

11-16-1998

FORM PTO-1594  
(Rev. 6-93)

11-9-98

RECORDATION FORM  
TRADEMARK



100877216

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
 Activated Cell Therapy, Inc.  
 291 North Bernardo  
 Mountain View, CA 94043

Individual(s)       Association  
 General Partnership     Limited Partnership  
 Corporation-State Delaware  
 Other \_\_\_\_\_  
 Additional name(s) of conveying party(ies) attached?  Yes  
 No

3. Nature of conveyance:  
 Assignment     Merger  
 Security Agreement     Change of Name  
 Other \_\_\_\_\_

Execution Date: October 29, 1998

2. Name and address of receiving party(ies):  
 Name: Dendreon Corporation  
 Internal Address: \_\_\_\_\_  
 Street Address: 291 North Bernardo Avenue  
 City: Mountain View      State: CA      ZIP 94043

Individual(s) citizenship \_\_\_\_\_  
 Association \_\_\_\_\_  
 General Partnership \_\_\_\_\_  
 Limited Partnership \_\_\_\_\_  
 Corporation-State Delaware  
 Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached:  
 Yes     No  
 (Designation must be a separate document from Assignment).  
 Additional name(s) & address(es) attached?  Yes     No

4. Application number(s) or registration number(s):  
 A. Trademark Application No.(s)  
75/282,526      75/283,623

Additional numbers attached?     Yes     No

B. Trademark Registration No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:  
 Name: Cooley Godward LLP  
Cooley Godward Castro Huddleson & Tatum  
 Internal Address: Attn: Anne H. Peck  
 Street Address: 5 Palo Alto Square  
3000 El Camino Real  
 City: Palo Alto      State: CA      ZIP 94306-2155

6. Total number of applications and registration involved:  
2

7. Total fee (37 CFR 3.41):.....  
 \$ 65.00

Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number: 03-3118

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and the attached copy is a true copy of the original document.*

Anne H. Peck      11/3/98  
 Anne H. Peck      Date

Total number of pages including cover sheet, attachments, and documents: 6 pages

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

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 40.0000  
 25.0000  
 TRADEMARK  
 REEL: 1813 FRAME: 0155

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Registrant: Activated Cell Therapy, Inc.  
Mark: **DENDREON**  
Application No.: 75/283,623  
Filed: April 29, 1997

**ASSIGNMENT OF TRADEMARK UNDER 37 C.F.R. 3.11**

WHEREAS, Activated Cell Therapy, Inc., a Delaware corporation, having its principal offices at 291 North Bernardo, Mountain View, CA 94043 has adopted, used, is using and is the owner of the trademark DENDREON now registered in the United States Patent and Trademark Office and referenced above;

WHEREAS, Dendreon Corporation, a Delaware corporation, having its principal offices at 291 North Bernardo, Mountain View, CA 94043, is desirous of acquiring said registered trademark; and

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Activated Cell Therapy, Inc. hereby assigns to Dendreon Corporation all right, title and interest in the United States in and to the trademark DENDREON, together with the goodwill of the business symbolized by said trademark and the registration thereof.

Signed at Mountain View, on this 29<sup>th</sup> day of October, 1998.

**ACTIVATED CELL THERAPY, INC.**

By: Peter S. Garcia  
Peter S. Garcia  
Chief Financial Officer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Registrant: Activated Cell Therapy, Inc.  
Mark: **DENDREON**  
Application No.: 75/282,526  
Filed: April 29, 1997

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Signed at Mountain View, on this 29<sup>th</sup> day of October, 1998.

**ACTIVATED CELL THERAPY, INC.**

By: Peter S. Garcia  
Peter S. Garcia  
Chief Financial Officer

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ACTIVATED CELL THERAPY, INC.", CHANGING ITS NAME FROM "ACTIVATED CELL THERAPY, INC." TO "DENDREON CORPORATION", FILED IN THIS OFFICE ON THE FOURTH DAY OF SEPTEMBER, A.D. 1997, AT 9 O'CLOCK A.M.

A CERTIFIED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS FOR RECORDING.



A handwritten signature in cursive script that reads "Edward J. Freel".

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Edward J. Freel, Secretary of State

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971295675

AUTHENTICATION: 8636199

DATE: 09-04-97

TRADEMARK  
REEL: 1813 FRAME: 0158

**CERTIFICATE OF AMENDMENT OF  
AMENDED AND RESTATED CERTIFICATE OF INCORPORATION OF  
ACTIVATED CELL THERAPY, INC.**

ACTIVATED CELL THERAPY, INC., a corporation organized and existing under and by virtue of the Delaware General Corporation Law of the State of Delaware (the "Corporation" or the "Company"), does hereby certify:

**FIRST:** The name of this Corporation is Activated Cell Therapy, Inc.

**SECOND:** The date on which the Certificate of Incorporation for this Corporation was filed with the Secretary of State of the State of Delaware is August 14, 1992. A Restated Certificate of Incorporation was filed with the Secretary of State of the State of Delaware on October 27, 1992. A Restated Certificate of Incorporation was filed with the Secretary of State of the State of Delaware on December 16, 1993. A Certificate of Amendment was filed with the Secretary of State of the State of Delaware on April 11, 1995. A Certificate of Amendment was filed with the Secretary of State of the State of Delaware on October 5, 1995. A Restated Certificate of Incorporation was filed with the Secretary of State of the State of Delaware on January 26, 1996. A Certificate of Amendment was filed with the Secretary of State of the State of Delaware on March 29, 1996. A Certificate of Amendment was filed with the Secretary of State of the State of Delaware on June 7, 1996. A Certificate of Correction was filed with the Secretary of State of the State of Delaware on July 16, 1996. An Amended and Restated Certificate of Incorporation was filed with the Secretary of State of the State of Delaware on May 21, 1997.

**THIRD:** The Board of Directors of the Corporation, acting in accordance with the provisions of Sections 141 and 242 of the General Corporation Law of the State of Delaware, adopted resolutions amending its Amended and Restated Certificate of Incorporation as follows:

Article I shall be amended in its entirety to read as follows:

"The name of this Corporation is **DENDREON CORPORATION** (the "Corporation" or the "Company")."

Article IV, Section A, shall be amended in its entirety to read as follows:

"A. This Corporation is authorized to issue two classes of stock, to be designated, respectively, "Common Stock" and "Preferred Stock." The total number of shares that the Corporation is authorized to issue is twenty million nine hundred seventy-four thousand three hundred thirty-three (20,974,333) shares. Eleven million nine hundred two thousand four hundred fifty-eight (11,902,458) shares shall be Common Stock, each having a par value of one tenth of one cent (\$0.001). Nine million

seventy-one thousand eight hundred seventy-five (9,071,875) shares shall be Preferred Stock, each having a par value of one tenth of one cent (\$0.001)."

**FOURTH:** The following amendment to the Amended and Restated Certificate of Incorporation was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware (the "General Corporation Law") by resolutions duly adopted by the Board of Directors of this Corporation and was approved by the stockholders as provided in Section 228 of the General Corporation Law, and written notice has been given to stockholders as provided in Section 228(d) of the General Corporation Law.

**FIFTH:** All other provisions of the Amended and Restated Certificate of Incorporation shall remain in full force and effect.

IN WITNESS WHEREOF, Activated Cell Therapy, Inc. has caused this Certificate of Amendment to be signed by its President and attested to by its Secretary this 2<sup>nd</sup> day of September, 1997.

ACTIVATED CELL THERAPY, INC.

By: Richard Bastiani  
Richard Bastiani, Ph.D.  
President

ATTEST:

By: Peter Garcia  
Peter Garcia  
Secretary