

10-28-1999



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RECORDATION FORM COVER SHEET
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID #
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ License
- ☐ Security Agreement ☐ Nunc Pro Tunc Assignment
- ☐ Merger Effective Date
Month Day Year
- ☐ Change of Name
- ☐ Other

Conveying Party

☐ Mark if additional names of conveying parties attached

Name

Execution Date
Month Day Year

Formerly

- ☒ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association
- ☐ Other
- ☒ Citizenship/State of Incorporation/Organization

Receiving Party

☐ Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

- ☐ Individual ☐ General Partnership ☐ Limited Partnership
- ☒ Corporation ☐ Association
- ☐ Other

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

- ☒ Citizenship/State of Incorporation/Organization

10/27/1999 DNGUYEN 00000228 1594811

01 FC:481

40.00 DP

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK
REEL: 001981 FRAME: 0244

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Correspondent Name and Address

Area Code and Telephone Number (415) 882-3200

Name Gregory N. Owen, Esq.

Address (line 1) OWEN, WICKERSHAM & ERICKSON, P.C.

Address (line 2) 455 Market Street, 19th Floor

Address (line 3) San Francisco, California 94105

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

2

Trademark Application Number(s) or Registration Number(s)

☐ Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

1,594,811		

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$

40.00

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Gregory N. Owen

Name of Person Signing

Signature

Date Signed

TRADEMARK ASSIGNMENT

WHEREAS, ALBA NATURALS, INC., a corporation formed and existing under the laws of the state of California and having a principal business address of P.O. Box 40339 Santa Barbara, CA 93140, has adopted, used and is using the trademark ALBA BOTANICA, which was registered in the United States Patent and Trademark Office on May 8, 1990 under Registration No. 1594811;

WHEREAS, KENNETH GRAND, shareholder of ALBA NATURALS, INC., is the registrant of said trademark; and

WHEREAS, AVALON NATURAL PRODUCTS, INC., a California corporation having a principal place of business at 1105 Industrial Avenue, Suite 200, Petaluma, CA 94952, is desirous of acquiring said trademark;

NOW THEREFORE, in consideration of and in exchange for the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, KENNETH GRAND does hereby assign all right, title and interest worldwide in and to the trademark, Registration No. 1594811, dated May 8, 1990, together with all of the goodwill of the business connected with and symbolized by the trademark to ALBA BOTANICA.

9-10-99
Date:


KENNETH GRAND

Signed this 10 day of September, 1999

State of California:

County of Santa Barbara

Before me, personally appeared Mr. Kenneth Grand signer and sealer of the foregoing instrument and acknowledged the same to be his free act and deed.

See attached

Notary Public

My Commission Expires _____

[seal]

.StmLib1:714153.1 09/09/99

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Santa Barbara

SS.

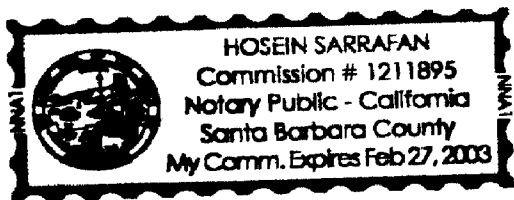
On 10 September, 1999, before me, Hosein Sarrafan, notary public,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Kenneth J. Grand,
Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

Hosein Sarrafan
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Trademark Assignment

Document Date: 9-10-99 Number of Pages: 1

Signer(s) Other Than Named Above: /

Capacity(ies) Claimed by Signer

Signer's Name: Kenneth J. Grand

☐ Individual

☒ Corporate Officer — Title(s): President

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: Alba Naturals Inc

RIGHT THUMBPRINT
OF SIGNER

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