

05-24-2000 U.S. Patent & TMOfo/TM Mail Ropt Dt. #94 __ RE

06-22-2000



U.S. Department of Commerce Patent and Trademark Office

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To the Honorauc commissioner of copy thereof.	101386	906	rd the attached original documents or
1. Name of conveying party(ies):	90	2. Name a	and Address of receiving party(ies)
GE INVESTMENT DISTRIBUTO	RS, INC. 5.24.0	Name:	General Electric Company
General Partnership Lim x Corporation-Delaware Other	nited Partnership	Addres	s: One River Road Schenectady, New York 12345
Additional name(s) of conveying party(ies) attached? 3. Nature of conveyance: X Assignment Security Agreement Other Execution Date: May 24, 2000	Merger inge of Name	Asso Gene Limi x Corp Other If assignee is r designation is (Designations	idual(s) citizenship ciation ral Partnership ted Partnership oration-State of New York r not domiciled in the United States, a domestic representative attached: Yes No must be a separate document from assignment) me(s) & address(es) attached? Yes _x _ No
4. Application number(s) or registration	n number(s):		
A.Trademark Application No.(s) 75/735,955 Additional numbers attached?			mark Registration No.(s)
5. Name and address of party to whom concerning document should be made and Sheri L. Gelfond, Esq. Cowan, Liebowitz & Latman, P.C. 1133 Avenue of the Americas New York, NY 10036-6799	-	6. Total n involve	umber of applications and registrations d: _1
06/22/2000 DHGUYEN 00000054 75735955		<u>x</u> E ₁	the (37 CFR 3.41) \$ 40.00 choiced not deficiency is authorized to be charged Deposit Account No. 03-3415.
01 FC:481 / 40.00 OP)		8. Deposit	t Account No. <u>03-3415</u>
		(Attach du	plicate copy of this page if paying by deposit account)
	DO NOT USE TI	HS SPACE	
9. Statement and signature. To the best of my knowledge and belief, the original document.	foregoing information	is true and co	rrect and any attached copy is a true copy of the
Sheri L. Gelfond Signature May 24, 2000			
Total number of pages including cover sheet, attachments, and document: 3			

Mail to: U.S.Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, DC 20231

ASSIGNMENT OF GE ONE-ON-ONE TRADEMARK TO GENERAL ELECTRIC COMPANY

WHEREAS, GE INVESTMENT DISTRIBUTORS, INC., a Delaware corporation having its principal place of business at 777 Long Ridge Road, Bldg. B, Stamford, CT 06927 ("Assignor") has adopted, used and is using the mark GE ONE-ON-ONE in connection with "financial services, namely, providing retirement investment strategies via a global communications network and via telephone" and has a pending application to register the mark GE ONE-ON-ONE on the Principal Register of the United States Patent and Trademark Office, Application Serial No. 75/735,955, filed June 24, 1999 in connection with those services; and

WHEREAS, GENERAL ELECTRIC COMPANY, a New York corporation having its principal place of business at One River Road, Schenectady, NY 12345 ("Assignee") indirectly owns all of the outstanding voting stock in Assignor and is desirous of acquiring all of Assignor's right, title and interest in and to the mark GE ONE-ON-ONE including, without limitation, pending Application Serial No. 75/735,955;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, absolutely and forever, all of Assignor's rights, title and interest, whether statutory or at common law, in and to the mark GE ONE-ON-ONE including, without limitation, pending Application Serial No. 75/735,955, together with the goodwill of the business symbolized by the mark GE ONE-ON-ONE and all causes of action for any and all previously occurring infringements of the rights being assigned and the right to receive and retain all monetary and other proceeds relating to those causes of action.

The Commissioner of Patents and Trademarks is requested to issue any and all Certificates of Registration resulting from Application Serial No. 75/735,955 in Assignee's name.

GE INVESTMENT DISTRIBUTORS, INC.

Bv:

Name: Matthew J. Simpson

Title: Secretary
Date: 5/24/2000

SLG/SLG/16553/03/415508.1

TRADEMARK
REEL: 002090 FRAME: 0869

ACKNOWLEDGEMENT

STATE OF CONNECTICUT

SS: Stampoco

COUNTY OF FAIRFIELD

On the 24th day of May, 2000, before me personally appeared Matthew J. Simpson, to me known, who being by me duly sworn, did depose and state that he is Secretary of GE INVESTMENT DISTRIBUTORS, INC., the corporation described in and which executed the foregoing instrument; and that he signed his name thereto by order of the Board of Directors.

Notary Public

MAUREEN BOSSONE NOTARY PUBLIC MY COMMISSION EXPIRES MAY 31, 2005

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RECORDED: 05/24/2000

TRADEMARK
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