FORM PTO-1618A Expires 06/30/99 OMB 0651-0027

04-21-2000

U.S. Department of Commerce Patent and Trademark Office **TRADEMARK** 

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OPR/FINANCE

101328075 **RECORDATION FORM COVER SHEET** 

TRADEMARKS ONLY TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies). Submission Type Conveyance Type License XX Assignment New Resubmission (Non-Recordation) **Security Agreement Nunc Pro Tunc Assignment** Document ID # **Effective Date** Month Day Year Merger **Correction of PTO Error** Reel # Frame # Change of Name **Corrective Document Reel #** | 1807 Frame # Other Mark if additional names of conveying parties attached **Execution Date** Month Day Year Name Jet-Tone Musical Products, Inc. 4-18-89

Conveying Party Formerly Jet-Tone Musical Products Company, Inc. Individual General Partnership Limited Partnership Corporation **Association** Other Citizenship/State of Incorporation/Organization Connecticut **Receiving Party** Mark if additional names of receiving parties attached Name Dennis Bamber DBA/AKA/TA Composed of 20591 Decatur Road Address (line 1) Address (line 2) Address (line 3) Cassopolis Michigan 49031 State/Country Zip Code XX Individual If document to be recorded is an General Partnership **Limited Partnership** assignment and the receiving party is not domiciled in the United States, an Corporation **Association** appointment of a domestic representative should be attached. Other (Designation must be a separate document from Assignment.) XX Citizenship/State of Incorporation/Organization USA FOR OFFICE USE ONLY 04/20/2000 JSHABAZZ 00000068 1107200

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (9651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , WashingRADEMARK

REEL: 002056 FRAME: 0348

FORM PTO-1618B Expires 06/30/99 OMB 0651-0027	Page	e 2	U.S. Department of Commerce Patent and Trademark Office TRADEMARK	
Domestic Representativ	e Name and Address	Enter for the first Receiving Pa	arty only.	
Name				
Address (line 1)				
Address (line 2)				
Address (line 3)				
Address (line 4)				
Correspondent Name ar	d Address Area Code and	Telephone Number 312-357-1	313	
Name Melissa A.	Vallone, Esq.			
Address (line 1) Barnes & T	hornburg			
Address (line 2) 10 South L	aSalle St., 2600 Chase	Plaza		
Address (line 3)				
Address (line 4) Chicago, I	L 60603			
Pages Enter the total including any		ached conveyance document	# 6	
Trademark Application N	lumber(s) or Registration	on Number(s) Mark if a	dditional numbers attached	
	<del>-</del>	umber (DO NOT ENTER BOTH numbers	• • •	
Trademark Application	ation Number(s)	Registration Nu	nber(s)	
		1107200		
Number of Properties Enter the total number of properties involved. # 1				
Fee Amount	Fee Amount for Properties L	isted (37 CFR 3.41): \$ 40.00	)	

Statement and Signature

**Method of Payment:** 

**Deposit Account** 

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as

Authorization to charge additional fees:

**Deposit Account Number:** 

Deposit Account

Enclosed X

(Enter for payment by deposit account or if additional fees can be charged to the account.)

indicated herein.

Melissa A. Vallone

Name of Person Signing

Signature

3/15/00

Date Signed

No

	(Rev. 6-93) OMB No. 0651-0011 (exp. 4/94)
mai) 10-9-99	To the Honorable Cor  1. Name of conveying  Jet-Tone, Inc  ☐ Individual(s) ☐ General Partnership  ☐ Corporation-State- ☐ Other  Additional name(s) of conveyant  ☐ Assignment ☐ Security Agreement ☐ Other  Execution Date: Apr.
	4. Application numbe

11-04-1998
10000004

SHEET

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Attorney Docket No. 139600/52396

To the Honorable Commissioner of Patents and Hagicinais.	
To the Honorable Commissioner of Fatents and Hagemarks. 1.5.	ached original documents or copy thereof
Name of conveying party(ies):	2. Name and address of receiving party(ies):
Jet-Tone, Inc.	Name: Dennis Bamber
	Internal Address:
☐ Individual(s) ☐ Association ☐ General Partnership ☐ Limited Partnership	Street Address: 20591 Decatur Road
☐ Corporation-State- Connecticut	City <u>Cassopolis</u> State <u>MI</u> ZIP <u>49031</u>
□ Other	☑Individual(s) citizenship <u>U.S.A.</u>
Additional name(s) of conveying party(ies) attached? ☐ Yes 🗵 No	☐ Association
3. Nature of conveyance:	☐ General Partnership
	☐ Corporation-State
□ Assignment           □ Merger             □ Security Agreement           □ Change of Name	☐ Other
Other	designation is attached:   (Designation must be a separate document from Assignment)
Execution Date: April 18, 1989	Additional name(s) & address(es) attached? ☐ Yes ☒ №
Application number(s) or registration number(s):	
\. Trademark Application No.(s)	B. Trademark Registration No.(s) 1,107,200
7. Trademark Application No.(s)	B. Hademark Registration No.(3) 1,107,200
Additional numbers attached	ched? 🗆 Yes 🖾 No
Additional numbers attaction of party to whom correspondence	6. Total number of applications and
5. Name and address of party to whom correspondence	6. Total number of applications and
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and
Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard T. Gallagher	6. Total number of applications and registrations involved:
Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard T. Gallagher  Internal Address: Barnes & Thornburg	6. Total number of applications and registrations involved:
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard T. Gallagher  Internal Address: Barnes & Thornburg  Street Address: 600 1st Source Bank Center	6. Total number of applications and registrations involved:  7. Total fee (37 CFR 3.41): \$\frac{40.00}{\text{\text{\text{Enclosed}}}}\$
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard T. Gallagher  Internal Address: Barnes & Thornburg  Street Address: 600 1st Source Bank Center  100 North Michigan Street	6. Total number of applications and registrations involved:
Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard T. Gallagher  Internal Address: Barnes & Thornburg  Street Address: 600 1st Source Bank Center	6. Total number of applications and registrations involved:
Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard T. Gallagher  Internal Address: Barnes & Thornburg  Street Address: 600 1st Source Bank Center  100 North Michigan Street	6. Total number of applications and registrations involved:
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard T. Gallagher  Internal Address: Barnes & Thornburg  Street Address: 600 1st Source Bank Center  100 North Michigan Street  City South Bend State Indiana ZIP 46601	6. Total number of applications and registrations involved:
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard T. Gallagher  Internal Address: Barnes & Thornburg  Street Address: 600 1st Source Bank Center  100 North Michigan Street  City South Bend State Indiana ZIP 46601	6. Total number of applications and registrations involved:  7. Total fee (37 CFR 3.41): \$40.00  ☐ Enclosed ☐ Authorized to be charged to deposit account-*SHORTAGES ONLY*  8. Deposit account number: 02-1007/139600/52396 *SHORTAGES ONLY*  (Attach duplicate copy of this page if paying by deposit account)
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard T. Gallagher  Internal Address: Barnes & Thornburg  Street Address: 600 1st Source Bank Center  100 North Michigan Street  City South Bend State Indiana ZIP 46601  DO NOT US	6. Total number of applications and registrations involved:
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard T. Gallagher  Internal Address: Barnes & Thornburg  Street Address: 600 1st Source Bank Center  100 North Michigan Street  City South Bend State Indiana ZIP 46601  DO NOT US  9. Statement and signature.  To the best of my knowledge and belief, the foregoing information	6. Total number of applications and registrations involved:

Mail documents to be recorded with required cover sheet information to: Compressioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231

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# CONTRACT OF SALE

THIS CONTRACT made and entered into this 1944 day of April, 1989, between Jet-Tone Musical Products, Inc., a Connecticut corporation, hereinafter referred to as the "Seller", and Dennis Bamber, an Indiana resident, hereinafter referred to as "Buyer".

#### RECITALS:

The Seller is the owner, operator and manufacturer of mouth pieces for brass musical instruments and other musical products known as Jet-Tone Musical Products, Inc., located at 1255 Kings Highway East, Fairfield, Connecticut, 06430, and desires to sell said business, together with the assets hereinafter specified, collectively referred to as "said business."

Seller desires to sell to Buyer, and Buyer desires to purchase from Seller, said business.

IT IS, THEREFORE, AGREED:

## PURCHASE AND SALE

- 1. Seller shall sell to Buyer and Buyer shall purchase from Seller on the terms and conditions set forth in this Contract, all of the following:
- (a) All the stock-in-trade, including raw materials, work in process, and completed inventory as determined by the complete inventory and accounting of such stock-in-trade and merchandise to be taken as provided in paragraph 9 of this Contract;
- (b) All the fixtures, templates, machinery, buffing and grinding equipment, and other tangible assets of said business on an "as is" basis pursuant to an inventory attached hereto marked Exhibit A;
- (c) All the trade, business name, books and records, customer lists, sales brochures, including but not limited to dealers, wholesalers, individual customers, invoices, manufacturer's instructions, supplier's list, floorplan arrangement including the

arrangement including the tradename of Jet-Tone, heretofore used by the Seller in the conduct of said business and all right to use the same, which the Seller may have acquired or heretofore used in connection with the said business, as well as any other intangible assets of said business. Seller further agrees that the purchaser, his heirs and assigns, shall have the right to use said tradename and/or trademark, servicemarks, servicemark applications and copyrights owned or registered in the name of Seller or in which the Seller has any rights in whole or in part, either individually or as the whole or part of any corporate name of any corporation which the purchaser may hereafter organize for the conduct of such business.

## PURCHASE PRICE

2. The purchase price shall be Fifty Thousand (\$50,000.00) Dollars.

## PAYMENT OF PURCHASE PRICE

3. The purchase price is payable as follows: The sum of Fifty Thousand (\$50,000.00) Dollars shall be paid to the Seller at the time of closing

# WARRANTIES BY SELLER

- 4. Seller hereby warrants and represents to Buyer that:
- (a) The books of account for said business contain a full and two formula, (189) complete record and account of the financial affairs of said business and truthfully set forth all liabilities, assets and other matters pertaining to the fiscal or financial condition of said business. The books and records of the period ending prior to the closing fairly reflect the financial condition, results of operation of said business as of such day of the period then ending, in accordance with generally accepted accounting principles.
- (b) Seller is the sole owner of said business with full right to sell or dispose of it as she may choose and that no other person or persons whatsoever have any claim, right, title, interest, or lien in,

to, or on said business.

- (c) Seller owes no obligations and has contracted no liabilities affecting said business or which might affect consummation of the purchase and sale described in this Contract nor will such purchase and sale conflict with or violate any agreement or law to which Seller or said business is subject.
- (d) No litigation or proceedings, legal, equitable, administrative, through arbitration, or otherwise, are pending or threatened which might affect said business or the consummation of the purchase and sale described in this Contract.
- (e) Seller has complied with all laws, rules, and regulations relating to the business and to the property to be sold to Buyer pursuant to this Contract. The board of directors and shareholders of Seller have authorized and approved and Seller has taken, all action required by law, its certificate of incorporatation, its by-laws or otherwise to authorize and approve the execution and delivery of this agreement.
- (E) Seller has paid in full, or will arrange for such payment, all taxes owned by the business and property subject to this Contract, or which may arise as a result of this Contract.
- (g) Seller does not own, or have any legal or equitable title in, any real property.
- (h) There are no outstanding notes or accounts receivable relating to the accounts with Seller of, or advances by Seller to, any shareholder, officer, director, employee or affiliate of Seller.

## WAIVER OF BULK SALES LAWS

5. The Purchaser waives the requirements of the Bulk Transfer Division of the Uniform Commercial Code of the State of Connecticut and in lieu thereof, accepts a closing affidavit.

## CLOSING AND ESCROW

6. The closing of the sale and purchase described in this

Contract shall take place at 1255 Kings Highway East, Fairfield Connecticut on April 77, 1989. At the time of closing, Seller shall execute and deliver to Buyer such bills of sale, closing affidavits and other instruments as may be necessary to transfer to Buyer all of the assets of the business being sold pursuant to this Contract free and clear of all liens, encumbrances, or claims.

## CONDUCT OF BUSINESS

7. Pending closing of the sale and purchase described in this Contract, Seller shall continue to operate said business in the same manner as it has been operated in the past.

## RISK OF LOSS

8. The Seller assumes all risk of loss, damage, or destruction to said business and the assets of said business subject to this Contract up to the time of closing. In the event of any such loss which substantially impairs the value of said business, the Buyer shall have the right to terminate this Contract or to close and receive an assignment of the applicable insurance proceeds. Should said business be closed or interrupted for a period of five (5) days prior to the date of closing of the sale and purchase by reason of any event not in the regular course of business, Buyer shall have the right to terminate this Contract.

# INVENTORY OF ASSETS

9. A complete inventory of the stock-in-trade, merchandise, and other tangible assets to be sold and purchased under this Contract shall be taken on at the time of closing and shall be of a minimum of 1,087 mouthpieces. There shall be a minimum trumpet inventory of 562, 114 trombones, 120 flugal horns and 291 coronets. There shall also be part of the inventory approximately 5,000 blanks from which finished goods may be produced. Said business will be closed immediately prior to the taking of the inventory and will remain closed until after the closing of the sale and purchase described in this Contract.

### DISSOLUTION OF CORPORATION

10. Seller warrants to the Buyer and it will commence the dissolution proceedings of Jet-Tone Musical Products, Inc., a Connecticut corporation, on or before May 31, 1989.

#### COSTS AND EXPENSES

- 11. All costs and expenses incurred in conducting the purchase and sale described in this Contract in the manner prescribed by this contract shall be borne by Buyer and Seller as follows:
- (a) Each party, Buyer and Seller, shall pay the fees and charges of the attorneys retained by him.
- (b) All other expense incurred shall be paid by the parties, Buyer and Seller, in equal proportions.
- (c) Buyer and Seller represent and warrant that they have dealt directly with one another so as not to give rise to any valid claim for brokerage.

### CORPORATE RESOLUTION

12. The Seller shall provide the Purchaser with a corporate resolution executed by the President of Jet-Tone Musical Products, Inc. authorizing the sale of said assets and representing said assets are free and clear of any encumbrances, liens or claims.

## ENTIRE AGREEMENT

13. This Contract constitutes the sole and only agreement between Buyer and Seller respecting said business or the sale and purchase described in this Contract and correctly sets forth the obligations of Buyer and Seller to each other as of its date. Any agreements or representations respecting said business or its sale to Buyer not expressly set forth in this Contract are null and void.

## ATTORNEY'S FEES

14. Should any litigation be commenced between the parties to this Contract concerning said business, this Contract, or the rights and duties of either in relation thereto, the party, Buyer or Seller,

prevailing in such litigation shall be entitled, in addition to such other relief as may be granted, to a reasonable sum as and for his attorney's fees in such litigtion which shall be determined by the court in such litigation or in a separate action brought for that purpose.

# INDEMNITY

Seller shall indemnify and hold Buyer harmless from and against all liabilities and obligations arising prior to closing date.

### NO ASSIGNMENT

16. Neither this Contract nor any interest therein shall be assigned by Buyer or Seller without the written consent of the other. Provided, however, nothing contained in this Paragraph shall prevent the Seller, after consummation of the purchase and sale described in this Contract, from negotiating, assigning, or transferring any promissory note or other evidence or indebtedness given her as a part of the purchase price for the purchase and sale described in this Contract.

## BINDING ON HEIRS

17. This Contract shall be binding on and shall inure to the benefit of the heirs, executors, administrators, successors, and assigns of the parties hereto; nothing contained in this Paragraph shall be construed as a consent to any assignment of this Contract by either Buyer or Seller except as provided in Paragraph 14 of this Contract.

Executed at Fairfield, Connecticut, on the day and year first above written.

JET-TONE MUSICAL PRODUCTS, INC.

SELLER

BUYER

#### Guidelines for Completing Trademarks Cover Sheets

Cover sheet information must be submitted with each document to be recorded. If the document to be recorded concerns both patents and trademarks, separate patent and trademark cover sheets, including any attached pages for continuing information, must accompany the document. All pages of the cover sheet should be numbered consecutively, for example, if both a patent and trademark cover sheet is used, and information is continued on one additional page for both patents and trademarks, the pages of the cover sheet would be numbered from 1 to 4.

#### Item 1. Name of Conveying Party(ies).

Enter the full name of the party(ies) conveying the interest. If there is insufficient space, enter a check mark in the "Yes" box to indicate that additional information is attached. The name of the second or subsequent conveying party(ies) should be placed on an attached page clearly identified as a continuation of the information in Item 1. Enter a check mark in the "No" box, if no information is contained on an attached page.

## Item 2. Name and Address of Receiving Party(ies).

Enter the name and full address of the first party receiving the interest. If there is more than one party receiving the interest, enter a check mark in the "Yes" box to indicate that additional information is attached. An entity type must be indicated for each receiving party, and the citizenship of individuals must be indicated. If the receiving party is an assignee not domiciled in the United States, a designation of domestic representative is required. A designation of domestic representative must be contained in a document separate from the assignment document. Place a check mark in appropriate box to indicate whether or not a designation of domestic representative is attached. Enter a check mark in the "No" box, if no information is contained on an attached page.

#### Item 3. Nature of Conveyance.

Place a check mark in the appropriate box describing the nature of the conveying document. If the "Other" box is checked, specify the nature of the conveyance. Enter the execution date of the document. It is preferable to use the name of the month, or an abbreviation of that name, in order that confusion over dates is minimized.

#### Item 4. Application Number(s) or Registration Number(s).

Indicate the application number(s) including series code and serial number against which the document is to be recorded. Enter a check mark in the appropriate box: "Yes" or "No" if additional numbers appear on attached pages. Be sure to identify numbers included on attached pages as the continuation of Item 4.

# Item 5. Name and Address of Party to Whom Correspondence Concerning the Document Should be Mailed.

Enter the name and full address of the party to whom correspondence should be mailed.

#### Item 6. Total Applications and Registrations Involved.

Enter the total number of applications and trademarks identified for recordation. Be sure to include all applications and registrations identified on the cover sheet and on additional pages.

#### Item 7. Total Fee Enclosed

Enter the total fee enclosed or authorized to be charged. A fee is required for each application and registration against which the document is recorded.

#### Item 8. Deposit Account Number

Enter the deposit account number to authorize charges. Attach a duplicate copy of cover sheet to be used for the deposit charge account transaction.

#### Item 9. Statement and Signature

Enter the name of the person submitting the document. The submitter must sign and date the cover sheet, confirming that to the best of that person's knowledge and belief, the information contained on the cover sheet is correct and that any copy of the document is a true copy of the original document. Enter the total number of pages contained in the cover sheet, including any attached pages containing information continued from the Items of the cover sheet.

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