FORM PTO-1-31-92

RECOR

# 01-31-2000

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

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To the Honorable Cor	mmissioner of Patents	s and Trademarks:	Please record the attached original documents or copy thereof.	
1. Name of conveying par	rty(ies):		2. Name and address of receiving party(ies):	
New Fnergy Associates, LLC			Name: Silicon Valley Bank	
			Internal Address: Loan Documentation HG150	
☐ Individual(s)	☐ Association		Street Address: 3003 Tasman Drive	
General Partnership	Limited Partne	ership	City: Santa Clara State: Ca ZIP: 95054	
Corporation-State		0,0mp	d -	
Other			☐ Individual(s) Citizenship	
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Additional name(s) of conv		ned? Tes Tive	☐ Individual(s) Citizenship ☐ Association _ ☐ General Partnership _ ☐ Limited Partnership _ ☐ Corporation-State ☐ Other _	
3. Nature of conveyance:			General Partnership T	
Assignment Assignment	☐Merger		Limited Partnership _	
			☐ Corporation-State	
Security Agreement	Change of Na	ame	Other	
			If assignee is not domiciled in the United States, From eac representati	
Other			designation is attached Yes No (Designations must be a separate document from assignment)	
			(Designations must be a separate document from assignment)	
Execution Date: June 30,	1999		Additional name(s) & address(es) attached? Yes No	
4. Application number(s)	or trademark numbe	r(s):		
A. Trademark Application	No (s)		B. Trademark Registration No.(s)	
A. Trademark Application	140.(0)		D. Tradomant (og.o. anom to (o)	
1,808,865				
	F	Additional numbers	attached? ☐Yes⊠No	
5. Name and address of		spondence	6. Total number of applications and registrations involved: $\underline{1}$	
concerning document sho	ould be mailed:			
Name: Silicon Valley Bar	nk			
Trainer Simon rame,				
Internal Address: Loan D	ocumentation HG150	0	7. Total fee (37 CFR 3.41):\$ <u>40.00</u>	
			Authorized to be charged to deposit account	
Street Address: 3003 Tas	sman Dr.			
Street Address: 6666 7 ds	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		8. Deposit account number:	
City: Santa Clara	State: Sa	ZIP: 95054	(Attach duplicate copy of this page if paying by deposit account	
		DO NOT US	SE THIS SPACE	
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9. Statement and signatu	ле. /		ion in true and correct and any attached conv is a true conv	
∓o the best of my knowle	edge and Belief, the f	foregoing informati	ion is true and correct and any attached copy is a true copy	
of the original document.		4		
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		Sielle.	Laure 12/22/99	
Teresa Gomez		Siar	Date	
Name of Person Signing		Sigi		
	Tot	al number of pages	comprising cover sheet: 7	
		al mambor of pages		
OMB No 0651 -0011 (exp 4/	371			

#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as of June 30, 1999 by and between SILICON VALLEY BANK ("Bank") and NEW ENERGY ASSOCIATES, LLC, a Delaware limited liability company ("Grantor").

#### RECITALS

- A. Bank has agreed to make certain advances of money and to extend certain financial accommodation to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor dated of even date herewith (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in certain Copyrights, Trademarks, Patents, and Mask Works to secure the obligations of Grantor under the Loan Agreement.
- B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.
- NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

#### <u>AGREEMENT</u>

To secure its obligations under the Loan Agreement, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its Intellectual Property Collateral (including without limitation those Copyrights, Patents, Trademarks and Mask Works listed on Schedules A, B, C, and D hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to Bank under the Loan Agreement. The rights and remedies of Bank with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Bank as a matter of law or equity. Each right, power and remedy of Bank provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and

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concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Bank of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Bank, of any or all other rights, powers or remedies.

IN WITNESS WHEREOF, the parties have cause this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

**GRANTOR:** 

NEW ENERGY ASSOCIATES, LLC

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Address of Grantor:

400 Interstate North Parkway Suite 1400 Atlanta, Georgia 30339 Attn: Ms. Deane Price

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# EXHIBIT A

# Copyrights

Description	Registration/ Application Number	Registration/ Application Date
ACUMEN – Software program	TXu 680-668	06/19/95
ACUMEN MODELING SYSTEM REFERENCE MANUAL – Software manual	TXu 680-669	06/19/95

## EXHIBIT B

## **Patents**

Description	Registration/ Application Number	Registration/ Application Date
None		

# EXHIBIT C

#### Trademarks

Description	Class	Registration/ Number	Registration/ Application Date
IMPACT	09 Int'l	Pending	10/23/98
MAINPLAN	09 and 16 Int'l	1,804,165	11/16/93
MARKETPOWER	09 Int'l	Pending	10/23/98
NEW ENERGY ASSOCIATES	09 and 42 Int'l	Pending	02/02/98
NOSTRADAMUS	09 Int'1	Pending	10/06/98
PROSCREEN II	09 and 16 Int'l	1,807,127	11/30/93
PROMOD III	09 Int'l	1,054,261	11/14/96
PROMOD IV	09 Int'l	1,943,648	12/26/95
RETAIL TRANSACTION MANAGER	09 Int'l	Pending	10/0698
SENDOUT	09 and 16 Int'l, 38 US	1,808,865	12/07/93
THE NEW ENERGY OFFICE	09 Int'l	Pending	03/25/98

## EXHIBIT D

#### Mask Works

Description	Registration/ Application Number	Registration/ Application  Date
None		

TRADEMARK
RECORDED: 01/03/2000 REEL: 002013 FRAME: 0089