

RECORDATIC  
TRADE

09-28-1999

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trade



Documents or copy thereof.

1. Name of conveying party(ies) 1999 SEP 24 AM 9:24

Smith Barney Inc. OPR/FINANCE

- ☐ Individual(s) ☐ Association  
☐ General Partnership ☐ Limited Partnership  
☒ Corporation-State Delaware  
☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☒ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: August 31, 1998

101157057

2. Name and address of receiving party(ies)

Name: Salomon Smith Barney Inc.

Internal Address:

Street Address: 388 Greenwich Street

City: New York State: NY ZIP: 10013

☐ Individual(s) citizenship☐ Association☐ General Partnership☐ Limited Partnership☒ Corporation-State Delaware☐ OtherIf assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

75/513440

B. Trademark Registration No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Howard B. Barnaby

Internal Address: Robin, Blecker &amp; Daley

Street Address: 330 Madison Avenue

City: New York State: NY ZIP: 10017

09/27/1999 DNGUYEN 00000153 75513440

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

01 FC:481

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Howard B. Barnaby

Name of Person Signing

Signature

September 21, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231TRADEMARK  
REEL: 001966 FRAME: 0298

*State of Delaware*  
*Office of the Secretary of State*

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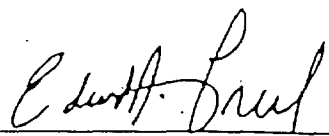
I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AGREEMENT OF MERGER, WHICH MERGES:

"SALOMON BROTHERS INC", A DELAWARE CORPORATION,

WITH AND INTO "SMITH BARNEY INC." UNDER THE NAME OF "SALOMON SMITH BARNEY INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE THIRTY-FIRST DAY OF AUGUST, A.D. 1998, AT 6:33 O'CLOCK P.M.



0546629 8100M

  
Edward J. Freel, Secretary of State

**TRADEMARK**  
**REEL: 001966 FRAME: 0299**

# **SALOMON SMITH BARNEY INC.**

*Assistant Secretary's Certificate*

*February 5, 1999*

I, Joseph R. Coleman, an assistant secretary of Salomon Smith Barney Inc. (the *Company*), a New York corporation, hereby certify that:

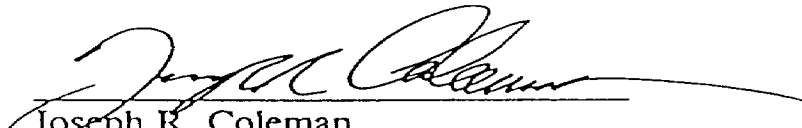
1. On September 1, 1998 Salomon Brothers Inc, a corporation of the State of Delaware, merged with and into Smith Barney Inc., a corporation of the State of Delaware. Immediately thereafter, Smith Barney Inc. changed its name to Salomon Smith Barney Inc.

Attached hereto is a true and correct copy of the Agreement and Plan of Merger which was filed with the Delaware Secretary of State; and

2. On October 15, 1998 Salomon Smith Barney Inc., a corporation of the State of Delaware, merged with and into Pendex Real Estate Corp., a corporation of the State of New York. Immediately thereafter Pendex Real Estate Corp. changed its name to Salomon Smith Barney Inc.

Attached hereto is a true and correct copy of the Certificate of Merger which was filed with the New York Department of State.

IN WITNESS WHEREOF, the undersigned has hereunto set his hand and the corporate seal of the corporation this 5<sup>th</sup> day of February, 1999.

  
Joseph R. Coleman  
Assistant Secretary