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TO: The Commissioner of Patents and Tradem

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document(s) or copy(ies).
COPY/FINANCE

Submission Type

- New
- Resubmission (Non-Recordation)
Document ID # _____
- Correction of PTO Error
Reel # _____ Frame # _____
- Corrective Document
Reel # _____ Frame # _____

Conveyance Type

- Assignment License
- Security Agreement Nunc Pro Tunc Assignment
- Merger
- Change of Name
- Other _____

Effective Date
Month Day Year
December 29, 1998

Conveying Party

____ Mark if additional names of conveying parties attached

Name Strategic Outcomes, Inc.

Execution Date
Month Day Year

Formerly _____

December 29, 1998

- Individual General Partnership Limited Partnership Corporation Association
- Other _____

Citizenship/State of Incorporation/Organization Rhode Island

Receiving Party

____ Mark if additional names of receiving parties attached

Name Jossey-Bass, Inc., Publishers

DBA/AKA/TA _____

Composed of _____

Address (line 1) 350 Sansome Street, Fifth Floor

Address (line 2) San Francisco, California 94134-1342

Address (line 3) _____

- Individual General Partnership Limited Partnership If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)
- Corporation Association
- Other _____

Citizenship/State of Incorporation/Organization California

02/18/1999 SBURNS 00000005 2113860

FOR OFFICE USE ONLY

01 FC:481 40.00 OP
02 FC:482 50.00 OP

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 200231

TRADEMARK
REEL: 1857 FRAME: 0559

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Domestic Representative Name and Address

Enter for the first Receiving Party only.

1999 FEB 16 PM 1:04

OPR/FINANCE

Name _____

Address (line 1) _____

Address (line 2) _____

Address (line 3) _____

Address (line 4) _____

Correspondent Name and Address

Area Code and Telephone Number (212) 309-6798

Name Ian J. Warren, Esq.

Address (line 1) MORGAN, LEWIS & BOCKIUS LLP

Address (line 2) 101 Park Avenue

Address (line 3) New York, New York 10178

Pages Enter the total number of pages of the attached conveyance document including any attachments.

7

Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers are attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

2,113,860 2,105,008 2,105,011

Number of Properties Enter the total number of properties involved. 3

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ 90.00

Method of Payment: Enclosed Deposit Account _____

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: 13-4520

Authorization to charge additional fees: Yes No _____

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Ian J. Warren

Name of Person Signing

Ian J. Warren, Esq.

Signature

February 16, 1999

Date Signed

EXHIBIT A
to MUTUAL RELEASE AND
SETTLEMENT AGREEMENT

COPYRIGHT AND TRADEMARK ASSIGNMENT

Pursuant to and in confirmation of the terms of a Settlement Agreement dated

DEC 29, 1998 herewith by and between

STRATEGIC OUTCOMES, INC., a corporation of Rhode Island having a usual place of business at 115 Central Street, Narragansett, RI 02379 USA (hereinafter, "Strategic Outcomes");

THE JOINT COMMISSION FOR THE DEVELOPMENT OF THE TREATMENT STATISTICAL MANUAL OF BEHAVIORAL AND MENTAL DISORDERS, INC., a corporation of Rhode Island having a usual place of business at 115 Central Street, Narragansett, RI 02379 USA (hereinafter, "The Joint Commission"); and

JOSSEY-BASS, INC., PUBLISHERS, a corporation of California having a usual place of business at 350 Sansome Street, Fifth Floor, San Francisco, CA 94134-1342 USA (hereinafter "Jossey-Bass"),

The parties agree as follows:

WHEREAS, Strategic Outcomes has adopted, used, and is using, the trademarks TREATMENT STATISTICAL MANUALS, TSM, and TREATMENTSTATS, which are the subject of U.S. Trademark Registration Numbers 2,113,860, 2,105,008, and 2,105,011, (hereinafter the "Trademarks"), and is the assignee from The Joint Commission of the Copyrights in and to a work entitled The Treatment Statistical Manual of Behavioral and Mental Disorders - Taxonomy" (hereinafter "the Work"); and

WHEREAS, The Joint Commission has convened a Task Force to assist in the development and drafting of the Work, and has acquired the copyrights in the materials included in the Work from the Task Force Members and Chairs; and

WHEREAS, Strategic Outcomes, the Joint Commission, Jossey-Bass and certain other entities are parties to a Mutual Release and Settlement Agreement dated DEC 29, 1998 (the "Settlement Agreement"), and the Settlement Agreement contemplates the execution and delivery of this Agreement, pursuant to which Jossey-Bass is to acquire the rights of Strategic Outcomes and the Joint Commission in and to the copyrights in the Work, as well as

the Trademarks and the registrations thereof to the extent not already conveyed to Jossey-Bass under the Publishing Agreement,

NOW THEREFORE, in view of the foregoing, and in consideration of the covenants of this Agreement and other good and valuable consideration, the parties agree as follows:

1. Trademark Assignment

Strategic Outcomes hereby assigns to Jossey-Bass all right, title, and interest in and to the Trademarks, together with the goodwill of the business symbolized by the Trademarks, and the Registrations therefor, including the right to sue for past infringement.

2. Copyright Assignment

Strategic Outcomes and The Joint Commission hereby sells, assigns, transfers, and sets over unto Jossey-Bass all rights, title, and interest in and for the United States of America, in and to the Work, to have and to hold the same unto Jossey-Bass, its successors and assigns, for and during the existence of the copyright, and all renewals and extensions thereof, including the right to sue for past infringement.

3. Representations and Warranties

The Joint Commission and Strategic Outcomes hereby represent and warrant to Jossey-Bass as follows:

- (a) that each has full authority to make this assignment;
- (b) that the copyright has not previously been pledged or otherwise encumbered, and is in all respects free and clear;
- (c) that to the best of either party's knowledge, the validity of the copyright has never been questioned; and
- (d) that neither party has entered into any contract or made any commitment that will or may impair Jossey-Bass' rights hereunder.

IN WITNESS WHEREOF, each party has caused this Assignment to be signed in its corporate name by its duly appointed representative effective as of this 29 day of December, 1998.

STRATEGIC OUTCOMES, INC.

THE JOINT COMMISSION FOR THE DEVELOPMENT OF THE TREATMENT STATISTICAL MANUAL, INC.

By: [Signature]
Robert M. Hressman, Ph.D.
Vice-President

By: _____
S. Richard Sauber, Ph.D.
Vice President

Date: 12/23/98

Date: _____

JOSSEY-BASS INC., PUBLISHERS

By: _____
Name:
Title:

Date: _____

IN WITNESS WHEREOF, each party has caused this Assignment to be signed in its corporate name by its duly appointed representative effective as of this 29 day of December, 1998.

STRATEGIC OUTCOMES, INC.

THE JOINT COMMISSION FOR THE DEVELOPMENT OF THE TREATMENT STATISTICAL MANUAL, INC.

By: _____
Robert M. Pressman, Ph.D.
Vice-President

By: *S. Richard Sauber*
S. Richard Sauber, Ph.D.
Vice President

Date: _____

Date: DEC 29, 1998

JOSSEY-BASS INC., PUBLISHERS

By: _____
Name:
Title:

Date: _____

IN WITNESS WHEREOF, each party has caused this Assignment to be signed in its corporate name by its duly appointed representative effective as of this ____ day of December, 1998.

STRATEGIC OUTCOMES, INC.

THE JOINT COMMISSION FOR THE DEVELOPMENT OF THE TREATMENT STATISTICAL MANUAL, INC.


By: _____
Robert M. Pressman, Ph.D.
Vice-President

By: _____
S. Richard Sauber, Ph.D.
Vice President

Date: _____

Date: _____

JOSSEY-BASS INC., PUBLISHERS

By: 
Name: Debra S. Hunter
Title: Senior VP & Publisher

Date: 12/28/98