

10-26-1998



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RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)  
Document ID #
- Correction of PTO Error  
Reel #  Frame #
- Corrective Document  
Reel #  Frame #

Conveyance Type

- Assignment
- License
- Security Agreement
- Nunc Pro Tunc Assignment  
Effective Date  
Month Day Year  
 09  02  1997
- Merger
- Change of Name
- Other

Conveying Party

Mark if additional names of conveying parties attached  
Execution Date  
Month Day Year

Name

Formerly

- Individual
- General Partnership
- Limited Partnership
- Corporation
- Association
- Other
- Citizenship/State of Incorporation/Organization

Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AK/A/T/A

Composed of

Address (line 1)

Address (line 2)

Address (line 3)     
City State/Country Zip Code

- Individual
- General Partnership
- Limited Partnership
- Corporation
- Association
- Other
- Citizenship/State of Incorporation/Organization

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

FOR OFFICE USE ONLY

10/26/1998 TT011 00000051 130206 1930607

01 FC:481 40.00 CH  
02 FC:482 25.00 CH

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK  
REEL: 1804 FRAME: 0047

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

**Trademark Application Number(s)**

**Registration Number(s)**

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Susan N. McFee

Name of Person Signing

Signature

10/13/98

Date Signed

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, VA 22202 - 3513, on:

DATE OF DEPOSIT: 10/13/98

SIGNATURE: Maria Sander

DATE OF SIGNATURE: 10/13/98

TRADEMARK ASSIGNMENT

WHEREAS, Donaldson, Lufkin & Jenrette Securities Corporation (the "Assignor"), a corporation organized and existing under the laws of the State of Delaware, has adopted and used and is the sole and exclusive owner of the trademarks and service marks and of the applications and registrations therefor, if any, listed in Schedule A attached hereto and incorporated herein (collectively referred to as the "Trademarks"), and has adopted and used and is the exclusive owner of other trademarks, service marks, and trade names (collectively referred to as the "Other Trademarks"), and of all of the goodwill of the business appurtenant thereto;

WHEREAS, DLJ Long Term Investment Corporation (the "Assignee"), a corporation organized and existing under the laws of the State of Delaware, is acquiring the Trademarks and the Other Trademarks from Assignor pursuant to a reorganization of intellectual property assets belonging to Assignor and other subsidiaries of Donaldson, Lufkin & Jenrette, Inc., undertaken to facilitate the centralized protection, management and control of rights to and use of the Trademarks and Other Trademarks;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignor hereby sells, assigns, transfers, and quitclaims to Assignee, Assignor's entire right, title and interest in and to the Trademarks and the Other Trademarks, together with all of the goodwill of the business appurtenant thereto, and all claims for damages by reason of infringement of the Trademarks and Other Trademarks, with the exclusive right to sue for and collect said damages with respect to the Trademarks and Other Trademarks for Assignee's own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, in all countries throughout the world where Assignor owns, possesses or controls the rights herein being transferred to Assignee, to the full and complete extent of any and all such rights.

AND, Assignor agrees to execute any and all instruments and to perform any and all acts that may be necessary or desirable to fully and expediently effectuate and record this Assignment or perfect the Assignee's rights in the Trademarks and Other Trademarks assigned hereby.

IN TESTIMONY WHEREOF, the Assignor has caused this Assignment to be executed in Chicago, Illinois, by its duly authorized representative, effective nunc pro tunc the 2d day of September, 1997.

DONALDSON, LUFKIN & JENRETTE  
SECURITIES CORPORATION

By: Thomas Franko

Name: THOMAS FRANKO

Title: VICE-PRESIDENT

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, VA 22202 - 3513, on:

DATE OF DEPOSIT: 10/13/98  
SIGNATURE: Mark Jankel  
DATE OF SIGNATURE: 10/13/98

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**CERTIFICATE OF ACKNOWLEDGEMENT**

I, Denise Pellicano, a Notary Public in and for the County of <sup>Hudson</sup>~~Cook~~, in the State of <sup>New Jersey</sup>~~Illinois~~, hereby certify that Thomas Franko personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he/she signed, sealed and delivered the said instrument as a free act and deed on behalf of the identified corporation, Donaldson, Lufkin & Jenrette Securities Corporation, with authority to do so.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 25 day of September, 1998.

Denise Pellicano  
Notary Public

Commission Expires: 10/15/98

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, VA 22202 - 3513, on:

DATE OF DEPOSIT: 10/13/98  
SIGNATURE: Maureen Sanders  
DATE OF SIGNATURE: 10/12/98

**SCHEDULE A**  
**Applications/Registrations For Trademarks/Service Marks**

<b>TRADEMARK/ SERVICE MARK</b>	<b>APPLICATION/ REGISTRATION NO.</b>	<b>FILING DATE/ REGISTRATION DATE</b>	<b>GOODS/ SERVICES/INT'L CLASS(ES)</b>
DESIGN (DOLLAR SIGN IN BLUE AND GREEN)	Reg. No. 1,930,607	October 31, 1995	<p>Computer programs permitting the buying and selling of stocks and bonds, obtaining stock and bond quotations, obtaining information on the uses of stock and bond portfolio and account, permitting the opening of all types of accounts for the purchase of stocks, bonds, options and opening IRA and money market accounts, in International Class 9.</p> <p>Financial management and security brokerage services, in International Class 36.</p>
INFO DIRECT	Registration No. 2,104,168	August 20, 1997	Software for a file transfer system allowing periodic downloading of data, in International Class 9

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