FORM PTO-1618A Expires 06/30/99 OMB 0651-0027

## 01-06-1999



### 100872518

U.S. Department of Commerce Patent and Trademark Office TRADEMARK

# RECORDATION FORM COVER SHEET TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).		
Submission Type	Conveyance Type	
□ New	_	
X Resubmission (Non-Recordation)		
Document ID # 100775702	Security Agreement  Nunc Pro Tunc Assignment	
Correction of PTO Error	☐ Merger Effective Date	
Reel # XXX Frame # XXX	Month Day Year May 1998	
Corrective Document	☐ Change of Name	
Reel # XXX Frame # XXX	Other	
	D one	
Conveying Party	Mark if additional names of conveying parties attached  Execution Date  Month Day Year	
Name Lamb and Company	June 18, 1998	
Formerly XXX		
☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association X Other XXX		
Citizenship/State of Incorporation/Organization Min	nesota	
Receiving Party  Mark if additional names of receiving parties attached		
Name Luminate Software Corporation		
DBA/AKA/TA XXX	>	
Composed of XXX	<del>(, C)</del>	
Address (line 1) 1775 Woodside Road, Suite 150		
Address (line 2) XXX	74	
· · · · · · · · · · · · · · · · · · ·	California 94061 2 Zin Gode	
☐ Individual ☐ General Partnership ☐	Limited Partnership  If document tene recorded is an assignment and the receiving party is	
X Corporation	is not domiciled in the United States, an appointment of a domestic	
Other XXX	representative should be attached. (Designation must be a separate	
X Citizenship/State of Incorporation/Organization California		
FOR OFFICE USE ONLY		

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027. Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

FORM PTO-1618B Expires 06/30/99 OMB 0651-0027 U.S. Department of Commerce Patent and Trademark Office TRADEMARK

### Page 2

- ,		Enter for the first Receiving Pa	arty only.
Name	XXX		
Address (line 1)	XXX		
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Address (line 4)	XXX		
Correspondent Name	and Address	Area Code and Telephone Nur	mber (650) 494-0600
Name R.J. Heher, Esq.			
Address (line 1) FENWICK & WEST LLP			
Address (line 2) Two Palo Alto Square, Suite 800			
Address (line 3) Palo Alto, CA 94306			
Address (line 4)	XXX		
	cal number of pages of the attached cor	nveyance document	# 4
Enter either the Tra	ion Number(s) or Registration Number or the Registration	on Number (DO NOT ENTER E	
Trade Application Number(s)  Registration Number(s)			
XXX		1,836,020	
XXX		XXX	
Number of Propertie	Enter the total number of proper	ties involved.	# 01
Fee Amount	Fee Amount for Properties Lis	sted (37 CFR 3.41):	\$ \$40.00
Method of Payment: Enclosed □ Deposit Account X			
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Deposit Account (Enter for payment by o	deposit account or if additional fees can be charge Deposit Account Num Authorization to charge ture knowledge and belief, the foregoing informatio	ed to the account.)  aber:  ge additional fees:  ion is true and correct and any authorized, as indicated herei	Yes No

#### ASSIGNMENT OF TRADEMARK

WHEREAS, Lamb and Company, Inc. ("Assignor"), a Minnesota corporation having a principal place of business at 650 Third Avenue South, Minneapolis, Minnesota, is the record owner of U.S. Trademark Registration No. 1,836,020 (the "Registration") for the mark ILLUMINATE (the "Mark"); and

WHEREAS, Luminate Software Corporation ("Assignee"), a California corporation having a principal place of business at 1775 Woodside Road, Redwood City, California, is desirous of acquiring all right, title and interest in and to the Mark and the Registration;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, its successors and assigns, the entire right, title and interest in the Mark and the Registration, together with the goodwill and other incidents of Assignor's business associated with or symbolized by the Mark and/or the Registration.

EXECUTED at Minneapolis, Minnesota, on May 14, 1998.

STATE OF MINNESOTA

Subscribed and sworn to before me this 14th day of May, 1998

LAMB & COMPANY, INC.



### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

OCTOBER 30, 1998

PTAS SCHWEGMAN, LUNDBERG, WOESSNER ET AL. BARBARA J. GRAHN

P.O. BOX 2938 MINNEAPOLIS, MN 55402



### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 100775702

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. TO RECORD A DOCUMENT, THE NAME AND ADDRESS OF THE PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENTS SHOULD BE MAILED IS REQUIRED.

PAULA MCCRAY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

> Schuleghan, Landouses Woodhart & Klath PA

> > NOV 1 7 1998

HECEIVED

### Schwegman, Lundberg, Woessner & Kluth, P.A.

PALENT • IRADEMARK • COPYRIGHT • ATTORNEYS

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December 9, 1998

R. J. Heher FENWICK & WEST, LLP Two Palo Alto Square Palo Alto, CA 94306 RECEIVED

11998

FENWICH & WES

Re: File # 420.004USA

in re U.S. Trademark Cancellation No. 27167 Luminate Software vs. Lamb & Company

Dear Sir:

We are forwarding to you the enclosed assignment documents, returned to us as non-recorded by the Assignment Branch of the U.S. Patent and Trademark Office. Our office did not file these documents. As I cannot tell from the documents where the filing originated, I am sending the documents to you as counsel for Luminate Software in connection with the above-captioned matter (now resolved). If we can be of further assistance, please contact us.

Very truly yours,

Cheryl E. Classen

Senior Paralegal/Trademarks

Enclosures

Patent Protection for High Techno

### Schwegman, Lundberg, Woessner & Kluth, p.a.

PATENE • TRADEMARK • COPYRIGHT • ATTORNEYS

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By Facsimile & Federal Express

December 29, 1998

Ms. Susan Marsh

FENWICK & WEST, LLP

Two Palo Alto Square

Palo Alto, CA 94306

Re: File # 420.004USA

in re U.S. Trademark Cancellation No. 27167 ILLUMINATE®; U.S. Reg. No. 1,836,020

Luminate Software Corporation vs. Lamb and Company, Inc.

Dear Ms. Marsh:

As we discussed today, enclosed is the original "Assignment of Trademark" for the mark ILLUMINATE®, U.S. Reg. No. 1,836,020, executed May 14, 1998 by Lamb and Company, Inc. in favor of your client Luminate Software Corporation. Please let me know if we can be of further assistance.

Very truly yours,

Cheryl E. Classen

Senior Paralegal/Trademarks

Enclosure

Patent Protection for High Technology

TRADEMARK REEL: 1787 FRAME: 0887

**RECORDED: 01/06/1999**