

FORM PTO-1594  
1-31-92

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S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks  
Box Assign

Documents or copy thereof.

1. Name of conveying party(ies):

**MORGAN STANLEY & CO. INCORPORATED**

- ☐ Individual(s) ☐ Association  
☐ General Partnership ☐ Limited Partnership  
☒ Corporation - Delaware  
Other \_\_\_\_\_  
Additional name(s) of conveying party(ies) attached? ☐ Yes  
☒ No

2. Name and address of receiving party(ies):

Name: **MORGAN STANLEY GROUP INC.**

Internal Address: \_\_\_\_\_

Address: **1251 Avenue of the Americas**

City: **New York**

State **New York** Zip **10036**

Country **United States of America**

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

☒ Other **Correction of registration number in record of assignment recorded in 1994 Reel/Frame 1180/186**

Execution Date: **March 29, 1994**

☐ Individual(s) citizenship \_\_\_\_\_

☐ Association \_\_\_\_\_

☐ General Partnership \_\_\_\_\_

☐ Limited Partnership \_\_\_\_\_

☒ Corporation **Delaware**

☐ Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designation must be a separate document from Assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark registration No.(s) **1,798,890**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

**PENNIE & EDMONDS llp**  
**1155 Avenue of the Americas**  
**New York, NY 10036**

Attn.: **PATRICIA VEGA**

File No.: **6925-065-999**

6. Total number of applications and registrations involved: **ONE**

7. Total fee (37 CFR 3.41):.....\$ **40**

Please charge to the deposit account listed in Section 8.

8. Deposit account number:  
**16-1150**

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Constance Golden, Esq.**

Name of Person Signing

Signature

**4/4/00** Date

Total number of pages comprising cover sheet:

**6**

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**TRADEMARK**  
**REEL: 002053 FRAME: 0335**

## TRADEMARKS ONLY

Tab settings ☐ ☐

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Morgan Stanley &amp; Co. Incorporated

- ☐ Individual(s) ☐ Association  
☐ General Partnership ☐ Limited Partnership  
☒ Corporation-State 03 DE  
☐ Other \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: March 29, 1994

## 2. Name and address of receiving party(ies)

Name: Morgan Stanley Group Inc.

Internal Address: \_\_\_\_\_

Street Address: 1251 Avenue of the AmericasCity: NY State: NY ZIP: 10036

- ☐ Individual(s) citizenship \_\_\_\_\_  
☐ Association \_\_\_\_\_  
☐ General Partnership \_\_\_\_\_  
☐ Limited Partnership \_\_\_\_\_  
☒ Corporation-State Delaware 03  
☐ Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☐ No

## 4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s) 1,178,890Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Constance Golden, Esq.Internal Address: Pennie & EdmondsStreet Address: 1155 Avenue of the AmericasCity: NY State: NY ZIP: 100366. Total number of applications and registrations involved: 17. Total fee (37 CFR 3.41).....\$ 8.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

16-1150

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Constance Golden  
Name of Person SigningConstance Golden  
Signature7/20/94  
DateTotal number of pages including cover sheet, attachments, and document: 3

A S S I G N M E N T

WHEREAS, **MORGAN STANLEY & CO. INCORPORATED**, a corporation organized and existing under the laws of the State of Delaware, having an office at 1251 Avenue of the Americas, New York, New York 10020, ("Assignor") is the owner of the trademark OPALS covered by U.S. Registration No. 1,178,890, registered October 12, 1993 ("Mark"); and 1,798,890

WHEREAS, **MORGAN STANLEY GROUP INC.**, a corporation organized and existing under the laws of the State of Delaware, having an office at 1251 Avenue of the Americas, New York, New York 10020, ("Assignee") is desirous of acquiring said Mark and registration;

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the said Assignor, **MORGAN STANLEY & CO. INCORPORATED**, does hereby sell, assign and transfer to Assignee, **MORGAN STANLEY GROUP INC.**, all rights, title and interest in and to the said Mark and registration thereof together with the good will of the business of Assignor in connection with and symbolized by said Mark and together with all the rights of recovery including damages and profits for past infringements.

IN WITNESS WHEREOF, the Assignor, has caused this instrument to be executed by its duly authorized corporate officer and its corporate seal to be hereunto affixed this 29th day of March, 1994.

**MORGAN STANLEY & CO. INCORPORATED**

By: R. Sheldon Johnson  
 Name: R. Sheldon Johnson  
 Title: MANAGING DIRECTOR

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PATENT AND TRADEMARK  
OFFICE

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TRADEMARK

REEL 1180 FRAME 186