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Form PTO-1594
(Rev. 6-93)
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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings **2-9-00**

101296804

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.



MENT CO., INC.

02-09-2000

U.S. Patent & TMO/TM Mail Rcpt Dt. #30

Association

General Partnership Limited Partnership

Corporation - Delaware

Other _____

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of Conveyance:

Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: November 30, 1998

2. Name and address of receiving party:
WEBSTER INVESTMENT MANAGEMENT CO., LLC
433 California Street
Suite 1010
San Francisco, California 94104

Individual(s) citizenship

Association

General Partnership

Limited Partnership

Corporation

Other California limited liability company

If assignee is not domiciled in the United States, a domestic representative designator is attached: Yes No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s) listed below

A. Trademark Application Noss
75/428,734 and 75/471,548

B. Trademark Registration No.(s)
N/A

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GLENN A. GUNDERSEN

DECHERT, PRICE & RHOADS

4000 BELL ATLANTIC TOWER

1717 ARCH STREET

PHILADELPHIA, PA. 19103-2793

TEL. NO. (215) 994-2183

6. Total number of applications and registrations involved: _

7. Total fee (37 CFR 3.41)\$ 65.00 ←

Enclosed

Authorized to be charged to deposit account
(Including any underpayment)

8. Deposit account number: 04-0475

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

GLENN A. GUNDERSEN

Name of Person Signing

Signature

February 9, 2000

Date

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

03/24/2000 TTON11 00000168 040475 75428734

01 FC:481 40.00 CH
02 FC:482 25.00 CH

TRADEMARK
REEL: 002038 FRAME: 0685

ASSIGNMENT OF TRADEMARKS

WHEREAS, SUTTON PLACE MANAGEMENT CO., INC ("Assignor"), a Delaware corporation with offices at 433 California Street, Suite 1010, San Francisco, California 94104, has intended to use the service marks shown in Schedule A (the "Trademarks") hereto in its investment business, and has filed applications to register the Trademarks indicating that intention but has not yet filed any allegations of use under Section 1(c) or 1(d) of the Trademark Act (15 U.S.C. 1051(c),(d)) with respect to the Trademarks;

AND WHEREAS, WEBSTER INVESTMENT MANAGEMENT CO., LLC ("Assignee"), a California limited liability company with offices at 433 California Street, Suite 1010, San Francisco, California 94104, is desirous of acquiring the Trademarks, together with the applications for registration of the Trademarks shown in Schedule A hereto (the "Applications"), any other registrations and applications for registration of the Trademarks, and the goodwill of the business symbolized by the Trademarks;

AND WHEREAS, such assignment is in compliance with Section 10 of the Trademark Act (15 U.S.C. 1060).

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, Assignor hereby assigns to Assignee all right, title and interest in and to the Trademarks, together with the Applications, any other registrations and applications for registration of the Trademarks and the goodwill of the business symbolized by the Trademarks.

Dated effective as of:

November 30, 1998.

SUTTON PLACE MANAGEMENT CO., INC.

(Name of Corporation)

Ronald Pelosi

(Signature)

Ronald Pelosi

(Printed Name)

President

(Title)

SCHEDULE A

SUTTON PLACE MANAGEMENT CO., INC.

U.S. Trademarks

<u>Mark</u>	<u>Serial No.</u>	<u>Filing Date</u>
FORWARD FUNDS	75/428,734	February 4, 1998
FORWARD FUNDS & Design	75/471,548	April 21, 1998