

06-22-2000



HEET U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner

101387499

attached original documents or copy thereof.

1. Name of conveying party(ies):

Ciba-Geigy Corporation

☐ Individual(s)

☐ General Partnership

☒ Corporation-State

☐ Other

☐ Association

☐ Limited Partnership

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☐ Security Agreement

☐ Other

☐ Merger

☒ Change of Name

Execution Date: **December 26, 1996**

Name and address of receiving party(ies):

Name: **Novartis Corporation**

Internal Address:

Street Address: **564 Morris Avenue**

City: **Summit** State: **NJ** Zip: **07901**

☐ Individual(s) citizenship

☐ Association

☐ General Partnership

☐ Limited

☒ Corporation-State **New York**

☐ Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s) **1599155**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Barry A. Solomon**

Internal Address: **Patent and Trademark Department**

Street Address:

**564 Morris Avenue**

City: **Summit** State: **NJ** Zip: **07901-1027**

6. Total number of applications and registrations involved:

**1**

7. Total fee (37 CFR 3.41) .....\$ **40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

**50-0430**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Barry A. Solomon**

Name of Person Signing

*Barry A. Solomon / msp*

Signature

**May 30, 2000**

Date

Total number of pages including cover sheet, attachments, and document: **5**

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

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CERTIFICATE OF MERGER

OF

SANDOZ CORPORATION

INTO

CIBA-GEIGY CORPORATION

UNDER SECTION 904 OF THE BUSINESS CORPORATION LAW

We, the undersigned, Jeff Benjamin and Bruce J. Brumberg, being respectively Vice President, General Counsel and Secretary, and Assistant Secretary of Ciba-Geigy Corporation and Robert L. Thompson, Jr. and Wayne P. Merkelson, being respectively Vice President, General Counsel and Secretary, and Vice President, Associate General Counsel and Assistant Secretary of Sandoz Corporation hereby certify:

FIRST: The name of the constituent corporation which is to be the surviving corporation is Ciba-Geigy Corporation and the name under which it was formed is Ardsley Chemical Corporation. The date upon which its Certificate of Incorporation was filed by the Department of State is November 15, 1966.

SECOND: The name of the other constituent corporation which is being merged into the surviving corporation is Sandoz Corporation, and the name under which it was formed is Zodnas Holdings, Inc. The date upon which its Certificate of Incorporation was filed by the Department of State is December 22, 1976.

THIRD: The Board of Directors of each of the constituent corporations has duly adopted a Plan of Merger setting forth the terms and conditions of the merger of said corporations.

FOURTH: As to each constituent corporation, the designation and number of outstanding shares of each class and series and the voting rights thereof are as follows:

- (a) Designation, voting rights and number of shares in each class or series outstanding:
  - (1) For Ciba-Geigy Corporation:
    - (i) Common Stock, \$1.00 par value; voting; 72,230,756 shares outstanding
    - (ii) Common Stock, \$1.00 par value; nonvoting; 5,304 shares outstanding
  - (2) For Sandoz Corporation:
    - Common Stock, \$1.00 par value; voting; 1,000 shares outstanding

- (b) Shares entitled to vote as a class or series:  
(1) For Ciba-Geigy Corporation: None  
(2) For Sandoz Corporation: None

FIFTH: The merger was adopted by each constituent corporation in the following manner:

- (a) As to Sandoz Corporation, by the written consent of the sole shareholder.  
(b) As to Ciba-Geigy Corporation, by the written consent of the sole shareholder.

SIXTH: The Certificate of Incorporation of Ciba-Geigy Corporation is hereby amended as follows:

- (a) Article 1 (concerning the name of the corporation) of the Certificate of Incorporation is hereby deleted in its entirety and replaced with:

"The name of the corporation shall be Novartis Corporation."

SEVENTH: The effective date of the merger shall be January 1, 1997.

IN WITNESS WHEREOF, we have signed this certificate on the 26 day of December, 1996, and we affirm the statements contained therein as true under penalties of perjury.

CIBA-GEIGY CORPORATION

By: Jeff Benjamin  
Name: Jeff Benjamin  
Title: Vice President

By: Bruce Brumberg  
Name: Bruce Brumberg  
Title: Assistant Secretary

SANDOZ CORPORATION

By: Robert L. Thompson, Jr.  
Name: Robert L. Thompson, Jr.  
Title: Vice President

By: Wayne P. Merkelsen  
Name: Wayne P. Merkelsen  
Title: Assistant Secretary

tek:cofmerger

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