

12-11-1998

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FORM NO. 1001 (exp. 4/94) **RECORDED**
12/11/98 **TR**



100858447

U.S. DEPARTMENT OF COMMERCE
 Patent and Trademark Office

To the Honorable Commissioner of Patents and

and the attached original documents or copy thereof.

1. Name of conveying party(ies):

Sandoz Nutrition Corporation

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State ☐
☐ Other _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other **Corrective Assignment**
Reel 1764 Frame 0222

Execution Date: **January 6, 1997**

2. Name and address of receiving party(ies):

Name: **Novartis Nutrition Corporation**

Internal Address: _____

Street Address: **5100 Gamble Drive**City: **St. Louis Park** State **MN**

- ☐ Individual(s) citizenship _____
☐ Association _____
☐ General Partnership _____
☐ Limited Partnership _____
☒ Corporation-State **Delaware**
☐ Other _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s) _____

B. Trademark Registration No.(s) **1,705,241**Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Barry A. Solomon**Internal Address: **Patent and Trademark Department**

Street Address: _____

564 Morris AvenueCity: **Summit** State: **NJ** Zip: **07901-1027**6. Total number of applications and registrations involved: **1**7. Total fee (37 CFR 3.41)\$ **40.00**

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: **50-0430**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry A. Solomon

Name of Person Signing

Signature

December 11, 1998

Date

Total number of pages including cover sheet, attachments, and document: _____

TRADEMARK
 REEL: 1777 FRAME: 0571

08-07-1998

IEET U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner:

100787450

Attached original documents or copy thereof

1. Name of conveying party(ies):

Novartis Nutrition Corporation

☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State ☐
☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other

Execution Date January 6, 1997

2. Name and address of receiving party(ies):

Name: Novartis Nutrition AG

Internal Address:

Street Address: Monbijoustrasse 118

City: Berne Country: Switzerland

☐ Individual(s) citizenship
☐ Association
☐ General Partnership
☐ Limited Partnership
☒ Corporation-State Switzerland
☐ Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☒ Yes ☐ No

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Name: Barry A. Solomon

Internal Address: Patent and Trademark Department

08/05/1998 SMITH 00000023 500430 1705241

01 FE:01 40.00 CH

Street Address

564 Morris Avenue

City: Summit State: NJ Zip: 07901-1027

6. Total number of applications and registrations involved: 1

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Barry A. Solomon

Name of Person Signing

Barry A. Solomon / s/

Signature

July 31, 1998

Date

Total number of pages including cover sheet, attachments, and document: 7

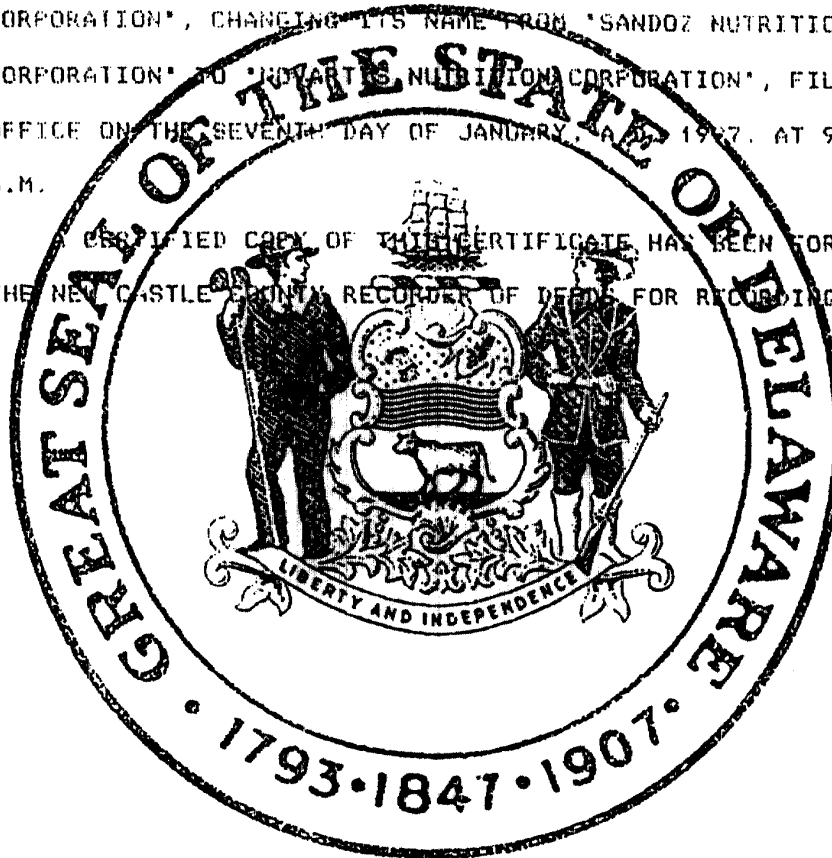
Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

State of Delaware
Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SANDOZ NUTRITION CORPORATION", CHANGING ITS NAME FROM "SANDOZ NUTRITION CORPORATION" TO "NOVARTIS NUTRITION CORPORATION", FILED IN THIS OFFICE ON THE SEVENTH DAY OF JANUARY, A.D. 1997, AT 9 O'CLOCK A.M.

A CERTIFIED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS FOR RECORDING.



Edward J. Freel

Edward J. Freel, Secretary of State

0786792 8100

971006288

AUTHENTICATION:

8275675

DATE:

01-07-97

TRADEMARK

REEL: 1764 FRAME: 0223

TRADEMARK

REEL: 1777 FRAME: 0573

CERTIFICATE OF AMENDMENT
OF
SANDOZ NUTRITION CORPORATION

Sandoz Nutrition Corporation, a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware,

DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of said corporation, by the unanimous written consent of its members, filed with the minutes of the Board, adopted a resolution proposing and declaring advisable the following amendment to the Certificate of Incorporation of said corporation:

RESOLVED, that the Certificate of Incorporation of Sandoz Nutrition Corporation be amended by changing the First Article thereof so that, as amended, said Article shall be and read as follows:

"1. The name of the corporation is Novartis Nutrition Corporation."

SECOND: That in lieu of a meeting and vote of stockholders, the sole stockholder has given unanimous written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware.

THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Sections 242 and 228 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said Sandoz Nutrition Corporation has caused this certificate to be signed by John Fontana, its Executive Vice President, and attested by Karen Park Gallivan, its Secretary, this 6th day of January, 1997.

SANDOZ NUTRITION CORPORATION

By 
John A. Fontana
Its Executive Vice President

ATTEST:

By 
Karen Park Gallivan
Its Secretary