

FIRST: The name of the constituent corporation which is to be the surviving corporation is Ciba-Geigy Corporation and the name under which it was formed is Ardsley Chemical Corporation. The date upon which its Certificate of Incorporation was filed by the Department of State is November 15, 1966.

SECOND: The name of the other constituent corporation which is being merged into the surviving corporation is Sandoz Corporation, and the name under which it was formed is Zodnas Holdings, Inc. The date upon which its Certificate of Incorporation was filed by the Department of State is December 22, 1976.

THIRD: The Board of Directors of each of the constituent corporations has duly adopted a Plan of Merger setting forth the terms and conditions of the merger of said corporations.

FOURTH: As to each constituent corporation, the designation and number of outstanding shares of each class and series and the voting rights thereof are as follows:

- (a) Designation, voting rights and number of shares in each class or series outstanding:
  - (1) For Ciba-Geigy Corporation:
    - (i) Common Stock, \$1.00 par value; voting; 72,230,756 shares outstanding
    - (ii) Common Stock, \$1.00 par value; nonvoting; 5,304 shares outstanding
  - (2) For Sandoz Corporation:
    - Common Stock, \$1.00 par value; voting; 1,000 shares outstanding

EXHIBIT A

TRADEMARK  
REEL: 1762 FRAME: 0117

- (b) Shares entitled to vote as a class or series:  
(1) For Ciba-Geigy Corporation: None  
(2) For Sandoz Corporation: None

FIFTH: The merger was adopted by each constituent corporation in the following manner:

- (a) As to Sandoz Corporation, by the written consent of the sole shareholder.  
(b) As to Ciba-Geigy Corporation, by the written consent of the sole shareholder.

SIXTH: The Certificate of Incorporation of Ciba-Geigy Corporation is hereby amended as follows:

- (a) Article 1 (concerning the name of the corporation) of the Certificate of Incorporation is hereby deleted in its entirety and replaced with:

"The name of the corporation shall be Novartis Corporation."

SEVENTH: The effective date of the merger shall be January 1, 1997.

IN WITNESS WHEREOF, we have signed this certificate on the 26 day of December, 1996, and we affirm the statements contained therein as true under penalties of perjury.

CIBA-GEIGY CORPORATION

By: Jeff Benjamin  
Name: Jeff Benjamin  
Title: Vice President

By: Bruce Brumberg  
Name: Bruce Brumberg  
Title: Assistant Secretary

SANDOZ CORPORATION

By: Robert L. Thompson, Jr.  
Name: Robert L. Thompson, Jr.  
Title: Vice President

By: Wayne P. Markelson  
Name: Wayne P. Markelson  
Title: Assistant Secretary

tek:cofmerger

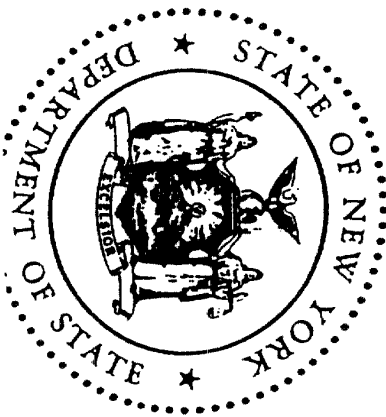
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State of New York }  
Department of State } ss:

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.

Witness my hand and seal of the Department of State on

JAN 03 1997



Special Deputy Secretary of State

A handwritten signature in cursive script, appearing to read "J. Clark", written over a horizontal line.

TRADEMARKS

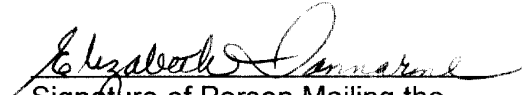
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Mailing Label Number EL073131727US

Date of Mailing July 28, 1998

I hereby certify that on the date indicated above this

Certificate of Merger for Recordation in the Patent and Trademark Office  
Assignment Branch of Ciba-Geigy Corporaton to Novartis Corporation, for  
the marks PRAMITOL Registration No. 820,563, is being deposited with  
the United States Postal Service as Post Office to Addressee Express Mail  
addressed to BOX ASSIGNMENTS, Commissioner of Patents &  
Trademarks, Washington, D.C. 20231.

  
Signature of Person Mailing the  
Change of Name Recordation Form

Elizabeth Iannarone  
Printed or Typed Name of Person Mailing  
the Certificate of Merger for Recordation Form