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U.S. Department of Commerce  
Patent and Trademark Office



To the Honorable Commissioner of Patents and Trademarks: T.

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py thereof.

1. Name of conveying party(ies):

Dundee Capital Inc.

- Individual(s)
- General Partnership
- Corporation- Canada
- Other
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and Address of receiving party(ies)

Name: Dundee Bancorp Inc.

Address: 40 King Street West  
Scotia Plaza, 55th Floor  
Toronto, Ontario M5H 4A9  
Canada

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: October 1, 1997

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation- Canada
- Other

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)  
Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s)

A. Trademark Application No.(s) 75/010,152  
**CMP RESOURCES**

B. Trademark Registration No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Midge M. Hyman, Esq.  
Sandra Covington, Esq.  
Cowan, Liebowitz & Latman, P.C.  
1133 Avenue of the Americas  
New York, NY 10036-6799

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

- Enclosed
- Any deficiency or overpayment is authorized to be charged to Deposit Account No. 03-3415.

8. Deposit Account No. 03-3415

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sandra Covington  
Name of Person Signing

Sandra Covington  
Signature

July 12, 1999  
Date

Total number of pages including cover sheet, attachments, and document: 2

Mail to: U.S. Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, DC 20231

TRADEMARK  
REEL: 001927 FRAME: 0649

connection therewith, and to receive the Certificate of Registration. All previous Powers of Attorney are hereby revoked.

**SUBSTITUTE APPOINTMENT OF DOMESTIC REPRESENTATIVE**

Midge M. Hyman, Arthur J. Greenbaum, David Goldberg, William M. Borchard, Baila H. Celedonia, Mary L. Kevlin, Lynn S. Fruchter, Jeffrey H. Epstein and all other attorneys of Cowan, Liebowitz & Latman, P.C., 1133 Avenue of the Americas, New York, New York 10036-6799 are hereby appointed applicant's domestic representative upon whom notices or process in proceedings affecting this mark may be served. All previous Appointments of Domestic Representative are hereby revoked.

Respectfully submitted,

**DUNDEE BANCORP INC.**

By: 

Name: LORI BEAK

Title: ASST SECRETARY

Dated: June 16/99.

**ASSIGNMENT OF INTELLECTUAL PROPERTY**

**THIS AGREEMENT**, effective as of the first day of October, 1997 by and between **DUNDEE CAPITAL INC.** a Canadian corporation with offices at 55th floor, Scotia Plaza, 40 King Street West, Toronto, Ontario M5H 4A9 ("Assignor"), and **DUNDEE BANCORP INC.**, a Canadian corporation with offices at 55th floor, Scotia Plaza, 40 King Street West, Toronto, Ontario M5H 4A9 ("Assignee").

**WHEREAS**, Assignor has intended to use the mark **CMP RESOURCES** in commerce and has filed an application on October 18, 1995, now assigned Serial No. 75/010,152 (the "Service Mark Application"), indicating intent to use, but has not yet filed an allegation of use under §§1(c) or 1(d) of the Trademark Act, and;

**WHEREAS**, Assignee is the successor to the portion of Assignor's business to which the mark pertains, and said business is ongoing and existing, as required by 15 U.S.C. § 1060;

**WHEREAS**, Assignee desires to acquire the ownership of said service mark and Service Mark Application;

**NOW, THEREFORE**, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor has agreed to sell and has sold, assigned, transferred, and set over and by these presents does sell, assign, transfer, and set over unto Assignee and its successors and assigns all of Assignor's right, title, and interest in and to the Service Mark Application together with the good will of the business symbolized thereby.

DUNDEE CAPITAL INC.

Date: 10/1/97

By: [Signature]

Garth MacRae  
(type or print name)

# Cowan, Liebowitz & Latman, P.C.

LAW OFFICES

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**Sandra Covington**  
Direct (212) 790-9265  
sxc@cll.com

July 12, 1999

## VIA HAND DELIVERY

Box Assignments  
Assignment Division  
Assistant Commissioner for Trademarks  
U.S. Patent and Trademark Office  
CG-4, 1213 Jefferson Davis Hwy, Suite 320  
Washington, D.C. 20231

Re: Recordation of Document:  
Assignment of Service Mark **CMP RESOURCES** to Dundee Bancorp Inc.  
Attorney Reference: 23137.11

Dear Sir or Madam:

We enclose the following:

1. Assignment of Trademark to Dundee Bancorp Inc.
- 2) A Recordation Form Cover Sheet;
- 3) Power of Attorney and Appointment of Domestic Representative Form; and
- 3) A check in the amount of \$ 40.00 to cover the recordal fee.

Please record the Assignment document against the trademark application listed on the Recordation Form Cover Sheet.

If the enclosed check is insufficient and additional fees are required, please charge our Deposit Account No. 03-3415. A copy of this letter is enclosed for that purpose.

Please address all communications, either by mail or telephone, to the undersigned.

Respectfully submitted,

COWAN, LIEBOWITZ & LATMAN, P.C.  
Attorneys for Registrant

By:

  
Sandra Covington

Enclosures

cc: Midge M. Hyman, Esq.

SXC/MED/23137/11/369404.1

RECORDED: 07/13/1999

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