

RECORDATION FO
TRADEMA

04-19-1999

ENT OF COMMERCE
and Trademark Office



or copy thereof

To the Honorable Commissioner of Patents and Trademarks:

1. Name of conveying party(ies):

Novartis Finance Corporation

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State ☐
☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other **Effective January 1, 1997**

Execution Date: **April 6, 1999**

101015796

Name: **Novartis Corporation**

Internal Address:

Street Address: **564 Morris Avenue**

City: **Summit** State **NJ** Zip: **07901**

- ☐ Individual(s) citizenship
☐ Association
☐ General Partnership
☐ Limited Partnership
☒ Corporation-State **New York**
☐ Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s) **1,105,382**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Chris Doninger**

Internal Address: **Patent and Trademark Department**

Street Address:

564 Morris Avenue

City: **Summit** State: **NJ** Zip: **07901-1027**

6. Total number of applications and registrations involved:

1

7. Total fee (37 CFR 3.41)\$ **40.00**

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Chris Doninger

Name of Person Signing

Signature

April 7, 1999

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK ASSIGNMENT NUNC PRO TUNC

WHEREAS, Novartis Finance Corporation, a corporation of New York, located and doing business at 564 Morris Avenue, Summit, New Jersey, hereinafter referred to as Assignor, has adopted, used and is using in its business a trademark which is registered in the United States Patent and Trademark Office on the Principal Register as Registration No. 1,105,382, for the mark CONCEP for "sorghum liquid seed safener"; and

WHEREAS, Novartis Corporation, a corporation of New York, located and doing business at 564 Morris Avenue, Summit, New Jersey hereinafter referred to as Assignee, is desirous of acquiring said mark and the goodwill and registration thereof;

NOW, THEREFORE, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, said Assignor does hereby sell, assign and transfer unto said Assignee all right, title and interest in and to said Mark, together with the goodwill of the business represented by the Mark, and the registration thereof, *nunc pro tunc*, effective as of January 1, 1997.

Novartis Finance Corporation

ATTEST:

By: Valerie Nixon

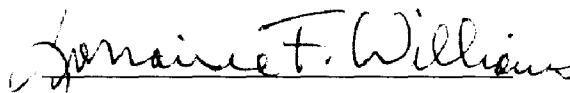
Name: Valerie Nixon

By: Wayne P. Merkelson

Name: Wayne Merkelson
Title: Vice-President

STATE OF NEW JERSEY)
 ss.:
COUNTY OF UNION)

On this 6th day of April, 1999, before me personally appeared Wayne Merkelson, the person who signed this instrument, who acknowledged that he/she signed it as a free act on behalf of the identified corporation, with authority to do so.



LORRAINE F. WILLIAMS
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 28, 2003