

2-13-99

07-15-1999

U.S. Department of Commerce
Patent and Trademark Office



HEET

7-13-99

101091551

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Dundee Investment Management & Research Ltd.

Individual(s) Association
 General Partnership Limited Partnership
 Corporation- Canada
 Other _____

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and Address of receiving party(ies)

Name: Dundee Bancorp Inc.

Address: 40 King Street West
 Scotia Plaza, 55th Floor
 Toronto, Ontario M5H 4A9
 Canada

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: June 18, 1999

Individual(s) citizenship _____
 Association _____
 General Partnership _____
 Limited Partnership _____
 Corporation- Canada
 Other _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
 (Designations must be a separate document from assignment)
 Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s) 75/560,321
POWER BALANCED FUND (Stylized)

B. Trademark Registration No.(s) 1,279,212
DYNAMIC GROUP OF FUNDS

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Midge M. Hyman, Esq.
 Sandra Covington, Esq.
 Cowan, Liebowitz & Latman, P.C.
 1133 Avenue of the Americas
 New York, NY 10036-6799

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41)..... \$ 65.00

Enclosed

Any deficiency or overpayment is authorized to be charged to Deposit Account No. 03-3415.

8. Deposit Account No. 03-3415

(Attach duplicate copy of this page if paying by deposit account)

07/14/1999 MTHAI1 00000126 75560321

01 FC:481 40.00 OP
 02 FC:482 25.00 OP

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sandra Covington July 4, 1999
 Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: 4

Mail to: U.S. Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, DC 20231

TRADEMARK
REEL: 001927 FRAME: 0038

POWER OF ATTORNEY

The undersigned hereby appoints Midge M. Hyman, Arthur J. Greenbaum, David Goldberg, William M. Borchard, Baila H. Celedonia, Mary L. Kevlin, Lynn S. Fruchter, Jeffrey H. Epstein and all other attorneys of Cowan, Liebowitz & Latman, P.C., 1133 Avenue of the Americas, New York, New York 10036-6799, members of the Bar of the State of New York, its attorneys, with full powers of revocation and substitution, to record the attached Trademark Assignment between Dundee Investment Management & Research Ltd. and Dundee Bancorp Inc., to transact all business in the U.S. Patent and Trademark Office in connection therewith, and to receive the Certificate of Recordal.

APPOINTMENT OF DOMESTIC REPRESENTATIVE

With respect to this recordal of the Trademark Assignment between Dundee Investment Management & Research Ltd. and Dundee Bancorp Inc., the undersigned hereby appoints Midge M. Hyman, Arthur J. Greenbaum, David Goldberg, William M. Borchard, Baila H. Celedonia, Mary L. Kevlin, Lynn S. Fruchter, Jeffrey H. Epstein and all other attorneys of Cowan, Liebowitz & Latman, P.C., 1133 Avenue of the Americas, New York, New York 10036-6799 as its domestic representative upon whom notices or process in proceedings affecting this recordal may be served.

Respectfully submitted,

DUNDEE BANCORP INC.

By: _____

Name: WORI BEAK

Title: RAT SECRETARY

Dated: _____

June 17/99.

U.S. TRADEMARK ASSIGNMENT

WHEREAS, DUNDEE INVESTMENT MANAGEMENT & RESEARCH LTD., a Canada corporation ("DUNDEE") having its principal place of business located at 55th Floor, Scotia Plaza, 40 King Street West, Toronto, Ontario, Canada M5H 4A9 (the "Assignor"), directly or through its affiliates own the trademarks listed on Schedule A hereto and the trademark registrations and applications on the Principal Register of the United States Patent and Trademark Office and the common law rights pertaining thereto and all goodwill associated therewith (collectively the "Trademarks"); and

WHEREAS, DUNDEE BANCORP INC., a Canada corporation, having its principal place of business located at 55th Floor Scotia Plaza, 40 King Street West, Toronto, Ontario, Canada M5H 4A9 ("Assignee"), is desirous of acquiring the entire right, title and interest in and to said Trademarks; and

WHEREAS, Assignor desires to assign to Assignee all right, title and interest in said Trademarks; and


WHEREAS, Assignee has agreed to take assignment of the Trademarks.

NOW, THEREFORE, in consideration of payment of ten dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor does hereby assign, sell and transfer to Assignee, its successors, assigns and legal representatives, all its right, title and interest in and to the Trademarks and all of the goodwill associated therewith, together with the right to recover damages and profits and all other remedies for past infringements thereof.

This Assignment is effective as of the date hereof. Assignor agrees to sign and/or have its appropriate affiliate sign any additional assignments and other appropriate documentation necessary to effectuate and validate this Assignment as soon as is practicable after the date of this Assignment.

IN WITNESS WHEREOF, Assignor expressly intending to be legally bound thereby, has caused this Agreement to be duly executed this 18th day of June, 1999.

DUNDEE INVESTMENT MANAGEMENT &
RESEARCH LTD.

By: 

Name: C. Colbert

Title: Secretary

SCHEDULE A

Mark	Serial No.	Registration No.
POWER BALANCED FUND (Stylized)	75/560,321	
DYNAMIC GROUP OF FUNDS		1,279,212

SXC/MED/23137/11/362113.1

RECORDED: 07/13/1999

TRADEMARK
REEL: 001927 FRAME: 0042