

04-12-1999

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FORM PTO-1594 (Rev. 3-93) 4-8-99 OMB No. 0251-0211 (exp. 4/94)



SHEET

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

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Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): AMBI Inc. (formerly Applied Microbiology, Inc.)

- Individual(s), Association, General Partnership, Limited Partnership, Corporation-State NY, Other

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment, Merger, Security Agreement, Change of Name, Other

Execution Date: July 21, 1998

2. Name and address of receiving party(ies)

Name: Oriola Oy

Internal Address: Orionintie 5

Street Address: P. O. Box 8

City: Espoo 02101 State: Finland ZIP:

- Individual(s) citizenship, Association, General Partnership, Limited Partnership, Corporation-State Finland, Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No

(Designations must be a separate document from assignment) Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

Serial No. 75/255571

B. Trademark Registration No.(s)

Registration No. 2,124,155

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Silbiger, Esq.

Internal Address:

Street Address: Gilbert, Segall and Young LLP

430 Park Avenue

City: New York State: NY ZIP: 10022

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41) \$ 65.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

04/12/1999 BCDATES 00000057 75255571

01 FC:481 02 FC:482

40.00 DP 25.00 DP

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas Silbiger Name of Person Signing

Handwritten signature

Signature

4/7/99 Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required coversheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

TRADEMARK REEL: 1880 FRAME: 0960

Peter Bergstrom

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JUL 21 1998 3:39PM

NO. 7091 P. 4

**TRADEMARK ASSIGNMENT**

**WHEREAS**, pursuant to an Option and License Agreement (the "Agreement") dated April 28, 1995, AMBI INC. (formerly APPLIED MICROBIOLOGY, INC.), a New York corporation, of 771 Old Saw Mill River Road, Tarrytown, New York, 10591 ("Assignor") agreed to assign all of its right, title and interest in and to the trademark "CARDIA & DESIGN" (the "Trademark") to ORIOLA OY, a Finnish corporation, of Orionintie 5, P.O. Box 8, Espoo 02101, Finland ("Assignee"); and

**WHEREAS**, registration of such Trademark has been applied for in the United States Patent and Trademark Office, Application filed March 11, 1997, Serial No. 75/255571, in International Class 030.

**NOW, THEREFORE**, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby assign, transfer and set over to Assignee, Assignor's entire right, title and interest in and to the Trademark worldwide, the same to be held and enjoyed by Assignee for its own use and benefit and for the use and benefit of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment had not been made.

Assignor hereby authorizes and requests any official of the United States Patent and Trademark Office and any other country or countries whose duty it is to issue trademark registrations, to issue any and all registrations based on applications for the Trademark to Assignee as assignee of Assignor's entire interest therein.

Assignor further covenants and agrees to execute and cause to be executed, at the request of Assignee or its successors, assigns or other legal representatives, all assignments, powers of attorney, and other papers as may be reasonably necessary to enable Assignee to obtain or maintain the Trademark.

This Assignment is subject to the grant by Assignor to Assignee of an exclusive license to the Trademark in the United States, its territories and possessions, subject to and in accordance with the terms of the Option and License Agreement.

Peter Bergstrom  
JUL. 21. 1998 3:40PM

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NO. 7091 P. 5

IN WITNESS WHEREOF, AMBI INC. has caused this instrument to be executed this 21st day of July, 1998.

AMBI INC.

By: Benjamin T. Sporn  
Benjamin T. Sporn  
Senior Vice President

On this 21st day of July, 1998, before me personally came Benjamin T. Sporn, to me known, who, being by me duly sworn, did depose and say that he resides at 49 Lismore Road, Lawrence, New York 11559, that he is the Senior Vice President of AMBI Inc., the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation, that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of directors of said corporation, and that he signed his name thereto by like order.

Grace M. Mahelsky  
Notary Public

GRACE M. MAHELKY  
Notary Public, State of New York  
No. 4674488  
Qualified in Westchester County  
Commission Expires March 30, 2000

(CORPORATE SEAL)

Peter Bergstrom  
JUL 21 1998 3:40PM

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NO. 7091 P. 6

## TRADEMARK ASSIGNMENT

**WHEREAS**, pursuant to an Option and License Agreement (the "Agreement") dated April 28, 1995, AMBI INC. (formerly APPLIED MICROBIOLOGY, INC.), a New York corporation, of 771 Old Saw Mill River Road, Tarrytown, New York, 10591 ("Assignor") agreed to assign all of its right, title and interest in and to the trademark "CARDIA" (the "Trademark") to ORIOLA OY, a Finnish corporation, of Orionintie 5, P.O. Box 8, Espoo 02101, Finland ("Assignee"); and

**WHEREAS**, registration of such Trademark has been applied for in the United States Patent and Trademark Office, Application filed August 4, 1995, Serial No. 74/711150, in International Class 030, and issued on December 23, 1997 as Registration No. 2,124,155.

**NOW, THEREFORE**, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby assign, transfer and set over to Assignee, Assignor's entire right, title and interest in and to the Trademark worldwide, the same to be held and enjoyed by Assignee for its own use and benefit and for the use and benefit of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment had not been made.

Assignor hereby authorizes and requests any official of the United States Patent and Trademark Office and any other country or countries whose duty it is to issue trademark registrations, to issue any and all registrations based on applications for the Trademark to Assignee as assignee of Assignor's entire interest therein.

Assignor further covenants and agrees to execute and cause to be executed, at the request of Assignee or its successors, assigns or other legal representatives, all assignments, powers of attorney, and other papers as may be reasonably necessary to enable Assignee to obtain or maintain the Trademark.

This Assignment is subject to the grant by Assignor to Assignee of an exclusive license to the Trademark in the United States, its territories and possessions, subject to and in accordance with the terms of the Option and License Agreement.

Peter Bergstrom

1 - 309 - 932 8246 07/21 1998 03:15:07 PM P.8

JUL 21 1998 3:40PM

NO. 7091 P. 7

IN WITNESS WHEREOF, AMBI INC. has caused this instrument to be executed this 21st day of July, 1998.

AMBI INC.

By: Benjamin T. Sporn  
Benjamin T. Sporn  
Senior Vice President

On this 21st day of July, 1998, before me personally came Benjamin T. Sporn, to me known, who, being by me duly sworn, did depose and say that he resides at 49 Lismore Road, Lawrence, New York 11559, that he is the Senior Vice President of AMBI Inc., the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation, that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of directors of said corporation, and that he signed his name thereto by like order.

Grace M. Mahelsky  
Notary Public

GRACE M. MAHELKY  
Notary Public, State of New York  
No. 4874488  
Qualified in Westchester County  
Commission Expires March 30, 2000

(CORPORATE SEAL)

