

08-18-1998

USPTO
ONLY

Patent and Trademark Office



100795738

To the Honorable Commissioner of

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Aetna Inc.
151 Farmington Avenue
Hartford, CT 06156

U.S. Patent & TMO/TM Mail Receipt #64



05-19-1998

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State
☐ Other Connecticut

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 19, 1998

2. Name and address of receiving party(ies):

Name: Aetna Life Insurance and Annuity
Company

Internal Address: _____

Street Address: 151 Farmington AvenueCity: Hartford State: CT ZIP: 06156

- ☐ Individual(s) citizenship _____
☐ Association _____
☐ General Partnership _____
☐ Limited Partnership _____
☒ Corporation-State _____
☐ Other _____

If assigner is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

See Attachment B

B. Trademark registration No.(s)

See Attachment A

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jane M. CondonInternal Address: AetnaLaw Department - RW4AStreet Address: 151 Farmington AvenueCity: Hartford State: CT ZIP: 06156

6. Total number of applications and registrations involved: _____

6

7. Total fee (37 CFR 3.41):..... \$ 165.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

06/04/1998 JSNBRZZ 00000216 75181578

01 FC:481
02 FC:48240.00 OP
125.00 OP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jane M. Condon
Name of Person Signing

Signature

May 19, 1997

Date

Total number of pages comprising cover sheet: _____

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ATTACHMENT A
TO
PTO RECORDATION FORM COVER SHEET

<u>Trademark/Service Mark</u>	<u>Status</u>	<u>Reg. No.</u>
Aetna LifePlus	Registered	1,995,599
Aetna's Enhanced UL	Registered	2,080,003
Aetna's 21 Year Term	Registered	2,138,454
Aetnavest Estate Protector	Registered	2,060,580

ATTACHMENT B
TO
PTO RECORDATION FORM COVER SHEET

<u>Trademark/Service Mark</u>	<u>Status</u>	<u>Serial No.</u>
Aetna's 7 Year Term	Pending	75/181,578
Aetna's 14 Year Term	Pending	75/185,332

ASSIGNMENT OF TRADEMARKS AND SERVICE MARKS

THIS ASSIGNMENT, effective the 19th day of May, 1998 from Aetna Inc., a corporation organized and existing under the laws of the State of Connecticut and having its principal place of business at 151 Farmington Avenue, Hartford, Connecticut 06156, (hereinafter referred to as ASSIGNOR), to AETNA LIFE INSURANCE AND ANNUITY COMPANY, a corporation organized and existing under the laws of the State of Connecticut and having its principal place of business at 151 Farmington Avenue, Hartford, Connecticut 06156, (hereinafter referred to as ASSIGNEE),

W I T N E S S E T H:

WHEREAS ASSIGNOR is the owner of all right, title and interest in and to the trademarks and service marks set forth on Schedule A attached hereto, and the registrations and applications for registration thereof ("The Marks");

WHEREAS ASSIGNEE is a wholly-owned subsidiary of ASSIGNOR, and ASSIGNEE is desirous of acquiring the entire right, title and interest in and to The Marks, and ASSIGNOR is desirous of transferring its rights in The Marks to ASSIGNEE;

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR does hereby sell, assign and transfer unto ASSIGNEE all right, title and interest in and to The Marks, the right to recover for past infringement thereof, and all good will of the

businesses in connection with which The Marks are used and which is appurtenant thereto,
and which is symbolized by The Marks.

AETNA INC.



Name: Lucille M. Nickerson
Title: Vice President, Corporate
Governance and Corporate
Secretary

STATE OF CONNECTICUT)
) ss.
COUNTY OF HARTFORD)

On the ____ day of May, 1998, before me personally appeared
Lucille M. Nickerson, to me known, who being by me duly sworn did depose and
say that she is the Vice President, Corporate Governance and Corporate Secretary of
Aetna Inc., the corporation named in and which executed the foregoing instrument; that
she signed her name thereto on behalf of the corporation pursuant to
the authority of the Board of Directors of said corporation.



Notary Public
VICTORIA A. THIBAUT

My Commission Expires: 6/30/99

SCHEDULE A

<u>Trademark/Service Mark</u>	<u>Status</u>	<u>Reg./Serial No.</u>
Aetna LifePlus	Registered	1,995,599
Aetna's Enhanced UL	Registered	2,080,003
Aetnavest Estate Protector	Registered	2,060,580
Aetna's 21 Year Term	Registered	2,138,454
Aetna's 7 Year Term	Pending	75/181,578
Aetna's 14 Year Term	Pending	75/185,332



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 07, 1998

PTAS



100729738A

AETNA
JANE M. CONDRON
LAW DEPARTMENT - RW4A
151 FARMINGTON AVENUE
HARTFORD, CT 06156

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT**

DOCUMENT ID NO.: 100729738

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. THE PERSON SUBMITTING THE DOCUMENT MUST SIGN AND DATE THE DOCUMENT.

SHIRLIE SIMON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

703 - 308 - 7103



Aetna Inc.
151 Farmington Avenue
Hartford, CT 06156-3124

August 12, 1998

Jane M. Condon
Paralegal
Law and Regulatory Affairs, RW4A
(860) 273-3918
Fax: (860) 273-5743

Ms. Shirley Simon
Examiner
U.S. Patent and Trademark Office
Assignment Division
Box Assignments, CG-4
1213 Jefferson Davis Highway, Suite 320
Washington, D.C. 20231

VIA U.S. POSTAL SERVICE
EXPRESS MAIL DELIVERY

Re: **Document ID No. 100729738**

Dear Ms. Simon:

Pursuant to a telephone conversation today between you and my administrative assistant, I have signed the original Recordation Form Cover Sheet and am resubmitting it as you advised.

Sincerely,

A handwritten signature in cursive script, appearing to read "Jane M. Condon". The signature is written in dark ink and is positioned above the printed name.

Jane M. Condon

Enclosure