

03-24-1999



100992775

### RECORDATION FORM COVER SHEET TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

#### Submission Type

- New
- Resubmission (Non-Recordation)  
Document ID #
- Correction of PTO Error  
Reel #  Frame #
- Corrective Document  
Reel #  Frame #

#### Conveyance Type

- Assignment  License
  - Security Agreement  Nunc Pro Tunc Assignment
  - Merger
  - Change of Name
  - Other
- Effective Date  
Month Day Year  
   1 1 99

#### Conveying Party

Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name "A" Company Orthodontics

12/22/98

Formerly

- Individual  General Partnership  Limited Partnership  Corporation  Association
- Other
- Citizenship/State of Incorporation/Organization Delaware

#### Receiving Party

Mark if additional names of receiving parties attached

Name Ormco Corporation

DBA/AKA/TA

Composed of

Address (line 1) 1717 West Collins Avenue

Address (line 2)

Address (line 3) Orange

City

California

State/Country

92867

Zip Code

- Individual  General Partnership  Limited Partnership  Association
- Corporation
- Other

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

- Citizenship/State of Incorporation/Organization Delaware

#### FOR OFFICE USE ONLY

03/23/1999 VBRDMM 00000036 500300 2147914

01 FC:481 40.00 CH  
02 FC:482 225.00 CH

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK  
REEL: 1873 FRAME: 0316

MPLD 3/15/99

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)			Registration Number(s)		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="2147914"/>	<input type="text" value="1399301"/>	<input type="text" value="1403006"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="2160843"/>	<input type="text" value="1476734"/>	<input type="text" value="1404790"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="1995472"/>	<input type="text" value="1473011"/>	<input type="text" value="1273410"/>

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Kelly C. Scott, Esq.

Name of Person Signing



Signature

3/9/99

Date Signed

**RECORDATION FORM COVER SHEET  
CONTINUATION  
TRADEMARKS ONLY**

FORM PTO-1618C  
Expires 08/30/99  
OMB 0651-0027

U.S. Department of Commerce  
Patent and Trademark Office  
**TRADEMARK**

**Conveying Party**

Enter Additional Conveying Party

Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name

Formerly

Individual  General Partnership  Limited Partnership  Corporation  Association

Other

Citizenship State of Incorporation/Organization

**Receiving Party**

Enter Additional Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Individual  General Partnership  Limited Partnership

Corporation  Association

Other

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**Trademark Application Number(s) or Registration Number(s)**

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Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

**Trademark Application Number(s)**

**Registration Number(s)**

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Office of the Secretary of State

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I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ORMCO CORPORATION", A DELAWARE CORPORATION,

WITH AND INTO ""A" COMPANY ORTHODONTICS" UNDER THE NAME OF "ORMCO CORPORATION", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-THIRD DAY OF DECEMBER, A.D. 1998, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE FIRST DAY OF JANUARY, A.D. 1999.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



0810951 8100M

981497476

Handwritten signature of Edward J. Freel in cursive script.

Edward J. Freel, Secretary of State

AUTHENTICATION: 9482753

DATE: 12-23-98

TRADEMARK  
REEL: 1873 FRAME: 0319

**CERTIFICATE OF MERGER**  
**OF**  
**ORMCO CORPORATION**  
**WITH AND INTO**  
**"A" COMPANY ORTHODONTICS**

The undersigned corporation, pursuant to Section 251 of the Delaware General Corporation Law, for the purpose of merging Ormco Corporation, a Delaware corporation ("Ormco"), into "A" Company Orthodontics, a Delaware corporation ("ACO") which is the surviving corporation in such merger, (together hereinafter the "Constituent Corporations") hereby certifies the following:

1. An Agreement and Plan of Merger (the "Agreement of Merger") by and between Ormco and ACO has been approved, adopted, certified, executed and acknowledged by each of the Constituent Corporations in accordance with Section 251 of the Delaware General Corporation Law.

2. The Certificate of Incorporation of ACO (sometimes referred to as the "Surviving Corporation") shall be the Certificate of Incorporation of the Surviving Corporation. Article 1 of the Certificate of Incorporation of ACO shall, at the Effective Time (as hereinafter defined) of the merger, be amended to read in its entirety as follows:

"1. Name. The name of the corporation is Ormco Corporation."

3. The executed Agreement of Merger is on file at an office of the Surviving Corporation, 411 East Wisconsin Avenue, Milwaukee, Wisconsin 53202.

4. A copy of the Agreement of Merger will be furnished by the Surviving Corporation, on request and without cost, to any stockholder of either of the Constituent Corporations.

5. The effective date and time of the merger (the "Effective Time") shall be at 12:01 a.m. EST on January 1, 1999.

IN WITNESS WHEREOF, the Surviving Corporation has caused this Certificate of Merger to be executed as of the 22nd day of December, 1998.

**"A" COMPANY ORTHODONTICS**

By: \_\_\_\_\_

  
Gregory D. Waller  
Treasurer