

06-08-1999

U.S. Department of Commerce  
Patent and Trademark Office

6-4-99 m700  
6-4-99



T

101058382

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Goodman & Company Ltd.**  
40 King Street West  
Scotia Plaza, 55 Floor  
Toronto, Ontario M5H 4A9  
CANADA

- Individual(s)
- General Partnership
- Corporation-State
- Other **Canada Corporation**

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and Address of receiving party(ies)

Name: **Dundee Investment Management & Research Ltd.**

Address: **40 King Street West  
Scotia Plaza, 55 Floor  
Toronto, Ontario M5H 4A9  
CANADA**

- Individual(s) citizenship \_\_\_\_\_
- Association \_\_\_\_\_
- General Partnership \_\_\_\_\_
- Limited Partnership \_\_\_\_\_
- Corporation-State \_\_\_\_\_
- Other **Canada Corporation**

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other \_\_\_\_\_
- Merger
- Change of Name

Execution Date: 5/21/98

4. Application number(s) or registration number(s):

A. Trademark Application No.(s) **75/337,226**  
Mark: **DYNAMIC SMALL CAP FUND**

B. Trademark Registration No.(s) **2,166,757**  
Mark: **DYNAMIC DIVIDEND GROWTH FUND**

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Midge M. Hyman  
Sandra Covington  
Cowan, Liebowitz & Latman, P.C.  
1133 Avenue of the Americas  
New York, NY 10036-6799**

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41)..... \$ 65.00

- Enclosed
- Any deficiency is authorized to be charged to Deposit Account No. **03-3415**.

8. Deposit Account No. **03-3415**

(Attach duplicate copy of this page if paying by deposit account)

06/07/1999 MTHA11 00000147 75337226

DO NOT USE THIS SPACE

01 FC:481  
02 FC:482  
Statement and signature.

40.00 OP  
25.00 OP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Sandra Covington**  
Name of Person Signing

*Sandra Covington*  
Signature

**May 20, 1999**  
Date

Total number of pages including cover sheet, attachments, and document: 3



Part 3  
Business  
Corporations  
Act  
Formule 3  
Loi sur les  
sociétés par  
actions

5. The amendment has been duly authorized as required by sections 168 and 170 (as applicable) of the Business Corporations Act.

La modification a été dûment autorisée conformément aux articles 168 et 170 (selon le cas) de la Loi sur les sociétés par actions.

6. The resolution authorizing the amendment was approved by the shareholders/directors (as applicable) of the corporation on

Les actionnaires ou les administrateurs (selon le cas) de la société ont approuvé la résolution autorisant la modification le

21/May/1998

(Day, Month, Year)  
(jour, mois, année)

These articles are signed in duplicate.

Les présents statuts sont signés en double exemplaire.

GOODMAN & COMPANY LTD.

(Name of Corporation)  
(Dénomination sociale de la société)

By/Par:

(Signature)  
(Signature)

(Description of Office)  
(Fonction)

Carole Colbert - Director

not prepared by  
with Lyons  
no & Solicitors  
& Trademark  
Agents  
de Oshawa

Form 3.11  
revised 1997

a registered trade  
mark of the  
Corporation,  
1998.