

03-18-1999



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RECORDATION FORM COVER SHEET
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)
Document ID #

Correction of PTO Error
Reel # Frame #

Corrective Document
Reel # Frame #

Conveyance Type

Assignment License

Security Agreement Nunc Pro Tunc Assignment

Merger Change of Name

Other

Effective Date
Month Day Year

Conveying Party

Mark if additional names of conveying parties attached

Name Execution Date
Month Day Year

Formerly

Individual General Partnership Limited Partnership Corporation Association

Other

Citizenship/State of Incorporation/Organization

Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City State/Country Zip Code

Individual General Partnership Limited Partnership If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

Corporation Association

Other

Citizenship/State of Incorporation/Organization

03/18/1999 DNGUYEN 00000005 033412 75476464
01 FC:481 40.00 CH

FOR OFFICE USE ONLY

file ok

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK
REEL: 1869 FRAME: 0229

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments. #

Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

Number of Properties

Enter the total number of properties involved. #

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Kathleen T. Gallagher-Duff *Kathleen T. Gallagher-Duff* *March 12, 1999*
Name of Person Signing Signature Date Signed

ASSIGNMENT OF MARK

WHEREAS, STEVEN M.H. WALLMAN ("Assignor"), a citizen of the United States, is the owner of the mark, and a pending application for federal registration of said mark, identified in Schedule A attached hereto (the "Mark"); and

WHEREAS, FOLIOTRADE LLC ("Assignee"), a Delaware limited liability company, is desirous of acquiring the Mark and the federal registration application thereof, together with the good will of the business associated therewith, and symbolized by, said Mark;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby assign unto Assignee all right, title, and interest in, to and under the Mark, and the federal registration application thereof, identified in Schedule A attached hereto, together with the good will of the business associated with, and symbolized by, the said Mark.

In connection with the foregoing, Assignor hereby confirms that he is the owner of the Mark and the federal registration application identified in Schedule A attached hereto; that his ownership of said Mark and federal registration application is free and clear of any liens or encumbrances of any kind; that he has the full right, power and authority to transfer to Assignee good and marketable title in, to and under the said Mark and the federal registration application identified in Schedule A attached hereto; and that Assignee is the successor to the entire business of Assignor to which the Mark pertains; which business is ongoing and existing. Assignor agrees to take all such further actions, and to execute any and all such further documents and instruments, as may be necessary or desirable to confirm the assignment executed hereby.

By:


STEVEN M.H. WALLMAN

Dated: November 5, 1998

SCHEDULE A

MARK

APPLICATION SERIAL NO.

FOLIOTRADE

75/476,464