

FORM PTO-1618A

Expires 06/30/99  
OMB 0651-0027

03-17-2000

U.S. Department of Commerce  
Patent and Trademark Office  
TRADEMARK

101291960

RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type



New



Resubmission (Non-Recordation)

Document ID #



Correction of PTO Error

Reel #

Frame #



Corrective Document

Reel #

Frame #

## Conveyance Type



Assignment



License



Security Agreement



Nunc Pro Tunc Assignment



Merger



Change of Name



Other

Effective Date  
Month Day Year

## Conveying Party



Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name Carlton Hair International, Inc.

12/10/99

Formerly



Individual



General Partnership



Limited Partnership



Corporation



Association



Other



Citizenship/State of Incorporation/Organization

California

## Receiving Party



Mark if additional names of receiving parties attached

Name The Chase Manhattan Bank

DBA/AKA/TA

Composed of

Address (line 1)

600 Fifth Avenue

Address (line 2)

Address (line 3)

New York

City

NY

State/Country

10020

Zip Code



Individual



General Partnership



Limited Partnership



If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)



Corporation



Association



Other



Citizenship/State of Incorporation/Organization

New York

FOR OFFICE USE ONLY

03/16/2000 JSH/DAZZ 00000167 75705885

01 FC:481  
02 FC:48240.00 OP  
125.00 OP

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK  
REEL: 002035 FRAME: 0607

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Lauren Bernstein, Esq.

Address (line 1)

Kaye, Scholer, Fierman, Hays & Handler, LLP

Address (line 2)

425 Park Avenue

Address (line 3)

New York, NY 10022

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

5

**Trademark Application Number(s) or Registration Number(s)**

☐

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

**Trademark Application Number(s)**

**Registration Number(s)**

75705885

75592878

1866675

75591747

2263541

75585884

**Number of Properties**

Enter the total number of properties involved.

#

6

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

165.00

Method of Payment:

Enclosed

☒

Deposit Account

☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

☐

No

☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

RANNYLIN S. DALLEY

Name of Person Signing

Rannylin S. Dalley

Signature

01/20/80

Date Signed

SCHEDULE 2-A TO ASSIGNMENT FOR SECURITY -TRADEMARKSTRADEMARKS

Debtor	TRADEMARK	REG. DATE	REG. #
Carlton Hair International, Inc.	CARLTON HAIR INTERNATIONAL	serial file date 5/13/99	serial number 75-705,885
Carlton Hair International, Inc.	ECOLY & Design	12/13/94	1,866,675
Carlton Hair International, Inc.	SUPER BELISSIMO	serial file date 11/10/98	serial number 75/591,747
Carlton Hair International, Inc.	OUT OF AFRICA	serial file date 11/10/98	serial number 75/585,884
Carlton Hair International, Inc.	HHB HAIR CARE	serial file date 10/19/98	serial number 75/572,878
Carlton Hair International, Inc.	HAIR HEALTH BEAUTY PROFESSIONAL	7/20/99	2,263,541

# ASSIGNMENT FOR SECURITY

## (TRADEMARKS)

WHEREAS, CARLTON HAIR INTERNATIONAL, INC., a California corporation (herein referred to as "Assignor"), has adopted, used and is using the trademarks listed on the annexed Schedule 2-A, which trademarks are registered in the United States Patent and Trademark Office (the "Trademarks");

WHEREAS, Assignor is obligated to The Chase Manhattan Bank, a New York banking corporation, as agent (referred to herein as the "Assignee") for (i) the lenders (the "Lenders") named in Schedules 2.01(a) and 2.01(b) of the Credit Agreement dated May 25, 1999, as amended, among the Assignor and the other Borrowers named therein, the guarantors named therein, the Lenders and the Assignee (as amended, modified or supplemented from time to time in accordance with its terms, the "Credit Agreement") and (ii) for itself as issuer of the Letters of Credit and party to the Rate Agreements, and Assignor has entered into a Security Agreement and Mortgage-Trademarks and Patents dated May 25, 1999, as amended (the "Agreement"), in favor of Assignee; and

WHEREAS, pursuant to the Agreement, Assignor has assigned to Assignee and granted to Assignee a security interest in, and mortgage on, all right, title and interest of Assignor in and to the Trademarks, together with the goodwill of the business symbolized by the Trademarks and the applications and registrations thereof, and all proceeds thereof, including, without limitation, any and all causes of action which may exist by reason of infringement thereof (the "Collateral"), to secure the payment, performance and observance of the Secured Obligations, as defined in the Agreement;


NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby further assign unto Assignee and grant to Assignee a security interest in, and mortgage on, the Collateral to secure the prompt payment, performance and observance of the Secured Obligations.

Assignor does hereby further acknowledge and affirm that the rights and remedies of Assignee with respect to the assignment of, security interest in and mortgage on the Collateral made and granted hereby are more fully set forth in the Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

Assignee's address is 600 Fifth Avenue, New York, New York 10020.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be  
duly executed by its officer thereunto duly authorized as of the 10<sup>th</sup> day of October  
1999.

CARLTON HAIR INTERNATIONAL, INC.

By: 

Name: Charles W. Stevens

Title: Chief Financial Officer