

08-11-1999



MRD  
8-9-99

RECO. 101114002  
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)  
Document ID #
- Correction of PTO Error  
Reel #  Frame #
- Corrective Document  
Reel #  Frame #

Conveyance Type

- Assignment  License
- Security Agreement  Nunc Pro Tunc Assignment  
Effective Date  
Month Day Year
- Merger
- Change of Name
- Other

Conveying Party

Mark if additional names of conveying parties attached

Execution Date  
Month Day Year  
07 15 99

Name

Formerly

- Individual  General Partnership  Limited Partnership  Corporation  Association

Other

Citizenship/State of Incorporation/Organization

Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

- Individual  General Partnership  Limited Partnership

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

Corporation  Association

Other

Citizenship/State of Incorporation/Organization

FOR OFFICE USE ONLY

08/10/1999 DC0ATES 00000210 2041199

01 FC:481  
02 FC:482

40.00 OP  
100.00 OP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)			Registration Number(s)		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="2041199"/>	<input type="text" value="2044623"/>	<input type="text" value="2032857"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="2113819"/>	<input type="text" value="2159933"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Timothy E. Sheil

Name of Person Signing

Signature

8/2/99

Date Signed

**ASSIGNMENT OF REGISTRATIONS**

**WHEREAS**, D. E. Shaw & Co., L.P., a Delaware limited partnership ("*Management Company*"), located at 120 West 45th Street, 39th Floor, Tower 45, New York, New York 10036, has adopted, used and is using the marks (the "*Marks*") that are registered in the United States Patent and Trademark Office under the Registration Numbers and dates of registration listed on Schedule A hereto; and

**WHEREAS**, FarSight Financial Services, L.P., a Delaware limited partnership ("*FarSight*"), located at 201 Broadway, Cambridge, Massachusetts 02139, is desirous of acquiring the Marks and the registrations thereof;

**NOW, THEREFORE**, for good and valuable consideration, receipt of which is hereby acknowledged, and pursuant to the terms and conditions of that certain Assignment and Assumption Agreement dated as of July 15, 1999 (the "*Assignment Agreement*"), among Management Company, D. E. Shaw Securities Trading, L.P. and FarSight, Management Company does hereby assign unto FarSight all right, title, and interest in and to the Marks, together with the goodwill of the business of FarSight symbolized by the Marks, and the above identified registrations thereof. This Assignment of Registrations is subject to Sections 3.1 and 4.5 of the Assignment Agreement.

D. E. Shaw & Co., L.P.

By: *[Signature]*

Title: Managing Director

STATE OF NEW YORK  
COUNTY OF New York }

On July 15, 1999, before me, Amy Jo Neill, a Notary Public in and for said State, personally appeared Louis Sal'kind, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature *Amy Jo Neill* (Seal)

**AMY JO NEILL**  
Notary Public, State of New York  
No. 01NE5035274  
Qualified in New York County  
Commission Expires Nov. 21, 2000

**FARSIGHT TRADEMARKS (UNITED STATES)**

MARK	GOODS/SERVICES	CLASS	REG./FILING DATE	REG. NO.	SERIAL NO.
FarSight	Financial and cash management services	36	2/25/97	2,041,199	74-653,367
FarSight	Software for use in providing an online account to its customers for securities trading, mutual fund investing, banking, namely money market deposits, checking, bill payment and credit card payment in the field of personal finance	9	3/11/97	2,044,623	74-653,368
FarSight & Arrow Design	Financial and cash management services	36	3/15/95	Abandoned	74-653,345
FarSight & Arrow Design	Software for use in providing an online account to its customers for securities trading, mutual fund investing, banking, namely money market deposits, checking, bill payment and credit card payment in the field of personal finance	9	1/21/97	2,032,857	74-653,369
FarSight & Dbl. Chevron	Financial and cash management services	36	11/18/97	2,113,819	75-158,971
FarSight.com	Providing information services via a global computer network	42			
FarSight	Providing information services via a global computer network	36	5/26/98	2,159,933	75-135,770
Put Your Money Where Your Mind Is	No description	9, 36	8/20/96	Abandoned	74-653,363

**FARSIGHT TRADEMARKS (EUROPEAN FILINGS)**

FarSight.com	Computer software; financial and investment services; telecommunications services	9, 36, 38	4/1/96	150,572	
FarSight & Design	Computer software; financial and investment services; telecommunications services	9, 36, 38	4/1/96	150,598	