

12-17-1999



101226120

Docket No.:

19-US

RECEIVED  
DEC 19 9 09 AM '99  
OFFICE OF  
FINANCE

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents as copy thereof.

1. Name of conveying party(ies):  
Zephyr Therapeutics, Inc.  
26071 Merit Circle, Suite 104  
Laguna Hills, CA 92653

Individual(s)                       Association  
 General Partnership               Limited Partnership  
 Corporation-State Texas  
 Other \_\_\_\_\_

Additional names(s) of conveying party(ies)       Yes  No

2. Name and address of receiving party(ies):  
Name: Zephyr Therapeutics, Inc.  
Internal Address: \_\_\_\_\_  
Street Address: 26071 Merit Circle, Suite 104  
City: Laguna Hills State: CA ZIP: 92653

Individual(s) citizenship \_\_\_\_\_  
 Association \_\_\_\_\_  
 General Partnership \_\_\_\_\_  
 Limited Partnership \_\_\_\_\_  
 Corporation-State California  
 Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic designation is       Yes  N  
(Designations must be a separate document from  
Additional name(s) & address(es)       Yes  N

3. Nature of conveyance:  
 Assignment                       Merger  
 Security Agreement               Change of Name  
 Other \_\_\_\_\_

Execution Date: August 10, 1999

4. Application number(s) or registration numbers(s):  
A. Trademark Application No.(s)  
75/556131  
Doc. ID No.:  
101149547

Additional numbers       Yes  No

B. Trademark Registration No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Robert D. Fish  
Internal Address: Crockett & Fish  
Street Address: 1440 N. Harbor Blvd., Suite 706  
City: Fullerton State: CA ZIP: 92835

6. Total number of applications and registrations involved:..... **1**

7. Total fee (37 CFR 3.41):.....\$ 540.00  
 Previously Enclosed in earlier filing  
 Authorized to be charged to deposit account

8. Deposit account number:  
500341

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Robert D. Fish                      Robert D. Fish                      12/7/99  
Name of Person Signing                      Signature                      Date

Total number of pages including cover sheet, attachments, and

**3**

TRADEMARK

REEL: 002000 FRAME: 0369

Dp

439.19-US



09-07-1999

**STATEMENT OF APPLICATION FOR REGISTRATION OF A MARK**

U.S. Patent & TMO/TM Mail Rep 1 D1 991

Whereas Zephyr Therapeutics, Inc., a Texas Corporation  
name of assignor

of 26071 Merit Circle, Suite 104, Laguna Hills, CA 92653  
address of assignor

the current owner of the trademark application for registration recorded on December 8, 1998,  
Reel/Frame No.: 1825/0463,  
has adopted, used and is using a mark for which registration in the United States Patent and  
Trademark Office has been applied for, Application No. 75/556131, dated September 21, 1998, and

Whereas Zephyr Therapeutics, Inc., a California Corporation  
name of assignee

of 26071 Merit Circle, Suite 104, Laguna Hills, CA 92653  
address of assignee

is desirous of acquiring said mark and the registration thereof;

Now, therefore, for good and valuable consideration, receipt of which is hereby acknowledged,  
Zephyr Therapeutics, Inc., a Texas Corporation does assign unto the said  
name of assignor

Zephyr Therapeutics, Inc., a California Corporation all right, title and interest in and to the said mark,  
name of assignor

together with the good will of the business symbolized by the mark, and the above identified  
application thereof.

Signature: Cathy Orr

Name/Title: Cathy Orr, President

Dated: August 10, 1999

Signing on behalf of: Zephyr Therapeutics, Inc., a Texas Corporation  
Name of assignor

**TRADEMARK  
REEL: 002000 FRAME: 0370**

STATE OF CALIFORNIA )  
COUNTY OF Orange ) ss.

On August 10, 1999 before me, personally appeared Cathy Orr

   personally known to me or

   proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal,

*Daren L. Cullen*

Signature of Notary

**CAPACITY CLAIMED BY SIGNER**

- Individual
- Corporate Officer
- Partner(s)  Limited  General
- Attorney-in-fact
- Trustee(s)
- Guardian/Conservator for
- Other

**DESCRIPTION OF ATTACHED DOCUMENT**

Assignment  
Title or Type of Document

Date of Document: August 10, 1999

SIGNER IS REPRESENTING

self

TRADEMARK

REEL: 002000 FRAME: 0371



UD

439.19-US

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U.S. Patent & TMO/TM Mail Rep1Dt 091

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together with the good will of the business symbolized by the mark, and the above identified  
application thereof.

Signature: Cathy Orr

Name/Title: Cathy Orr, President

Dated: August 10, 1999

Signing on behalf of: Zephyr Therapeutics, Inc., a Texas Corporation  
Name of assignor

TRADEMARK  
REEL: 002000 FRAME: 0372

STATE OF CALIFORNIA )  
COUNTY OF Orange ) ss.

COPY

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\_ personally known to me or

\_ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted. executed the instrument.



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Daren L. Cullen  
Signature of Notary

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REEL: 002000 FRAME: 0373