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09-01-1999

FORM PTO-1594

U.S. Patent & Trademark Office

09-08-1999

DEPARTMENT OF COMMERCE
Trademark Office

RECORD



To the Honorable Commissioner of Patents and Trademarks

documents or copy thereof.

101137911

1. Name of conveying party(ies):

Bankers Trust Company

- Individual(s) Association
- General Partnership Limited Partnership
- Corporation – of Delaware
- Other _____

Additional name(s) of conveying party(ies) attached?
 Yes No

2. Name and address of receiving party(ies):

Name: Lehman Brothers, Inc.

Internal Address: _____

Street Address: World Financial Center
American Express Tower

City: New York State: New York

ZIP: 10285

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other- _____

Execution Date: August 6, 1999

9-1-99

- Individual(s) citizenship _____
- Association _____
- General Partnership _____
- Limited Partnership _____
- Corporation – State of Delaware
- Other _____

If assignee is not domiciled in the United States, a domestic representative is attached Yes No

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Trademark Application No.(s)

B. Trademark Registration No.(s) 1,316,091

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James J. Murtha

Internal Address: Orrick, Herrington & Sutcliffe LLP

Street Address: 666 Fifth Avenue

City: New York State: New York ZIP: 10103

6. Total number of applications and registrations involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed

Authorized to be charged to deposit account for any deficiency in fees

8. Deposit account number: 15-0665

(Attach duplicate copy of this page if paying by deposit account)

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DO NOT USE THIS SPACE

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James J. Murtha
Name of Person Signing

Signature

August 30, 1999
Date

Total number of pages including cover sheet, attachments, and document: [4]

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

ASSIGNMENT OF TENR®

WHEREAS, Bankers Trust Company, a Delaware corporation with its principal place of business located at 130 Liberty Street, New York, New York 10006 ("Assignor"), has adopted and is using the TENR service mark which has been registered in the United States Patent and Trademark Office as more fully set forth on Exhibit A annexed hereto (the "Service Mark"); and

WHEREAS, Lehman Brothers, Inc., a Delaware corporation with its principal place of business located at World Financial Center, American Express Tower, New York, New York 10285 ("Assignee"), is desirous of acquiring the Service Mark, the goodwill associated therewith and the registration thereof;

NOW, THEREFORE, by this document of assignment, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound hereby, Assignor hereby assigns, transfers, sells and conveys unto Assignee all its right, title and interest in and to the Service Mark and the business to which it pertains, together with the goodwill associated with the use of such Service Mark, as well as the right to sue for past and present infringements thereof.

IN WITNESS WHEREOF, Assignor, expressly intending to be legally bound thereby,

has caused this Assignment to be duly executed this 6 day of August, 1999.

BANKERS TRUST COMPANY

By: Donna Garban
Name: Donna Garban
Title: Senior Vice President

STATE OF NEW YORK :
: SS

COUNTY OF NY :

Before me, the undersigned, a Notary Public in and for the County aforesaid on this 6th day of August, 1999, personally appeared DONNA GARBAN who, being by me duly sworn, did say that she is the ^{SENIOR} VICE PRESIDENT of Bankers Trust Company and that said instrument was signed on behalf of said Company and said Company acknowledged said instrument to be the free act and deed of said Company.

Maria E. Deasy
Notary Public

MARIA E.F. DEASY
NOTARY PUBLIC, State of New York
No. 01DE6006713
Qualified in New York County
Commission Expires May 4, 2000

TENR SERVICE MARK

Registration Number: 1,316,091

Registration Date: January 22, 1985

Goods/Services: Banking Services