

7-12-99

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Filed by Express Mail (Receipt No. EM36687932205) on July 9, 1999

pursuant to 37 C.F.R. 1.10 by Ada S. Chan

FORM PTO-1594 1-31-92

RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Tab settings 111111 v

08-31-1999

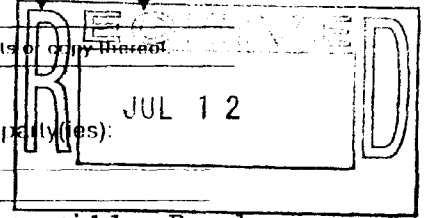
Check

To the Honorable Commissioner of Patents



Original documents or copy thereof

101130967



1. Name of conveying party(ies):

APPLIED MICROBIOLOGY, INC.

- Individual(s)
- General Partnership
- Corporation-State (New York)
- Other
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

Name: AMBI INC.

Internal Address:

Street Address: 4 Manhattanville Road

City: Purchase State: NY ZIP: 10577-2197

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation-State New York
- Other

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No (Designations must be a separate document from Assignment) Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: December 18, 1996

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

74/703,290  
75/001,123

B. Trademark registration No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: HELFGOTT & KARAS, P.C.

Internal Address:

(Docket No.: SPOR 12-903 & 12-709)

Street Address: EMPIRE STATE BUILDING  
60TH FLOOR

City: NEW YORK State: NY ZIP: 10118

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41): \$ 65.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 08-1634

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

SAMSON HELFGOTT

Name of Person Signing

REG. NO. 23,072

Signature

July 9, 1999

Date

Total number of pages comprising cover sheet: 5

OMB No 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011).

*State of New York* }  
*Department of State* } ss:

*I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.*

*Witness my hand and seal of the Department of State on*

DEC 26 1996



A handwritten signature in black ink, appearing to read "J. Clark", written in a cursive style.

*Special Deputy Secretary of State*

DOS-1266 (5/96)

DEPARTMENT OF STATE  
VISIONS OF CORPORATIONS AND STATE RECORDS

F961223000358

ALBANY, NY 12241-0001

FILING RECEIPT

ENTITY NAME: ABRI, INC.

REASON FOR FILING: BANK RECEIVED BY (HOLD) (FOR BUSINESS

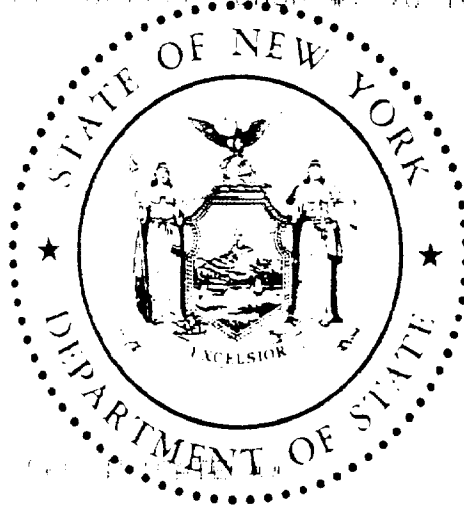
REASON FOR FILING: UNITED STATES OF SERVICES

SERVICE CODE: 37

REASON FOR FILING: APPLIED TECHNOLOGY, INC.

FILED BY: [illegible] DATE: [illegible] CASE #: 961106000379 FILM #: 961106000361

OFFICE OF THE CLERK



RECEIVED BY: [illegible]

DESCRIPTION	AMOUNT	PAYMENT	AMOUNT
FEE'S	45.00	PAYMENTS	45.00
FILING	20.00	CASH	20.00
TAX	2.00	CHEQ.	2.00
DEPT	0.00	BILL	23.00
COPIES	0.00		
MARKETING	23.00		
		REFUND	0.00

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CERTIFICATE OF AMENDMENT  
OF THE CERTIFICATE OF INCORPORATION

OF

APPLIED MICROBIOLOGY, INC.

Under Section 805 of the Business Corporation Law

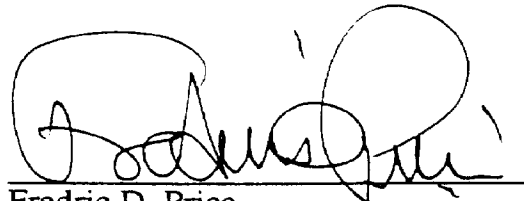
UN-37

\* \* \* \* \*

WE, THE UNDERSIGNED, Fredric D. Price and Benjamin Sporn, being respectively the President and Chief Executive Officer and the Secretary of Applied Microbiology, Inc. hereby certify:

1. The name of the corporation is APPLIED MICROBIOLOGY, INC.
2. The certificate of incorporation of said corporation was filed with the Department of State on June 29, 1983. The name under which the corporation was formed was APPLIED MICRO BIOLOGY, INC.
3. (a) The certificate of incorporation is amended to change the name of the corporation from Applied Microbiology, Inc. to AMBI Inc.  
(b) To effect the foregoing, Article FIRST is amended to read as follows: "The name of the corporation is AMBI Inc."
4. The amendment to the Certificate of Incorporation was authorized by vote of the holders of a majority of all outstanding shares entitled to vote at a meeting held on the 17th day of December, 1996, subsequent to the affirmative vote of of the Board of Directors.

IN WITNESS WHEREOF, we have signed this certificate this 18th day of December, 1996 and we affirm the statements contained therein as true under penalties of perjury.



Fredric D. Price  
President and  
Chief Executive Officer



Benjamin Sporn, Secretary

N

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CERTIFICATE OF AMENDMENT  
OF THE CERTIFICATE OF INCORPORATION  
OF  
APPLIED MICROBIOLOGY, INC.

DEC 21 1960  
1960

Under Section 805 of the Business Corporation  
Law of the State of New York

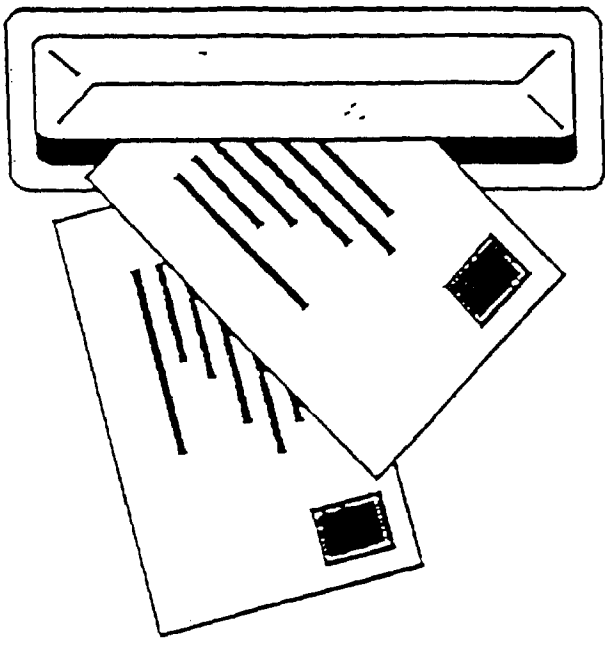
ICC  
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CMC  
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NEWY

Applied Microbiology, Inc.  
771 Old Saw Mill River Road  
Tarrytown, New York 10591

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CORRESPONDENCE RE-ROUTING TRANSMITTAL



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\_\_\_\_\_ To Mailroom Supervisor: The attached papers were incorrectly routed to this office as a result of *clear mailroom error*. The papers are being returned to alert you to the error and to have your staff correctly re-route them.

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Assignment Branch

Note to receiving office: Please direct any questions regarding the re-routing of this correspondence to the supervisor in charge of the sending office. See date stamp and office identifier to determine the sending office.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : **Applied Microbiology, Inc.**  
Mark : **WIPE OUT**  
Serial No. : **74/703,290**  
Filed : **July 19, 1995**  
Examiner : **Sue Ellen Hickey**  
Law Office : **112**



07-12-1999  
U.S. Patent & TMOfc/TM Mail Rcpt Dt. #40

July 12, 1999

Assistant Commissioner  
for Trademarks  
2900 Crystal Drive  
Arlington, VA 22202-3513

**Letter**

S I R:

Applicant hereby requests that the above-identified trademark application be registered in the name of AMBI INC

In 1996, applicant, Applied Microbiology, Inc. changed its name to AMBI Inc. Applicant requested that the name change document be recorded in the Trademark Office.

However, applicant has not yet received the return copy of the recorded document with the frame and reel numbers. Applicant is enclosing herewith a copy of the recordation form cover sheet and name change document it filed with the Patent and Trademark Office on July 9, 1999. Thus, applicant requests that when the current application is registered, it is registered in the name of AMBI INC.

Please direct any inquiries to applicant's undersigned attorney of record

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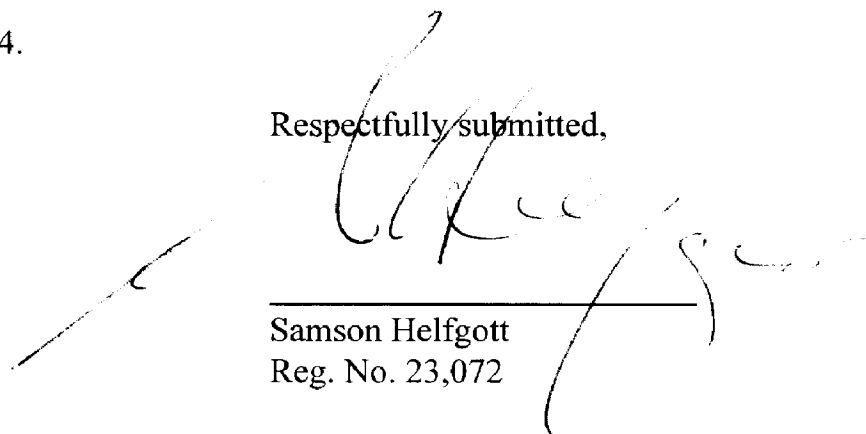
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1999 JUL 20 A 11:44  
TMEO  
LAW OFFICE 112

Filed by Express Mail  
(Receipt No. **EM36687935305**)  
on **July 12, 1999**  
pursuant to 37 C.F.R. 1.10  
by *[Signature]*  
TRADEMARK  
REEL: 001951 FRAME: 0368

Any fee due with this paper not fully covered by an enclosed check may be charged on

Deposit Account Number 08-1634.

Respectfully submitted,



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Samson Helfgott  
Reg. No. 23,072

HELFGOTT & KARAS, P.C.  
Empire State Building  
60th Floor  
New York, NY 10118  
(212)643-5000  
Docket No: SPOR 12.709

SH/LSC/s 12709lr