

02-09-1999

U.S. Department of Commerce
Patent and Trademark Office

RECOF



100961899

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Name: Salomon Smith Barney Inc.

2.1.99

- Individual(s)
- General Partnership
- Corporation-State of Delaware
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and Address of receiving party(ies)

Name: Salomon Smith Barney Holdings Inc.

Address: 388 Greenwich Street
New York, New York 10013

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: as of September 1, 1998

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation-State of Delaware
- Other:

If assignee is not domiciled in the United States, a domestic representative designation is attached: **not applicable**
(Designations must be a separate document from assignment)
Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):

A. Trademark Application Nos.:

not applicable

B. Trademark Registration Nos.:

1948546

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

William M. Borchard, Esq.
Cowan, Liebowitz & Latman, P.C.
1133 Avenue of the Americas
New York, NY 10036-6799

6. Total number of applications and registrations involved: one

7. Total fee (37 CFR 3.41)..... \$ 40

Enclosed

Note: Any deficiency is authorized to be charged to Deposit Account No. 03-3415.

8. Deposit Account No. 03-3415

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William M. Borchard William M. Borchard
Signature

1/25/99
Date

Total number of pages including cover sheet, attachments, and document: **four (4)**

Mail to: U.S. Patent & Trademark Office, Office of Public Records, Crystal Gateway 4, Rm.335, Washington, D.C. 20231

TRADEMARK ASSIGNMENT

THIS ASSIGNMENT is made as of the 1st day of September, 1998, from SALOMON SMITH BARNEY INC., a corporation organized and existing under the laws of Delaware, with its principal place of business at 388 Greenwich Street, New York, NY 10013 ("Assignor"), to SALOMON SMITH BARNEY HOLDINGS INC., a corporation organized and existing under the laws of Delaware, with its principal place of business at 388 Greenwich Street, New York, NY 10013 ("Assignee").

WHEREAS, Assignor has adopted and used the Trademark listed in the Schedule attached hereto and is the owner of the registration and application thereof shown in the Schedule (the "Trademark"); and

WHEREAS, Assignee wishes to acquire all of Assignor's right, title and interest in and to the Trademark and the goodwill associated therewith (including without limitation the Assignor's proprietary rights in all computer software relating to this business but specifically excluding the Assignor's proprietary rights and rights as a licensee in the databases used in connection with the software);

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor hereby assigns, transfers, and conveys unto Assignee all of its rights, title and interest in and to the Trademark, whether statutory or at common law, including all applications and registrations thereof, together with the goodwill of the business symbolized by the Trademark (including the Assignor's right, title and interest in all computer software related to this business but specifically excluding Assignor's right, title and interest in the databases used in connection with this software), and the right to sue for, collect and retain all damages and profits and all other remedies for past infringements thereof.

SALOMON SMITH BARNEY INC.

By: 

Name: VIVIAN A MAESE

Title: DIRECTOR

THE SCHEDULE

Trademark	Country	Registration No./ Application No.	Registration Date/ Application Date
THE YIELD BOOK	United States	Reg. No. 1948546	dated January 16, 1996
THE YIELD BOOK	Community Trade Mark	App. No. 145342	filed April 1, 1996

NOTARIAL ACKNOWLEDGEMENT

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

On the 24TH day of NOVEMBER, 1998, before me personally came VIVIAN A. MAESE, to me known, who being by me duly sworn, did depose and say that he/she is a DIRECTOR + COUNSEL of SALOMON SMITH BARNEY INC., the corporation described in and which executed the foregoing instrument; and that ~~he~~ ~~she~~ signed ~~his~~ ~~her~~ name thereto by order of the Board of Directors.

[Notarial Seal]

Kathleen A Burns

Notary Public

KATHLEEN A. BURNS
Notary Public, State of New York
No 24-4899482
Qualified in Kings County
Commission Expires July 6, 1999