

*Corrective* RECC  
*MRD*

09-14-1999



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101125939

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Penguin Books USA Inc.  
Execution Date: 12/31/98

- Individual(s)
- General Partnership
- Corporation-State - Delaware
- Other \_\_\_\_\_
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance: 40

- Assignment
- Security Agreement
- Other Document previously recorded  
at Reel 1837 Frame 0883 contained an  
Execution Date: error in reg. No. 1609506.
- Merger
- Change of Name

2. Name and address of receiving party(ies)

Name: Penguin Putnam Inc.  
Internal Address: \_\_\_\_\_  
Street Address: 375 Hudson Street  
City: New York State: NY ZIP: 10014

- Individual(s) citizenship \_\_\_\_\_
- Association \_\_\_\_\_
- General Partnership \_\_\_\_\_
- Limited Partnership \_\_\_\_\_
- Corporation-State Delaware
- Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached?  Yes  No

Document rerecorded to correct error on stated reel.

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

1,609,056

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald S. Kadden  
Internal Address: (Telephone - 212-661-1400)  
Von Maltitz, Derenberg, Kunin,  
Janssen & Giordano  
Street Address: 60 East 42nd Street  
City: New York State: NY ZIP: 10165

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 40E

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

09/23/1999 DCOATES 00000100 1609056

01 FC:481 9. Statement and signature. 40.00 OP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald S. Kadden  
Name of Person Signing

Ronald S. Kadden  
Signature

Sept. 14, 1999  
Date

Total number of pages including cover sheet, attachments, and document:

06-02-1999

Tab settings 000



To the Honorable Commissioner of Patents

original documents or copy thereof.

1. Name of conveying party(ies):

101021603

Name of receiving party(ies)

Penguin Books USA Inc.

Name: Penguin Putnam Inc.

- Individual(s)
- General Partnership
- Corporation-State - Delaware
- Other

- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

Internal Address: \_\_\_\_\_  
 Street Address: 375 Hudson Street  
 City: New York State: NY ZIP: 10014

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation-State - Delaware
- Other

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
 (Designations must be a separate document from assignment)  
 Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: December 31, 1998

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

See attached Schedule A

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald S. Kadden  
 Internal Address: \_\_\_\_\_  
 Von Maltitz, Derenberg, Kunin,  
 Tanssen & Giordano  
 Street Address: 90 East 42nd Street  
 City: New York State: NY ZIP: 10165

6. Total number of applications and registrations involved: 48

7. Total fee (37 CFR 3.41) \$ 1,215.00

Enclosed

Authorized to be charged to deposit account, if necessary.

8. Deposit account number

22-056

(Attach duplicate copy of this page if paying by deposit account)

01/11/1999 JSMBBZ 00000055 1054312

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ST FC: 481 40.00 DP  
02 SC: 882 1175.00 DP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald S. Kadden  
Name of Person Signing

*Ronald S. Kadden*  
Signature

1/6/99

Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

TRADEMARK  
REEL: 1837 FRAME: 0883

TRADEMARK  
REEL: 001933 FRAME: 0709

MENTOR	510,998	
MERIDIAN	1,108,626	
NAL and Design	804,301	
NEW AMERICAN	1,849,890	
ONCE UPON AMERICA	1,639,364	
ONYX	1,432,907	
P Design	949,816	
PIED PIPER	1,163,686	
PIED PIPER Colophon	2,065,267	
PLUME	903,909	
PUZZLE PLAY BOOK	1,846,206	
RAPTURE ROMANCE and RR	1,272,672	
ROC and Bird Design	1,624,797	
S Design and SIGNET	907,584	
SEAFARER and Design	1,908,421	
SIGNET	511,927	
SIGNET CLASSIC and Design	697,844	
SIGNET CLASSIC and Design	1,379,116	
SPEEDSTERS	<del>1,609,506</del>	<i>1,609,056 - am</i>
Sundial Colophon	1,651,931	
Sundial Design	2,020,975	
THE PORTABLE	1,304,975	
THE VIKING PORTABLE LIBRARY	1,304,976	
TOPAZ	1,864,724	

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AGREEMENT OF MERGER, WHICH MERGES:

"BERKLEY PUBLISHING CORPORATION", A DELAWARE CORPORATION,  
"CHARTER COMMUNICATIONS, INC.", A NEW YORK CORPORATION,  
"COWARD-MCCANN, INC.", A NEW YORK CORPORATION,  
"JEREMY P. TARCHER, INC.", A CALIFORNIA CORPORATION,  
"JOVE PUBLICATIONS, INC.", A DELAWARE CORPORATION,  
"J. P. TARCHER, INC.", A DELAWARE CORPORATION,  
"PENGUIN BOOKS USA INC.", A NEW YORK CORPORATION,  
"PLATT & MUNK, INC.", A NEW YORK CORPORATION,  
"PRICE STERN SLOAN, INC.", A DELAWARE CORPORATION,  
"THE PUTNAM BERKLEY GROUP, INC.", A NEW YORK CORPORATION,  
WITH AND INTO "PENGUIN PUTNAM INC." UNDER THE NAME OF "PENGUIN PUTNAM INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE EIGHTH DAY OF DECEMBER, A.D. 1998, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AGREEMENT OF MERGER IS THE



*Edward J. Freel*

Edward J. Freel, Secretary of State

2693236 8100M

981470478

AUTHENTICATION:

9448054

DATE:

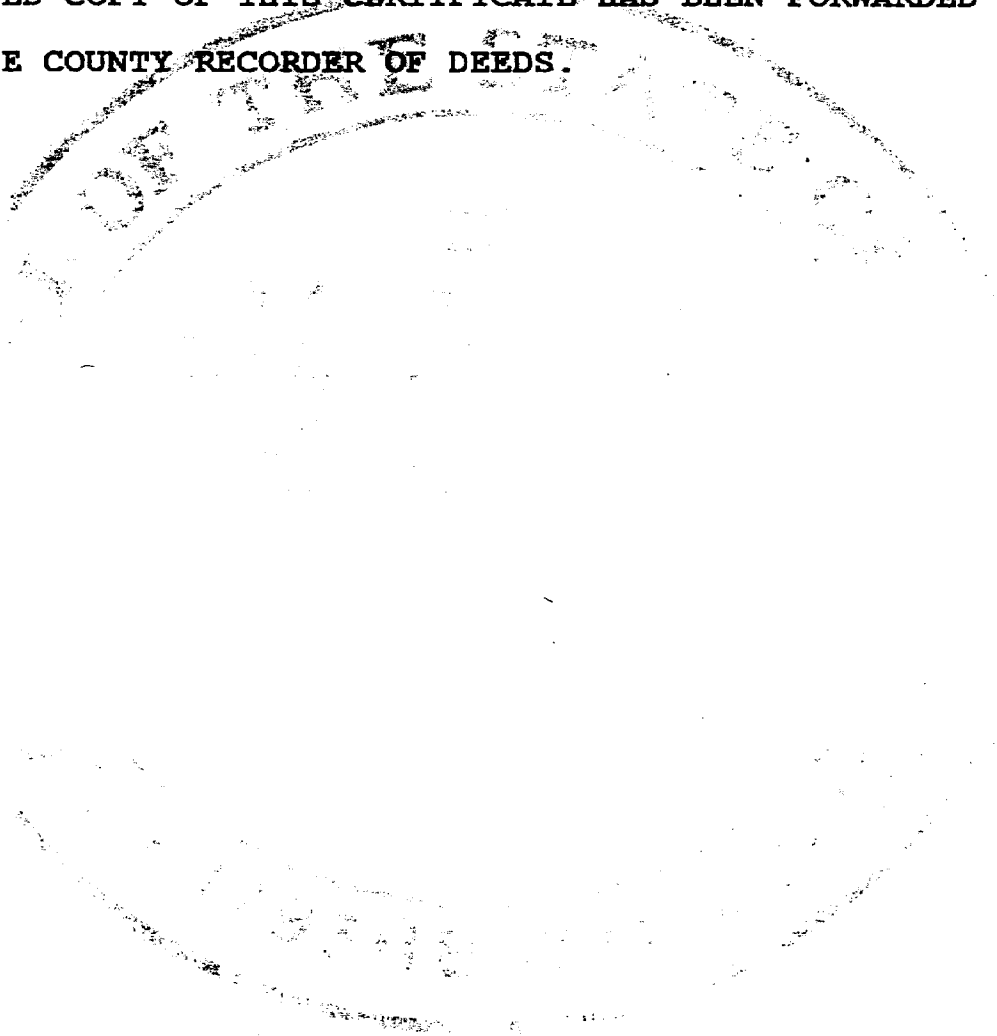
TRADEMARK  
REG. U.S. PAT. & TM. OFF. FILE NO. 0711  
12-08-98

State of Delaware  
Office of the Secretary of State

PAGE 2

THIRTY-FIRST DAY OF DECEMBER, A.D. 1998.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE  
NEW CASTLE COUNTY RECORDER OF DEEDS.



*Edward J. Freel*

Edward J. Freel, Secretary of State

AUTHENTICATION:

2693236 8100M

RECORDED: 06/09/1999  
981470478

DATE:

9448054  
REEL: 001933 FRAME: 0712

12-08-98