

08-14-1998



ET U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

100793678

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Novartis Corporation

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State ☐
☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: July 29, 1998

2. Name and address of receiving party(ies):

Name: Novartis Finance Corporation

Internal Address:

Street Address: 564 Morris Avenue

City: Summit State: NJ Zip: 07901

- ☐ Individual(s) citizenship
☐ Association
☐ General Partnership
☐ Limited Partnership
☒ Corporation-State New York
☐ Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s) 820,563

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Barry A. Solomon

Internal Address: Patent and Trademark Department

Street Address:

564 Morris Avenue

City: Summit State: NJ Zip: 07901-1027

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41)\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry A. Solomon

Name of Person Signing

Signature

July 30, 1998

Date

Total number of pages including cover sheet, attachments, and document: 10

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK
REEL: 1768 FRAME: 0109

ASSIGNMENT

WHEREAS, NOVARTIS CORPORATION (by way of merger and change of name from Ciba-Geigy Corporation), a Delaware corporation, with a principal place of business at 564 Morris Avenue, Summit, NJ 07901 (hereinafter "Assignor"), has adopted, used, and is using the mark PRAMITOL for "herbicide" (the "Trademark") and is the owner of Registration No. 820,563 in the U.S. Patent and Trademark Office; and

WHEREAS, NOVARTIS FINANCE CORPORATION, a New York corporation, and an affiliated company of Novartis Corporation, with a principal place of business at 608 Fifth Avenue, New York 10020 (hereinafter "Assignee"), desires to acquire the Trademark and the goodwill of the business pertaining thereto along with the registration therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby assigns and transfers its entire right, title and interest in and to the Trademark and the registration therefor, effective as of January 1, 1997, together with the goodwill of the business pertaining thereto, to Assignee.

NOVARTIS CORPORATION

By: 

Name: Wayne P. Merkelson

Title: Vice President and Associate General Counsel

STATE OF NEW JERSEY)
) ss.:
COUNTY OF *MORRIS*)

On this *29th* day of July, 1998, before me personally appeared Wayne P. Merkelson, to me known, who, being by me duly sworn, did depose and say that he is Vice President and Associate General Counsel of Novartis Corporation, the Assignor above-named, and acknowledged that he executed the foregoing Assignment on behalf of said Assignor and pursuant to authority duly received.



NOTARY PUBLIC

**MAUREEN O. PASAPANE
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Feb. 3, 2001**

EXHIBIT B

RESOLVED that, effective as of the opening of business on January 1, 1997 and effective upon clearance, to the extent required, by regulatory authorities, this Corporation contribute the assets and operating liabilities of its Pharmaceuticals Division, other than certain pharmaceutical intellectual property listed in Schedule A hereto, to Novartis Pharmaceuticals Corporation, now known as Sandoz Pharmaceuticals Corporation;

RESOLVED that, effective as of the opening of business on January 1, 1997, this Corporation contribute the certain pharmaceutical intellectual property listed in Schedule A hereto to Novartis Finance Corporation;

RESOLVED that, effective as of the opening of business on December 31, 1996 and effective upon clearance, to the extent required, by regulatory authorities, this Corporation contribute the assets and operating liabilities of its Crop Protection Division, other than certain crop protection intellectual property listed in Schedule B hereto, to the capital of Novartis Crop Protection, Inc.;

RESOLVED that effective as of the opening of business on January 1, 1997, this Corporation contribute the certain crop protection intellectual property listed in Schedule B hereto to Novartis Finance Corporation;

RESOLVED that effective as of the opening of business on December 31, 1996, this Corporation contribute the assets and operating liabilities of its Animal Health Division, other than certain animal health intellectual property listed in Schedule C hereto, to the capital of Novartis Crop Protection, Inc.;

RESOLVED that, effective as of the opening of business on January 1, 1997 and effective upon clearance, to the extent required, by regulatory authorities, this Corporation contribute the assets and operating liabilities of its Seeds Division, other than certain seeds intellectual property listed in Schedule D hereto, to Northrup King Co., as a contribution to its capital;

RESOLVED that, effective as of the opening of business on January 1, 1997, this Corporation contribute the certain seeds intellectual property listed in Schedule D hereto, to Novartis Finance Corporation;

RESOLVED that, effective as of the opening of business on January 1, 1997, this Corporation contribute the certain self-medication intellectual property listed in Schedule E hereto, to Novartis Finance Corporation;

RESOLVED that, effective as of the opening of business on January 1, 1997, this Corporation contribute the certain CIBA Vision intellectual property listed in Schedule F hereto, to Novartis Finance Corporation;

RESOLVED, that the appropriate officers of the Corporation be, and they hereby are, authorized to take any action and execute and deliver any and all further documents that may be necessary or desirable in order to carry out and effectuate fully the purposes set forth in the foregoing resolutions.

SCHEDULE B

TRADEMARK: AAtrex
REG. NO: 881,125
REG. DATE: NOVEMBER 25, 1969
GOODS: HERBICIDES
NEXT ACTION: RENEWAL
ACTION DATE: NOVEMBER 25, 2009
DIVISION: CROP PROTECTION
STATUS: RENEWED (NEEDS SECTION 15)

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TRADEMARK: ACCU-PAK
REG. NO: 1,767,866
REG. DATE: APRIL 27, 1993
GOODS: PACKAGED PESTICIDES IN DOES AMOUNTS FOR
AGRICULTURAL USE
NEXT ACTION: SECTION 8 & 15
ACTION DATE: APRIL 27, 1998 (DEADLINE APRIL 27, 1999)
DIVISION: CROP PROTECTION
STATUS: REGISTERED

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TRADEMARK: ACTION
REG. NO: 1,986,136
REG. DATE: JULY 9, 1996
GOODS: AGRICULTURAL HERBICIDE
NEXT ACTION: SECTION 8 & 15
ACTION DATE: JANUARY 9, 1996 (DEADLINE JULY 9, 1996)
DIVISION: CROP PROTECTION
STATUS: REGISTERED

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TRADEMARK: AGREE
REG. NO: 1,763,562
REG. DATE: APRIL 6, 1993
GOODS: AGRICULTURAL INSECTICIDE
NEXT ACTION: SECTION 8 & 15
ACTION DATE: APRIL 6, 1998 (DEADLINE APRIL 6, 1999)
DIVISION: CROP PROTECTION
STATUS: REGISTERED

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TRADEMARK: PELLISTAC
REG. NO: 1,684,213
REG. DATE: APRIL 28, 1992
GOODS: SEED PRESERVING FILM COATING SUBSTANCE
FOR AGRICULTURAL USE
NEXT ACTION: SECTION 8 & 15 AFFIDAVIT
ACTION DATE: APRIL 28, 1997 (DEADLINE APRIL 28, 1998)
DIVISION: SEED - OWNED BY LA QUINOLEINE ET SES
DERIVES SOCIETE ANONYME (FRACE SOCIETE
ANONYME)
STATUS: REGISTERED

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TRADEMARK: PENNANT
REG. NO: 1,417,171
REG. DATE: NOVEMBER 18, 1986
GOODS: HERBICIDE
NEXT ACTION: RENEWAL
ACTION DATE: MAY 18, 2006 (DEADLINE NOVEMBER 18, 2006)
DIVISION: CROP PROTECTION
STATUS: SECTION 8 & 15 FILED

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TRADEMARK: PRAMITOL
REG. NO: 820,563
REG. DATE: DECEMBER 20, 1966
GOODS: HERBICIDE
NEXT ACTION: RENEWAL
ACTION DATE: JUNE 20, 2006 (DEADLINE DECEMBER 20, 2006)
DIVISION: CROP PROTECTION
STATUS: SECTION 8 & 15 FILED

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TRADEMARK: PRIME +
REG. NO: 1,251,458
REG. DATE: SEPTEMBER 20, 1983
GOODS: CHEMICAL PLANT GROWTH REGULATOR FOR THE
CONTROL OF UNDESIRE AXILLARY BRANCHING
OF TOBACCO PLANTS
NEXT ACTION: RENEWAL
ACTION DATE: MARCH 20, 2003 (DEADLINE SEPTEMBER 20, 2003)
DIVISION: CROP PROTECTION
STATUS: SECTION 8 & 15 FILED

TRADEMARKS

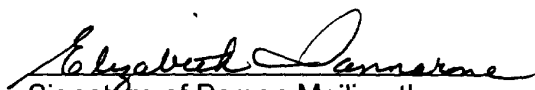
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Mailing Label Number EL073190495US

Date of Mailing July 30, 1998

I hereby certify that on the date indicated above this

Certificate of Merger for Recordation in the Patent and Trademark Office
Assignment Branch of Novartis Corporaton to Novartis Finance
Corporation, for the mark PRAMITOL Registration No. 820,563, is being
deposited with the United States Postal Service as Post Office to
Addressee Express Mail addressed to BOX ASSIGNMENTS,
Commissioner of Patents & Trademarks, Washington, D.C. 20231.



Signature of Person Mailing the
Change of Name Recordation Form

Elizabeth Iannarone

Printed or Typed Name of Person Mailing
the Certificate of Merger for Recordation Form

TRADEMARK