

FCRM PTO-161  
Expires 06/30/99  
OMB 0651-0027



09-25-1998

10-19-1999



U.S. Department of Commerce  
Patent and Trademark Office  
**TRADEMARK**

CARR. MRD

U.S. Patent & TMO/TM Mail Rpt Dt. #10

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9-25-98

**RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type **9-25-98**

☐ New

☐ Resubmission (Non-Recordation)

Document ID #

☐ Correction of PTO Error

Reel #

Frame #

☒ Corrective Document

Reel #

1511

Frame #

0838

Conveyance Type

☐ Assignment

☐ License

☐ Security Agreement

☐ Nunc Pro Tunc Assignment

☐ Merger

Effective Date  
Month Day Year

☒ Change of Name Correction to prior filing

☐ Other

Conveying Party

☐ Mark if additional names of conveying parties attached

Name University Microfilms, Inc.

Execution Date  
Month Day Year  
10/07/96

Formerly

☐ Individual

☐ General Partnership

☐ Limited Partnership

☒ Corporation

☐ Association

☐ Other

☐ Citizenship/State of Incorporation/Organization

Receiving Party

☐ Mark if additional names of receiving parties attached

Name UMI Company

Refund Ref: 10/19/1999 DCOATES 0000085740

DBA/AKA/TA

CHECK Refund Total: \$60.00

10/19/1999 DCOATES 00000135 1600012

01 FC:481 40.00 OP

Address (line 2) 300 North Zeeb Road

Address (line 3) Ann Arbor

Michigan

48106

City

State/Country

Zip Code

☐ Individual

☐ General Partnership

☐ Limited Partnership

☐

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

☒ Corporation

☐ Association

☐ Other

☐ Citizenship/State of Incorporation/Organization

Adjustment date: 10/19/1999 DCOATES  
11/13/1998 VBRISCOE 00000016 1600012  
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NO SPES  
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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
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**TRADEMARK**

REEL: 001946 FRAME: 0049

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

09-25-1998  
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**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Trademark Application Number(s) or Registration Number(s)**

☐ Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

**Trademark Application Number(s)**

**Registration Number(s)**

|                      |                      |                      |                                      |                      |                      |
|----------------------|----------------------|----------------------|--------------------------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text" value="1688812"/> | <input type="text"/> | <input type="text"/> |
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| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/>                 | <input type="text"/> | <input type="text"/> |

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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~~369 Certificate of correction;  
registrant's error~~

Authorization to charge additional fees:

Yes ☐

No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

James D. Barcelona, VP & General Counsel

Name of Person Signing

Signature

Date Signed

*James D. Barcelona* 9/24/98

10-17-1996

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REC-11

U.S. PATENT, TRADEMARK &amp; COPYRIGHT JOURNAL

Note—The following Appendix will not appear in the Code of Federal Regulations

| FORM PTO-1004<br>1-21-95   |  | RECORDATION FORM COVER SHEET<br>TRADEMARKS ONLY |   | U.S. DEPARTMENT OF COMMERCE<br>Patents and Trademark Office |  |
|--|--|---|---|---|--|
| Tab settings 9 4 4 4   |  |   |   |   |  |
| To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof   |  |   |   |   |  |
| 1. Name of conveying party(ies):<br><br>University Microfilms Inc.<br>300 North Zeeb Road<br>Ann Arbor, Michigan 48106<br><br><input type="checkbox"/> Individual(s) <input type="checkbox"/> Association<br><input type="checkbox"/> General Partnership <input type="checkbox"/> Limited Partnership<br><input checked="" type="checkbox"/> Corporation-State<br><input type="checkbox"/> Other<br>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |  |   | 2. Name and address of receiving party(ies):<br>Name: <u>UMI Company</u><br>Internal Address: _____<br>Street Address: <u>300 North Zeeb Road</u><br>City: <u>Ann Arbor</u> State: <u>MI</u> ZIP: <u>48106</u><br><br><input type="checkbox"/> Individual(s) citizenship _____<br><input type="checkbox"/> Association _____<br><input type="checkbox"/> General Partnership _____<br><input type="checkbox"/> Limited Partnership _____<br><input type="checkbox"/> Corporation-State _____<br><input type="checkbox"/> Other _____<br>If assignee is not domiciled in the United States, a domestic representative designation is attached: <input type="checkbox"/> Yes <input type="checkbox"/> No<br>(Designations must be a separate document from Assignment)<br>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No |   |  |
| 3. Nature of conveyance:<br><br><input type="checkbox"/> Assignment <input type="checkbox"/> Merger<br><input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name<br><input type="checkbox"/> Other _____<br>Execution Date: <u>11/14/94</u>   |  |   |   |   |  |
| 4. Application number(s) or registration number(s):<br>A. Trademark Application No.(s)<br><br>Additional numbers attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No   |  |   | B. Trademark registration No.(s)<br><br><u>1,714,626</u>  |   |  |
| 5. Name and address of party to whom correspondence concerning document should be mailed:<br>Name: <u>Richard A. Gaffin</u><br>Internal Address: <u>Miller, Canfield,</u><br><u>Paddock and Stone, P.C.</u><br>Street Address: <u>1200 Campus Sq Plaza</u><br><u>99 Monroe Avenue, N.W.</u><br>City: <u>Grand Rapids</u> State: <u>MI</u> ZIP: <u>49503</u>  |  |   | 6. Total number of applications and registrations involved: <u>11</u>   |   |  |
|  |  |   | 7. Total fee (37 CFR 3.41): \$ <u>290.00</u><br><input checked="" type="checkbox"/> Enclosed<br><input type="checkbox"/> Authorized to be charged to deposit account  |   |  |
|  |  |   | 8. Deposit account number: _____<br>(Attach duplicate copy of this page if paying by deposit account)   |   |  |
| DO NOT USE THIS SPACE  |  |   |   |   |  |
| 9. Statement and signature.<br>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.<br><br><u>Richard A. Gaffin</u> <u>Richard A. Gaffin</u> <u>10/07/96</u><br>Name of Person Signing      Signature      Date<br>Total number of pages comprising cover sheet: <u>1</u>  |  |   |   |   |  |
| OMB No. 0651-0011 (rev. 4/94)  |  |   |   |   |  |
| Do not detach this portion   |  |   |   |   |  |
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Published by THE BUREAU OF NATIONAL AFFAIRS, INC., Washington, D.C. 20037

 TRADEMARK  
 REEL: 1511 FRAME: 0838

 TRADEMARK  
 REEL: 001946 FRAME: 0051

| MARK                  | REGISTRATION NO. |
|-----------------------|------------------|
| Data Courier          | 1,634,967        |
| Courier Plus          | 1,635,694        |
| The Information Store | 1,399,896        |
| Search Tools          | 1,693,757        |
| Cityfiche             | 1,749,270        |
| UMI                   | 1,668,812        |
| Proquest              | 1,656,697        |
| Business Dateline     | 1,399,792        |
| PNI                   | 1,332,623        |
| Search/PNI            | 1,276,104        |
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TRADEMARK  
REEL: 1511 FRAME: 0839

TRADEMARK  
REEL: 001946 FRAME: 0052

*Office of the Secretary of State*

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I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "UNIVERSITY MICROFILMS INC.", CHANGING ITS NAME FROM "UNIVERSITY MICROFILMS INC." TO "UMI COMPANY", FILED IN THIS OFFICE ON THE FOURTEENTH DAY OF NOVEMBER, A.D. 1994, AT 9 O'CLOCK A.M.



  
Edward J. Freel, Secretary of State

2146260 8100

960271967

AUTHENTICATION:

8110968

DATE:

TRADEMARK/0849-96

REEL: 1511 FRAME: 0840

TRADEMARK  
REEL: 001946 FRAME: 0053

11-14-84

CERTIFICATE OF AMENDMENT  
OF  
CERTIFICATE OF INCORPORATION

UNIVERSITY MICROFILMS INC., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware, DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of said corporation, by the unanimous written consent of its members, filed with the minutes of the board, adopted a resolution proposing and declaring advisable the following amendment to the Certificate of Incorporation of said corporation:

RESOLVED, that the Certificate of Incorporation of UNIVERSITY MICROFILMS INC., be amended by changing the First Article thereof so that as amended, said Article shall be and read as follows:

"FIRST: The name of the corporation (hereinafter called the "corporation") is:

UMI Company"

SECOND: That in lieu of a meeting and vote of stockholders, the sole stockholder has given its written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware.

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REEL: 1511 FRAME: 0841

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REEL: 001946 FRAME: 0054


THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of sections 242 and 228 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said UNIVERSITY MICROFILMS INC. has caused this certificate to be signed by N.A. Johansson, its Vice President, and attested by Gary S. Salit, its Secretary, this 11th day of November, 1994.

UNIVERSITY MICROFILMS INC.

By:   
Vice President

ATTEST:

By:   
Secretary

30 1171.1

RECORDED: 10/15/1996

TRADEMARK  
REEL: 1511 FRAME: 0842 TOTAL P. 037

TRADEMARK  
REEL: 001946 FRAME: 0055

# UMI

**RECEIVED**

September 24, 1998

**FEB 12 1999**

Commissioner for Trademarks  
Box Assignments  
Washington, DC 20231

**JAMES D. BARCELONA****Re: Correction to Change of Name**

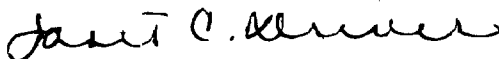
Dear Ladies/Gentlemen:

Enclosed please find the Recordation Form Cover Sheet and a copy of the prior filing that is to be corrected. The prior filing should have changed the owner's name for the trademark UMI, registration number 1688812 from University Microfilms, Inc. to UMI Company. Mr. Gaffin of Miller, Canfield, Paddock and Stone filed the documents on behalf of UMI Company (formerly University Microfilms, Inc.) and made a transposition error in the registration number. Unfortunately this resulted in your office changing the ownership name of a trademark that UMI Company does not own and one of our legitimate trademarks was not transferred as it should have been.

**Erroneously Transferred Trademark: Doctor Buffer, Registration No. 1668812****Mark that should have been transferred: UMI, Registration No. 1688812**

We are also enclosing a check in the amount of \$100.00 to cover the fee for this Certificate of Correction, pursuant to your fee schedule. Thank you for your attention to this matter. Please contact me at 1-800-521-0600, extension 4335 if you have any questions or require anything further in order to process this correction request.

Sincerely,



Janet C. Driver  
Legal Assistant

Enclosures

cc: James D. Barcelona, Vice President, General Counsel  
A.J. Gore, Affidavit/Renewal Examiner PTO

RECEIVED  
98 SEP 25 AM 10:25  
ASSIGNMENT