

04-26-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Shed original documents or copy thereof.

Conveying party(ies):

101019052

Name and address of receiving party(ies):

Novartis Finance CorporationName: **Novartis Corporation**

Internal Address:

Street Address: **564 Morris Avenue**City: **Summit** State: **NJ** Zip: **07901**☐ Individual(s) citizenship☐ Association☐ General Partnership☐ Limited Partnersh☒ Corporation-State **New York**☐ Other

04-09-1999

U.S. Patent & TMO/c/TM Mail Rcpt Dt. #22

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other**Effective January 1, 1997**

Execution Date:

April 6, 1999

If assignee is not domiciled in the United States, a domestic

representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s) **1,105,382**Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Chris Doninger**Internal Address: **Patent and Trademark Department**

Street Address:

564 Morris AvenueCity: **Summit** State: **NJ** Zip: **07901-1027**

6. Total number of applications and registrations involved:

17. Total fee (37 CFR 3.41)\$ **40.00**☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Chris Doninger

Name of Person Signing

Signature

April 7, 1999

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231TRADEMARK
REEL: 1889 FRAME: 0470

TRADEMARK ASSIGNMENT NUNC PRO TUNC

WHEREAS, Novartis Finance Corporation, a corporation of New York, located and doing business at 564 Morris Avenue, Summit, New Jersey, hereinafter referred to as Assignor, has adopted, used and is using in its business a trademark which is registered in the United States Patent and Trademark Office on the Principal Register as Registration No. 1,105,382, for the mark CONCEP for "sorghum liquid seed safener"; and

WHEREAS, Novartis Corporation, a corporation of New York, located and doing business at 564 Morris Avenue, Summit, New Jersey hereinafter referred to as Assignee, is desirous of acquiring said mark and the goodwill and registration thereof;

NOW, THEREFORE, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, said Assignor does hereby sell, assign and transfer unto said Assignee all right, title and interest in and to said Mark, together with the goodwill of the business represented by the Mark, and the registration thereof, *nunc pro tunc*, effective as of January 1, 1997.

Novartis Finance Corporation

ATTEST:

By: Valerie Nixon

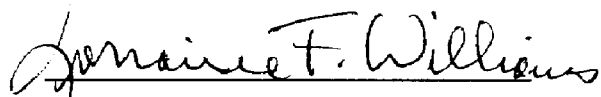
Name: Valerie Nixon

By: Wayne P. Merkelson

Name: Wayne Merkelson
Title: Vice-President

STATE OF NEW JERSEY)
 ss.:
COUNTY OF UNION)

On this 6th day of April, 1999, before me personally appeared Wayne
Merkelson, the person who signed this instrument, who acknowledged that he/she signed
it as a free act on behalf of the identified corporation, with authority to do so.

A handwritten signature in cursive script, reading "Lorraine F. Williams", written over a horizontal line.

LORRAINE F. WILLIAMS
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Aug. 28, 2003



04-09-1999

U.S. Patent & TMOtc/TM Mail Rcpt Dt. #22

Post Registration Division
Reg. No. 1,105,382
Trademark: CONCEP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re registration of	: Affidavit/Renewal Examiner
Ciba-Geigy Corporation	: Frances A. Pfohl
Reg. No.: 1,105,382	: Post Registration Division
Registered : November 7, 1978	
Trademark: CONCEP	

Response to Office Action No. 1, dated March 19, 1999.

Assistant Commissioner for Trademarks
2900 Crystal Drive
Arlington, VA 22202-3513

S I R

The applicant wishes to thank the Affidavit/Renewal Examiner for the comprehensive review of the application. As a result of this thorough review, the applicant believes it has now properly addressed all relevant issues.

Ownership Of Mark

As per the enclosed documents, Novartis Corporation is the actual owner of this mark. The documents filed with the Assignment Branch on October 16, 1998 that transferred the mark from Novartis Corporation to Novartis Finance Corporation contained an error and this mark should not have been transferred to Novartis Finance Corporation. A copy of an assignment nunc pro tunc and the cover letter to the Assignment Division is enclosed, although it has not been recorded yet.

By the time of the Affidavit/Renewal Examiner's receipt of this response, the assignment should have been recorded and the renewal certificate can then issue in the new owner's name.

In view of the above, Novartis Corporation respectfully suggests that the registration is now in condition for renewal and requests that it proceed. Should the Affidavit/Renewal Examiner have any additional questions or requirements, please do not hesitate to contact me.

Respectfully submitted,

Novartis Corporation

Dated: April 7, 1999

By



Chris Doninger
Attorney for Applicant
(908) 522-6941

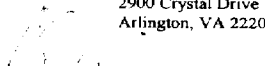
encl.

- Assignment
- Postcard

NOVARTIS CORPORATION
564 Morris Avenue
Summit, NJ 07901

I hereby certify that this correspondence is being
deposited on April 7, 1999 with the United States
Postal Service as first class mail, postage prepaid, in
an envelope addressed to:

Assistant Commissioner for Trademarks
BOX RESPONSES, NO FEE
2900 Crystal Drive
Arlington, VA 22202-3513


Chris Doninger

Date: April 7, 1999