| FORM PTD-1594 There. 6-83) OMB No. 0651-0011 (exp. 4/94)  | -1999 Palem and Trageny   |
|---|---|
| Tab settings □ □ □ ▼  | ed printipal documents or copy thereof  |
| To the Honorable Commissioner of Paten  | ed original documents or copy thereof   |
| 1. Name of conveying party(les):  | 2. Name and address of receiving party(ies)   |
| nator Corporation, Inc.   | Name: FINOVA Capital Corporation  |
|   | Internal Address:   |
| D Accordance  | Street Address: 111 West 40th Street  |
| ☐ Individual(s) ☐ Association ☐ Limited Partnership   |   |
| ₫ Corporation-State Delaware  | City: New York State: NY ZIP  |
| ☐ Other   | ☐ Individual(s) chizenship  |
| Additional name(s) of conveying party(les) attached? ☐ Yes ☐ No   | ☐ Association ☐ General Partnership ☐ ☐   |
| 3. Nature of conveyance:  | Limited Partnership   |
| ☑ Assignment ☐ Merger   | Corporation-State Delaware  |
| ☐ Security Agreement ☐ Change of Name   |   |
| ) Other   | If assigned is not domiciled in the United States, a domestic representative is attached:  2 Yes 2 No.  |
| Execution Date: August 3, 1998  | (Designations must be a soparate document from assignment) Additional name(s) & address(ss) attached? 2 Yes 0 No  |
| 5. Name and address of party to whom correspondence   | attached? ☐ Yes ☐ No  6. Total number of applications and   |
| concerning document should be mailed:   | registrations involved:   |
|   | registrations involved.   |
| Name: Jeffcey A. Wurst, Esq.  | · ·   |
|   | 7. Total fee (37 CFR 3.41)  |
| Internal Address:   | · ·   |
|   | 7. Total fee (37 CFR 3.41)  |
| Internal Address:   | 7. Total fee (37 CFR 3.41)\$ 290.00   |
| Internal Address:   | 7. Total fee (37 CFR 3.41)  |
| Internal Address:   | 7. Total fee (37 CFR 3.41)\$ 290.00   |
| Internal Address:  Ruskin, Moscou, Evans & Faltischek, F.C.  Sweet Address: 170 Old Country Road  | 7. Total fee (37 CFR 3.41)\$\(\frac{290.00}{\text{00}}\)  \[ \text{\text{\$\text{Enclosed}}}\]  \[ \text{\text{\$\text{Authorized to be charged to deposit account}}}\] |
| Internal Address:  Ruskin, Moscou, Evans & Faltischek, F.C.  Sweet Address: 170 Old Country Road  City: Mineo a State: NY ZIP: 11501  | 7. Total fee (37 CFR 3.41)  |
| Internal Address:  Ruskin, Moscou, Evans & Faltischek, F.C.  Sweet Address: 170 Old Country Road  City: Mineo La State: NY ZIP: 11501  /1999 DMGUYEN 00000088 /528/7/11   | 7. Total fee (37 CFR 3.41)\$290.00  Enclosed  Authorized to be charged to deposit account   |
| Internal Address:  Ruskin, Moscou, Evans & Faltischek, F.C.  Street Address: 170 Old Country Road  City: Mineo La /1999 DMGINEN 00000088 7528/711  1481 40.00 UP 250.00 UP DO NOT   | 7. Total fee (37 CFR 3.41)  |
| Internal Address:  Ruskin, Moscou, Evans & Faltischek, F.C.  Street Address: 170 Old Country Road  City: Mineo La /1999 DIGUYEN 00000088 75287/11  -481 40.00 UP -250.00 UP | 7. Total fee (37 CFR 3.41)  |
| Internal Address:  Ruskin, Moscou, Evans & Faltischek, F.C.  Street Address: 170 01d Country Road  City: Mineo La State: NY ZIP: 11501  /1999 DIGUYEN 00000088 /528//11  2481 40.00 0P DO NOT 250.00 0P  9. Statement and signature.  To the best of my knowledge and belief, the foregoing inforthe original document.   | 7. Total fee (37 CFR 3.41)  |
| Internal Address:  Ruskin, Mescou, Evans & Faltischek, F.C.  Street Address: 170 Old Country Road  City: Mineo La State: NY ZIP: 11501  2/1999 DMGUYEN 00000008 75287/11  2:481 40.00 OP DO NOT  9. Statement and signature.  To the best of my knowledge and belief, the foregoing info  | 7. Total fee (37 CFR 3.41)  |

Schedule A to Trademark Collateral Assignment dated August 3, 1998 between nStor Corporation, Inc. and FINOVA Capital Corporation.

| Trade/Service Name        | Serial No. or Registration No.                   | Country | Registration or Filing Date                              | Expiration Date |
|---------------------------|--|---------|--|-----------------|
| AdminiStor                | Serial No. 75/287711<br>Registration No. 2165709 | USA     | Filing Date<br>04/24/97<br>Registration Date<br>06/16/98 | 6/16/2008       |
| For the Life of Your Dat: | Serial No. 75/287710<br>Registration No. 2160493 | USA     | Filing Date<br>04/24/97<br>Registration Date<br>05/26/98 | 5/26/2008       |
| ВоогВох                   | Serial No. 74/040269<br>Registration No. 1659506 | USA     | Filing Date<br>03/20/90<br>Registration Date<br>10/8/91  | 10/8/2001       |
| Stor View                 | Serial No. 74/626125<br>Registration No.         | USA     | Filing Date<br>01/26/95<br>Registration Date             |                 |
| aStor                     | Serial No. 74/715515<br>Registration No.         | USA     | Filing Date 8/14/95 Registration Date                    |                 |
| Etherstor                 | Serial No. 74/640654<br>Registration No.         | USA     | Filing Date 3/1/95 Registration Date                     |                 |
| aStor (stylized)          | Serial No. 75/400199<br>Registration No.         | USA     | Filing Date<br>12/4/97<br>Registration Date              |                 |
| AEDI                      | Serial No. 75/400198<br>Registration No.         | USA     | Filing Date<br>11/26/97<br>Registration Date             |                 |

| n Fera       | Serial No. 75/317629<br>Registration No. | USA | Filing Date<br>11/24/97<br>Registration Date |
|--------------|--|-----|--|
| Collect2000  | Serial No. 75/398190<br>Registration No. | USA | Filing Date<br>11/26/97<br>Registration Date |
| SmartCabinet | Serial No. 74/727800<br>Registration No. | USA | Filing Date<br>9/12/95<br>Registration Date  |

## TRADEMARK COLLATERAL ASSIGNMENT AND SECURITY AGREEMENT

THIS AGREEMENT is made on the 3rd day of August, 1998 between nSTOR CORPORATION, INC., a Delaware corporation having an address at 450 Technology Park, Lake Mary Florida 32746 ("Assignor") and FINOVA Capital Corporation, a Delaware corporation with an address at 111 West 40th Street, New York, New York 10018 ("FINOVA").

WHERI AS, Assignor has entered into a certain financial arrangement which shall be evidenced in part by a certain Loan and Security Agreement dated the date hereof by and between Assignor and FINOVA (the "Financing"). In order to induce FINOVA to enter into the Financing, Assignor has agreed to assign to FINOVA as collateral security certain trademark rights. This Trademark Collateral Assignment is being executed contemporaneous with the Financing under which FINOVA is granted a lien on and security interest in, among other things, accounts receivable, inventory, machinery, equipment formulations, manufacturing procedures, quality control procedures, product specifications, deposits, contract rights and general intangibles ("Other Assets") relating to products sold under the Trademarks, whereby FINOVA shall have the right to foreclose on the Trademarks and the Other Assets in the event of the occurrence of an Event of Default under the Financing, in order that the owner of the Trademarks may continue the manufacture of products to be sold under the Trademarks and maintain substantially the same product specifications and quality as maintained by Assignor.

NOW, THEREFORE, in consideration of the premises, the Financing, and other good and valuable consideration the sufficiency of which is hereby acknowledged, Assignor hereby agrees with FINOVA as follows:

- 1. To secure the complete and timely satisfaction of all of the Obligations (as such term is defined in the Financing), Assignor hereby grants, assigns and conveys to FINOVA as collateral security the entire right, title and interest in and to the trademark applications and trademarks listed in Schedule A annexed hereto and made a part hereof (as the same may be amended pursuant hereto from time to time), including without limitation all renewals thereof, all proceeds of infringement suits), the right to sue for past, present and future infringements and all rights corresponding thereto throughout the world (all of the foregoing are collectively called the "Trademarks") and the good will of the business to which each of the Trademarks relates.
  - 2. Assignor represents, covenants and warrants that:
- (a) The Trademarks are subsisting and have not been adjudged invalid or unenforceable;
- (b) To the best of Assignor's knowledge, each of the Trademarks is valid and enforceable;
- (c) No claim has been made that the use of any of the Trademarks does or may violate the rights of any third person;

- (d) Assignor is the sole and exclusive owner of the entire and unencumbered right, title and interest in and to each of the Trademarks, free and clear of any liens, charges and encumbrances, including without limitation pledges, assignments, licenses, registered user agreements and covenants by Assignor not to sue third persons;
- (e) Assignor has the unqualified right to enter into this Agreement and perform is terms:
- (f) Assignor has used, and will continue to use for the duration of this Agreement, proper statutory notice in connection with its use of the Trademarks: and
- (g) Assignor has used, and will continue to use for the duration of this Agreement, consistent standards of quality in its manufacture of products sold under the Trademarks.
- Assignor's plants and facilities which manufacture, inspect or store products sold under any of the Trademarks, and to inspect the products and quality control records relating thereto. Assignor shall do any and all acts required by FINOVA to ensure Assignor's compliance with paragraph 2(g)
- Assignor agrees that, until all of the Obligations shall have been satisfied in full, it will not enter into any agreement (for example, a license agreement) which is inconsistent with Assignor's obligations under this Agreement, without FINOVA's prior written consent which consent FINOVA may withhold in its sole and absolute discretion.
- 5. If, before the Obligations shall have been satisfied in full, Assignor shall obtain rights to any new trademarks, the provisions of paragraph 1 shall automatically apply thereto and Assignor shall give FINOVA prompt written notice thereof.
- 6. Assignor authorizes FINOVA to modify this Agreement by amending Schedule A to nelude any future trademarks and trademark applications covered by paragraphs 1 and 5 hereof.
- FINOVA hereby grants to Assignor the exclusive, nontransferable right and license to use the Trademarks on and in connection with products sold by Assignor, for Assignor's own benefit and account and for none other, unless and until an Event of Default occurs (as defined in the Financing). Assignor agrees not to sell or assign its interest in, or grant any sublicense under, the license granted to Assignor in this paragraph 7, without the prior written consent of FINOVA which consent FINOVA may withhold in its sole and absolute discretion.
- 8. If any Event of Default shall have occurred, Assignor's license under the frademarks, as set forth in paragraph 7, shall terminate forthwith, and FINOVA shall have, in addition to all other rights and remedies given it by this Agreement, those allowed by law and the rights and remedies of a secured party under the Uniform Commercial Code as enacted in any

jurisdiction in which the Trademarks may be located and, without limiting the generality of the foregoing, FINOVA may immediately, without demand of performance and without other notice (except as set forth next below) or demand whatsoever to Assignor, all of which are hereby expressly waived, and without advertisement, sell at public or private sale or otherwise realize upon, in New York, or elsewhere, all or from time to time any of the Trademarks, or any interest which the Assignor may have therein, and after deducting from the proceeds of sale or other disposition of the Trademarks all expenses (including all expenses for broker's fees and legal services), shall apply the residue of such proceeds after payment of the Obligations Any remainder of the proceeds after payment in full of the Obligations shall be paid over to the Assignor. Notice of any sale or other disposition of the Trademarks shall be given to Assignor at least five (5) days before the time of any intended public or private sale or other disposition of the Trademarks is to be made, which Assignor hereby agrees shall be reasonable notice of such sale or other disposition. At any such sale or other disposition, any holder of any Notice (as defined in the Financing) or FINOVA may, to the extent permissible under applicable law, purchase the whole or any part of the Trademarks sold, free from any right of redemption on the part of Assignor, which right is hereby waived and released

- 9. At such time as Assignor shall completely satisfy all of the Obligations this Agreement shall terminate and FINOVA shall execute and deliver to Assignor all deeds, assignments and other instruments as may be necessary or proper to re-vest in Assignor full title to the Trademarks, subject to any disposition thereof which may have been made by FINOVA pursuant hereto
- Any and all fees, costs and expenses, of whatever kind or nature, including the attorney's fees and legal expenses incurred by FINOVA in connection with the preparation of this Agreement and all other documents relating hereto and the consummation of this transaction, the filing or recording of any documents (including all taxes in connection therewith) in public offices, the payment or discharge of any taxes, counsel fees, maintenance fees, encumbrances or otherwise protecting, maintaining or preserving the Trademarks, or in defending or prosecuting any actions or proceedings arising out of or related to the Trademarks, shall be borne and paid by Assignor on demand by FINOVA and until so paid shall become part of the Obligations.
- 11. Assignor shall have the duty, through counsel, to prosecute diligently any trademark applications of the Trademarks pending as of the date of this Agreement or thereafter until the Obligations shall have been paid in full. to make federal application on registrable but unregistered Trademarks, to file and prosecute opposition and cancellation proceedings and to do any and all acts which are necessary or desirable to preserve and maintain all rights in the Trademarks. Any expenses incurred in connection with the Trademarks shall be borne by Assignor. The Assignor shall not abandon any Trademark without the consent of FINOVA, which consent shall not be unreasonably withheld
- Assignor shall have the right, to bring any opposition proceedings or cancellation proceedings to enforce or protect the Trademarks, provided FINOVA shall not incur any liability as a result of such proceedings. Assignor shall also have the right to commence lawsuits in its own name to enforce or protect the Trademarks, and in the furtherance of the prosecution of such

lawsuits, FINOVA may, upon the prior written consent of FINOVA, be joined as a nominal party if FINOVA shall have been satisfied that it is not thereby incurring any liability because of such joinder. Assignor shall promptly, upon demand, reimburse and indemnify FINOVA for all damages, costs and expenses, including attorney's fees, incurred by FINOVA in the fulfillment of the provisions of this paragraph 12.

- 13. In the event of an occurrence of an Event of Default under the Financing, Assignor hereby authorizes and empowers FINOVA to make, constitute and appoint any officer or agent of FINOVA as FINOVA may select, in its exclusive direction, as Assignor's true and lawful attorney-in-fact, with the power to endorse Assignor's name on all applications, documents, papers and instruments necessary for FINOVA to use the Trademarks, or to grant or issue any exclusive or nonexclusive license under the Trademarks to anyone else, or necessary for FINOVA to assign, piedge, convey or otherwise transfer title in or dispose of the Trademarks to anyone else. Assignor hereby ratifies all that such attorney shall lawfully do or cause to be done by virtue hereof. This power of attorney shall be irrevocable for the life of this Agreement.
- 14. If Assignor fails to comply with any of its obligations hereunder, FINOVA may do so in Assignor's name or in FINOVA's name, but at Assignor's expense, and Assignor hereby agrees to reimburse FINOVA in full for all expenses, including attorney's fees, incurred by FINOVA in protecting, defending and maintaining the Trademarks.
- 15. No course of dealing between Assignor and FINOVA, nor any failure to exercise, nor any delay in exercising, on the part of FINOVA, any right power or privilege hereunder or under the Financing shall operate as a waiver thereof; nor shall any single or partial exercise of any right, power or privilege hereunder or thereunder preclude any other or further exercise thereof or the exercise of any other right, power or privilege.
- 16. All of FINOVA's rights and remedies with respect to the Trademarks, whether established hereby or by the Financing, or by any other agreements or by law shall be cumulative and may be exercised singularly or concurrently
- 17. The provisions of this Agreement are severable, and if any clause or provision shall be held invalid and unenforceable in whole or in part in any jurisdiction, then such invalidity or unenforceability shall affect only such clause or provision, or part thereof, in such jurisdiction, and shall not in any manner affect such clause or provision in any other jurisdiction, or any other clause or provision of this Agreement in any jurisdiction.
- 18. This Agreement is subject to modification only by a writing signed by the parties, except as provided in paragraph 6.
- 19. The benefits and burdens of this Agreement shall inure to the benefit of and be binding upon the respective successors and permitted assigns of the parties.
- 20. The validity and interpretation of this Agreement and the rights and obligations of the parties shal be governed by the laws of the State of Arizona.

IN WITNESS WHEREOF, the execution hereof under seal as of the day and year first above written.

A [T] ST

Pit West, Assistant Secretary

nSTOR CORPORATION, INC.

By:

Lawrencé F. Steffann President

FINOVA CAPITAL CORPORATION

Melissa Schneck, Assistant Vice President

ACKNOWLEDGED AND AGREED TO:

nSTOR TECHNOLOGIES, INC.

Lawrence F Steffann, President

STATE OF NEW YORK

) SS

COUNTY OF NEW YORK

On this 3rd day of August, in the year 1998, before me, the undersigned, a Notary Public in and for said state, personally appeared Lawrence F. Steffann, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity and that by his signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument.

## SPECIAL POWER OF ATTORNEY

| STATE OF NEW YORK        | ) |              |
|--------------------------|---|--------------|
|                          | ) | <b>S</b> S.: |
| <b>OUNTY OF NEW YORK</b> | ) |              |

KNOW ALL MEN BY THESE PRESENTS, that nSTOR CORPORATION, INC., a Delaware corporation, having an address at 450 Technology Park, Lake Mary, Florida 32746 ('Assignor"), pursuant to a Trademark Collateral Assignment and Security Agreement (the "Agreement"), dated the date hereof (the "Financing"), hereby appoints and constitutes FINOVA CAPITAL CORPORATION, a Delaware corporation, with an address at 111 West 40th Street, New York, New York 10018 "FINOVA"), its true and lawful attorney, with full power of substitution, and with full power and authority to perform the following acts on behalf of Assignor:

- 1. Assigning, selting or otherwise disposing of all right, title and interest of Assignor in and to the trademarks listed on Schedule A of the Agreement, and including those trademarks which are added to the same subsequent hereto, and all registrations and recordings thereof, and all pending applications therefor, and for the purpose of the recording, registering and filing of, or accomplishing any other formality with respect to, the foregoing, and to execute and deliver any and all agreements, documents, instruments of assignment or other writings necessary or advisable to effect such purpose;
- 2. To execute any and all documents, statements, certificates or other writings necessary or advisable in order to effect the purposes described above as FINOVA may in its sole discretion determine.

This power of attorney is made pursuant to the Agreement, dated the date hereof, between Assignors and FINOVA and may not be revoked until the payment in full of all Obligations as defined in the Financing.

ATTEST:

Pat West, Assistant Secretary

nSTOR CORPORATION. INC

By:

Lawrence F. Steffann, President

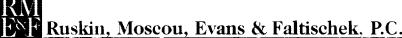
| ST \TE OF NEW YORK | ) |      |
|--------------------|---|------|
|                    | ) | ss.: |
| COUNTY OF NEW YORK | ) |      |

On this 3rd day of August, in the year 1998, before me, the undersigned, a Notary Public in and for said state, personally appeared Lawrence F. Steffann, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity and that by his signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument.

Novery Public Freeze

JACK L GREEN BERG
Motory Public State of Alew York
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Commission Expires April 3, 19

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\*\*The School Control of the Property of the Property

William A. Ube t

Also admitted (1):

\*California
Cororado
-Connecticut
Massachusetts
New Jersey
Lennsylvania

Washington, LC

Norman M. Friedland

Arthur H. Goldbers

Dean G. Skelos

O: Counse

September 18, 1998

Ellen F. Kessler

## **VIA FEDERAL EXPRESS**

U.S. Patent and Trademark Office Office of Public Records Attn. Custome: Services Counter 213 Jefferson Davis Highway, 3<sup>rd</sup> Floor Arlington, Virginia 22202

Re: Conveying Party:

nStor Corporation, Inc.

Receiving Party: FINOVA Capital Corporation

Dea: Sir/Madam:

Enclosed herewith for recording please find an original Recordation Form Cover. Sheet for Trademarks together with the Trademark Collateral Assignment and Security Agreement for the above-referenced party. A check made payable to the order of The Commissioner of Patents and Trademarks in the amount of \$290.00, the appropriate filing fee. is enclosed. Kindly acknowledge receipt and filing of the foregoing on the self-addressed, stamped postcard provided and return same.

Thank you for your assistance in this matter.

Very truly yours,

BARBARA CANNOVA

Paralegal

Enclosures

ce: Melissa Schneck

DM PCFOCS/RMEF\_DOC:44679/I

TRADEMARK REEL: 1847 FRAME: 0265



## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C 20231

JANUALY 21, 1999

PTAS

RUSKIE, MOSCCU, EVANS & FALTISCHEK, P.C. JEFFRHY A. WURST, ESQ. L70 OD COUNTRY ROAD

MINEOLA, NY 11501

\*100835011**A**\*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 100835)11

THE ENGLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY TORE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABCVE

THE OFIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAME WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 OFF 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 2.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

BEND I DOUMENTS TO: U.S PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SULTE 32) WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 103-3(8-9723.

A FEE WAS NOT SUBMITTED WITH THES DOCUMENT. AUTHORIZATION TO CHARGE WAS NOT GRANTED. THE REQUIRED FEE IS \$290 .

SHARMALLA SIMPSON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

**RECORDED: 09/21/1998** 

TRADEMARK **REEL: 1847 FRAME: 0266**