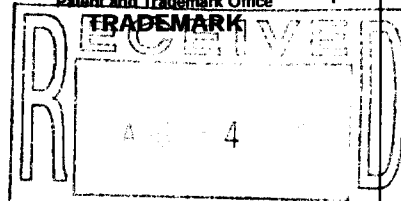


08-09-1999



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MFP  
8-U-00

RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)  
Document ID #
- Correction of PTO Error  
Reel #  Frame #
- Corrective Document  
Reel #  Frame #

Conveyance Type

- Assignment  License
- Security Agreement  Nunc Pro Tunc Assignment  
Effective Date  
Month Day Year
- Change of Name
- Other

Conveying Party

Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name

Formerly

- Individual  General Partnership  Limited Partnership  Corporation  Association

Other

Citizenship/State of Incorporation/Organization

Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)     
City State/Country Zip Code

- Individual  General Partnership  Limited Partnership  If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)
- Corporation  Association

Other

Citizenship/State of Incorporation/Organization

FOR OFFICE USE ONLY

Refund Ref: 08/09/1999 NTHAI1 0000083380

CHECK Refund Total: \$105.00

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK  
REEL: 001947 FRAME: 0418

00000099 75626195  
NTHAI1

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

**Trademark Application Number(s)**

**Registration Number(s)**

<input type="text" value="75626195"/>	<input type="text" value="75669905"/>	<input type="text" value="75573469"/>
<input type="text" value="75669932"/>	<input type="text" value="75587824"/>	<input type="text" value="75573986"/>
<input type="text" value="75610166"/>	<input type="text" value="75669904"/>	<input type="text"/>

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**Number of Properties** Enter the total number of properties involved. #

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account

Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)  
Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Ari Kahan



7-4-99

Name of Person Signing

Signature

Date Signed

A0526741



**SECRETARY OF STATE**

I, *BILL JONES*, Secretary of State of the State of California, hereby certify:

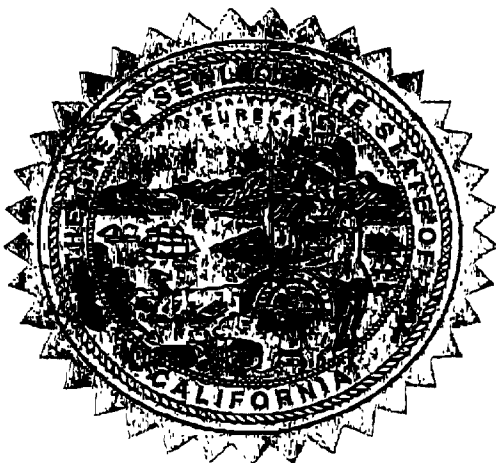
That the attached transcript of 1 page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.

**IN WITNESS WHEREOF**, I execute this certificate and affix the Great Seal of the State of California this day of

JUN 17 1999

A handwritten signature in cursive script that reads "Bill Jones".

Secretary of State



A0526741

CERTIFICATE OF AMENDMENT  
OF ARTICLES OF INCORPORATION

**ENDORSED-FILED**  
In the office of the Secretary of State  
of the State of California

JUN - 7 1999

**BILL JONES, Secretary of State**

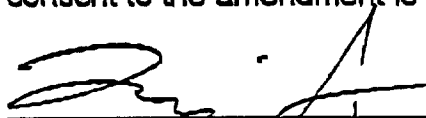
TIMOTHY O. KNIGHT and JUDITH M. O'BRIEN certify that:

1. They are the President and Secretary, respectively, of PROPHET INFORMATION SERVICES, INC., a California Corporation.
2. By unanimous written consent of the Board of Directors of said corporation the following resolution was adopted:

**RESOLVED:** That Article I of the Articles of Incorporation be amended to read as follows:




"The name of this corporation is Prophet Financial Systems, Inc."

3. The shareholders have approved said amendment by written consent. The wording of the amended article as set forth in the shareholders' written consent is the same as that set forth in the directors' resolution stated above.
4. The number of shares represented by written consent is 300,000. The total number of shares entitled to vote on or consent to the amendment is 300,000.

  
\_\_\_\_\_  
Timothy O. Knight, President

  
\_\_\_\_\_  
Judith M. O'Brien, Secretary

Each of the undersigned declares under penalty of perjury that the matters set forth in the foregoing certificate are true and correct. Executed at Palo Alto, California on the 2<sup>nd</sup> day of April, 1999.

  
  
\_\_\_\_\_  
Timothy O. Knight, President  
  
\_\_\_\_\_  
Judith M. O'Brien, Secretary

**LAW +**  
Professional Corporation  
Ari Kahan CA Bar 150178  
993 Highlands Circle, Los Altos, CA 94024 U.S.A.  
tel (408) 342-1860; fax (408) 342-1888

**TO:** Commissioner of Patents and Trademarks  
BOX: ASSIGNMENTS  
Washington, DC  
Arlington, VA 20231

**FROM: Ari Kahan                      VIA EXPRESS MAIL                      DATE: August 5, 1999**

**Re:** Prophet Financial Systems, Inc. Pending Applications:  
Change of Applicant's Name & Change of Correspondent Address

Dear Sir or Madam:

Enclosed please find a Recordation Form Cover Sheet in connection with recording Applicant's change of company name, from Prophet Information Services, Inc. to Prophet Financial Systems, Inc., and supporting documentation.

In addition, please note that the **Correspondent's Address** has changed in connection with the following pending applications, and that we request that you make a note of the change in your files, as follows:

**Old address:**

Ari Kahan  
Law+  
10950 North Blaney Ave. Suite B  
Cupertino, CA 95014

**New address:**

Ari Kahan  
Law+  
993 Highlands Circle  
Los Altos, CA 94024

The telephone number is unchanged.

Affected applications are: 75/573986 (Prophetdirect)  
75/573989 (Prophetcharts)  
75/669904 (Trade Smarter)  
75/669905 (Chart Toppers)  
75/587824 (TDC)  
75/610166 (Marketcharts)  
75/669932 (Snapcharts) and  
75/626195 (Tradesurfer)

Thank you,



Ari Kahan  
Counsel to Prophet Financial Systems, Inc.