

08-27-1998

*MA 8-20-98*



100806150

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

Nike Financial Advisory Services L.P.

- Individual                       Association
- General Partnership           Limited Partnership
- Corporation-State
- Other: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment                       Merger
- Security Agreement           Change of Name
- Other: \_\_\_\_\_

Execution Date: September 16, 1993

2. Name and address of receiving party(ies):

First Trust Advisors L.P.  
290 South County Farm Road, 3<sup>rd</sup> Floor  
Wheaton, Illinois 60187

- Individual(s) citizenship \_\_\_\_\_
- Association \_\_\_\_\_
- General Partnership \_\_\_\_\_
- Limited Partnership Illinois
- Corporation-State \_\_\_\_\_
- Other: \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designation must be a separate document from Assignment)  
Additional name(s) & addresses attached?  Yes  No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

1,710,408

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Lawrence M. Jarvis  
McAndrews, Held & Malloy, Ltd.  
Citicorp Center, 34<sup>th</sup> Floor  
500 West Madison Street  
Chicago, Illinois 60661

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

Check attached

Authorized to be charge to deposit account

8. Deposit account number: 13-0017

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

08/26/1998 INGVYEN 00000238 1710408

01 FC:481

40.00 DP

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Signature and Registration Number: *John J. Held* -21,061

Date: 9/17, 1998

Name of Person Signing: John J. Held

Total number of pages including cover sheet, attachments and document: 2

JIM EDGAR  
Secretary of State  
State of Illinois

Submit In Duplicate

\$25 filing fee. (\$75 Restated Certificate) See other side for acceptable forms of payment.

CERTIFICATE OF AMENDMENT  
TO THE CERTIFICATE OF  
LIMITED PARTNERSHIP  
(Illinois limited partnership)

RECORDED 08/20/1998  
FILED 0000000000 11 00 11  
11.00

Pursuant to the provisions of the Revised Uniform Limited Partnership Act, the undersigned limited partnership hereby amends its certificate of limited partnership.

1. The limited partnership's name is: Nike Financial Advisory Services L.P.

2. The limited partnership's file number is: C006403

The Federal Employer Identification Number (F.E.I.N.) is: 36-3788904

3. The certificate of limited partnership was filed with the Secretary of State's office on: September 24, 1991  
(month, day, year)

4. The certificate of limited partnership is amended as follows: (Check and complete where appropriate)
- a) Admission of a new general partner (list name, business address and contributions below).
  - b) Withdrawal of a general partner (list name below).
  - c) Change of registered agent and/or registered office (list old name and address and new name and address, labeled as such, below).
  - d) Change in the address of the office at which the records required by Section 201 of the Act are kept (list old address and new address, labeled as such, below).
  - e) Change in the business addresses of general partners (list name and old address and new address, labeled as such, below).
  - f) Change in the partners' total contribution amount (give old and new dollar amounts, labeled as such, below).
  - g) Other (restated certificate? Yes  No )  
Specify what is being changed from the original certificate and give old and new information, as appropriate, below.

OLD INFORMATION

The Name:

Nike Financial Advisory  
Services L.P.

NEW INFORMATION

is changed to:

First Trust Advisors L.P.

The undersigned affirms, under penalties of perjury, that the facts stated herein are true.

The original certificate of amendment must be signed by a general partner.

Ronald D. McAlister  
(Signature)

Ronald D. McAlister Vice President

(Type or print Name and Title)

Nike Securities Corporation

(Name of General Partner if a corporation or other entity)

\_\_\_\_\_  
(Signature)

(Type or print Name and Title)

(Name of General Partner if a corporation or other entity)