

11-12-1999



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11/8/99

### RECORDATION FORM COVER SHEET TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

#### Submission Type

New

Resubmission (Non-Recordation)  
Document ID #

Correction of PTO Error  
Reel #  Frame #

Corrective Document  
Reel #  Frame #

#### Conveyance Type

Assignment  License

Security Agreement  Nunc Pro Tunc Assignment  
Effective Date  
Month Day Year

Merger

Change of Name

Other

#### Conveying Party

Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name

11011999

Formerly

Individual  General Partnership  Limited Partnership  Corporation  Association

Other

Citizenship/State of Incorporation/Organization

#### Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

Individual  General Partnership  Limited Partnership

Corporation  Association

Other

Citizenship/State of Incorporation/Organization

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

11/10/1999 VBRDMM 00000077 75367864

#### FOR OFFICE USE ONLY

01 FC:481 40.00 OP  
02 FC:482 25.00 OP

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Mail documents to be recorded with required cover sheet(s) information to:  
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TRADEMARK

REEL: 001987 FRAME: 0159

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

502-585-2040

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

75367864	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

2037294	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

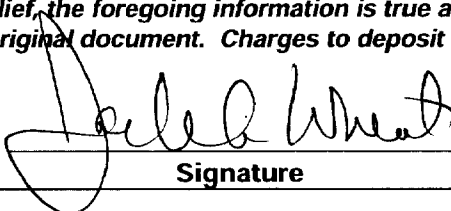
No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Jack A. Wheat

Name of Person Signing



Signature

November 4, 1999

Date Signed

## ASSIGNMENT OF SERVICE MARKS

WHEREAS, Providian Corporation, now known as Commonwealth General Corporation, a Delaware corporation with its principal place of business at AEGON Center, 400 West Market Street, Louisville, Kentucky 40232 ("Assignor"), has adopted, owns and has been using in its own stead, and through its related company, AEGON Financial Services Group, Inc., the marks "ADVISORSOFT" and "SMARTQUOTE" ("the Marks"); and

WHEREAS, Assignor owns a federal registration for the ADVISORSOFT mark on the Principal Register of the United States Patent and Trademark Office, namely Registration No. 2,037,294, registered on February 11, 1997; and

WHEREAS, AEGON Financial Services Group, Inc., a Minnesota corporation, with its principal place of business at Aegon Center, 400 West Market Street, Louisville, Kentucky 40232 ("Assignee"), desire to acquire record title to the ADVISORSOFT Mark and the above-referenced registration together with the goodwill of the business symbolized by said mark; and

WHEREAS, Assignor is the Applicant in relation to an application pending before the United States Patent and Trademark Office, namely Application Serial No. 75/367,864 for registration of the mark SMARTQUOTE; and

WHEREAS, the above-referenced AEGON Financial Services Group, Inc. is the successor in business to the above-referenced Providian Corporation in relation to the transaction of business under the SMARTQUOTE mark and desires to acquire an assignment of the above-referenced application for registration of said mark together with the goodwill of the business symbolized by said mark; and

WHEREAS, the parties to this Assignment of Service Marks are commonly owned and operated companies, that is to say, they are "related companies" within the meaning of that term as defined by the United States Trademark Law, and the assignment of the Marks will in no way affect the continuity of the business engaged in under the Marks and the goodwill associated therewith;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby sells, assigns, and transfers to Assignee all right, title, and interest in (including the right to bring action against any past or present infringers thereof), together with the goodwill symbolized by the following:

1. The mark ADVISORSOFT; United States Patent and Trademark Registration No. 2,037,294; and
2. The mark SMARTQUOTE; Application Serial No. 75/367,864 pending in the United States Patent and Trademark Office.

This Assignment of Service Marks is executed this 1<sup>st</sup> day of November, 1999.

COMMONWEALTH GENERAL CORPORATION

By: R. Michael Slaven  
R. Michael Slaven, Secretary

Date: 11-1-99

SUBSCRIBED and SWORN to before me by R. Michael Slaven, as Secretary of Commonwealth General Corporation, this 1<sup>st</sup> day of November, 1999.

My commission expires: 7-21-2003

[Seal]

*Kira Syers*  
Notary Public