

08-07-1998

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



MIRD 7-31-98

To the Honorable Commissioner

100787450

attached original documents or copy thereof.

1. Name of conveying party(ies):

**Novartis Nutrition Corporation**

- Individual(s)
- General Partnership
- Corporation-State
- Other
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: **January 6, 1997**

2. Name and address of receiving party(ies):

Name: **Novartis Nutrition AG**

Internal Address:

Street Address: **Monbijoustrasse 118**

City: **Berne** Country: **Switzerland**

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnersh
- Corporation-State **Switzerland**
- Other

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s) **1,705,241**

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Barry A. Solomon**

Internal Address **Patent and Trademark Department**

6. Total number of applications and registrations involved: **1**

7. Total fee (37 CFR 3.41) .....\$ **40.00**

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

**50-0430**

(Attach duplicate copy of this page if paying by deposit account)

08/05/1998 SSMITH 00000023 500430 1705241  
01 FC:401 40.00 CH

Street Address

**564 Morris Avenue**

City: **Summit** State: **NJ** Zip: **07901-1027**

**DO NOT USE THIS SPACE**

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Barry A. Solomon**

Name of Person Signing

*Barry A. Solomon*

Signature

**July 31, 1998**

Date

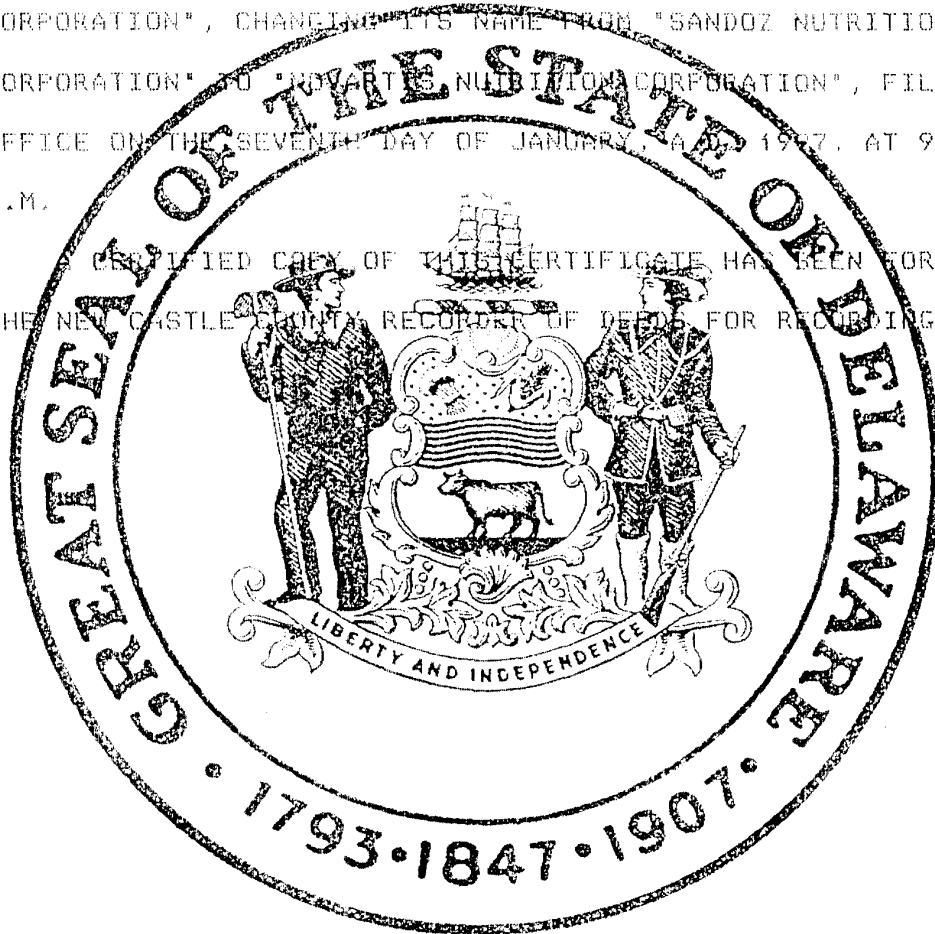
Total number of pages including cover sheet, attachments, and document: **7**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SANDOZ NUTRITION CORPORATION", CHANGING ITS NAME FROM "SANDOZ NUTRITION CORPORATION" TO "NOVARTIS NUTRITION CORPORATION", FILED IN THIS OFFICE ON THE SEVENTH DAY OF JANUARY, A.D. 1997, AT 9 O'CLOCK A.M.

A CERTIFIED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS FOR RECORDING.



*Edward J. Freel*

Edward J. Freel, Secretary of State

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971006288

AUTHENTICATION: 8275675

DATE: 01-07-97

TRADEMARK  
REEL: 1764 FRAME: 0223

CERTIFICATE OF AMENDMENT  
OF  
SANDOZ NUTRITION CORPORATION

Sandoz Nutrition Corporation, a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware,

DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of said corporation, by the unanimous written consent of its members, filed with the minutes of the Board, adopted a resolution proposing and declaring advisable the following amendment to the Certificate of Incorporation of said corporation:

RESOLVED, that the Certificate of Incorporation of Sandoz Nutrition Corporation be amended by changing the First Article thereof so that, as amended, said Article shall be and read as follows:

"1. The name of the corporation is Novartis Nutrition Corporation."

SECOND: That in lieu of a meeting and vote of stockholders, the sole stockholder has given unanimous written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware.

THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Sections 242 and 228 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said Sandoz Nutrition Corporation has caused this certificate to be signed by John Fontana, its Executive Vice President, and attested by Karen Park Gallivan, its Secretary, this 6th day of January, 1997.

SANDOZ NUTRITION CORPORATION

By

  
John A. Fontana

Its Executive Vice President

ATTEST:

By

  
Karen Park Gallivan  
Its Secretary

TRADEMARK

REEL: 1764 FRAME: 0224

TRADEMARKS

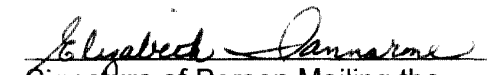
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Mailing Label Number EL073190773US

Date of Mailing July 31, 1998

I hereby certify that on the date indicated above this

Certificate of Change of Name for Recordation in the Patent and Trademark Office Assignment Branch of Novartis Nutrition Corporation to Novartis Nutrition AG, for the mark THICKENUP Registration No. 1,705,241, is being deposited with the United States Postal Service as Post Office to Addressee Express Mail addressed to BOX ASSIGNMENTS, Commissioner of Patents & Trademarks, Washington, D.C. 20231.

  
Signature of Person Mailing the  
Recordation Form

Elizabeth Iannarone  
Printed or Typed Name of Person Mailing  
Recordation Form