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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID #
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☐ Assignment ☐ License
- ☐ Security Agreement ☒ Nunc Pro Tunc Assignment
- ☐ Merger
- ☐ Change of Name
- ☐ Other
- Effective Date
Month Day Year
08 30 98

Conveying Party

☐ Mark if additional names of conveying parties attached

Name

Execution Date
Month Day Year
12 21 98

Formerly

- ☐ Individual ☐ General Partnership ☐ Limited Partnership ☒ Corporation ☐ Association
- ☐ Other
- ☒ Citizenship/State of Incorporation/Organization

Receiving Party

☐ Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)
City

State/Country

Zip Code

- ☐ Individual ☐ General Partnership ☐ Limited Partnership
- ☐ Corporation ☐ Association
- ☒ Other

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

☒ Citizenship/State of Incorporation/Organization

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Mail documents to be recorded with required cover sheet(s) information to:
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TRADEMARK
REEL: 1838 FRAME: 0690

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

1999 JAN 12 AM 11:34

OPR/FINANCE

Correspondent Name and Address

Area Code and Telephone Number

(212) 551-5000

Name

Brian P. Murphy, Esq.

HOPGOOD, CALIMAFDE, KALIL & JUDLOWE, LLP

Address (line 1)

The Lincoln Building

Address (line 2)

60 East 42nd Street

Address (line 3)

New York, New York 10165

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

3

Trademark Application Number(s) or Registration Number(s)

☐

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

75/209,957

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed

☒

Deposit Account

☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

08-2776

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Daniel R. Fuller

Name of Person Signing

Daniel R. Fuller

Signature

12/21/98

Date Signed

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, Virginia 22202-3513 on January 5, 1999

Name

LOIS KING

Signature

Date of Signature

TRADEMARK

REEL: 1838 FRAME: 0691

GENERAL ASSIGNMENT OF INTELLECTUAL PROPERTY

THE GENLYTE GROUP INCORPORATED, a Delaware corporation, with a principal place of business at 2345 Vauxhall Road, Union, New Jersey 07083 (hereinafter referred to as the "Assignor") and Genlyte Thomas Group LLC, a Delaware limited liability company with a principal place of business at 4360 Brownsboro Road, Louisville, Kentucky 40232 (hereinafter referred to as the "Assignee").

WHEREAS Assignor, pursuant to the terms of the Capitalization Agreement by and between Assignor and Assignee dated April 28, 1998 (the "Capitalization Agreement"), agreed to enter into this General Assignment of Intellectual Property in order to assign, transfer, convey and deliver to the Assignee all right, title and interest to certain Intellectual Property listed on Schedule 3.1.16(a) of the Capitalization Agreement (collectively referred to as "Intellectual Property").

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged:

1. Assignor hereby assigns, transfers, conveys and delivers to Assignee its entire right, title and interest in and to the Intellectual Property, including rights to sue for and remedies against past, present and future infringements thereof, and rights of priority and protection of interests therein under the laws of any jurisdiction worldwide and all tangible endorsements thereof.

2. Assignor will execute any deeds of assignment or other documents necessary for recordal with the appropriate patent and trademark authorities to reflect Assignee's ownership of the Intellectual Property. Assignee shall complete and bear the expense of such recordal.

3. Assignor shall, upon reasonable notice and at Assignee's expense, provide to Assignee, its successors, assigns or legal representative, further documents and information which may from time to time be required by Assignee in connection with the renewal of any registrations, the prosecution of applications or other matters relating to the protection and enforcement of any rights related to the Intellectual Property.

IN WITNESS WHEREOF, Assignor and Assignee have caused this instrument to be executed in their respective names and on their behalf by an officer, duly authorized thereunto, effective as of this 30th day of August, 1998.

GENLYTE THOMAS GROUP LLC

By: 

Its:

THE GENLYTE GROUP INCORPORATED

By: 

Its:

NWK3: 353396.01

TRADEMARK
REEL: 1838 FRAME: 0692

**Capitalization Agreement
between
GT Lighting, LLC
and
The Genlyte Group Incorporated
dated as of April 28, 1998**

Schedule 3.1.16(a)

Owned Intellectual Property

U.S. TRADEMARK FILES

<u>Our File No.</u>	<u>Description</u>	<u>Status</u>
1231-125	TRU-LOCK Serial No.: 75/209,957 Filed: 12/9/96	Registration pending.