

06-29-2000



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RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)  
Document ID # \_\_\_\_\_
- Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_
- Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Conveyance Type

- Assignment
- Security Agreement
- Merger
- Change of Name
- Other \_\_\_\_\_
- License
- Nunc Pro Tunc Assignment  
Effective Date  
Month Day Year  
6 4 99

Conveying Party

Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name U.S. Bancorp Piper Jaffray Inc

5 22 2000

Formerly Piper Jaffray Inc

- Individual
- General Partnership
- Limited Partnership
- Corporation
- Association
- Other \_\_\_\_\_

Citizenship/State of Incorporation/Organization Delaware

Receiving Party

Mark if additional names of receiving parties attached

Name U.S. Bancorp Piper Jaffray Companies Inc.

DBA/AKA/TA \_\_\_\_\_

Composed of \_\_\_\_\_

Address (line 1) 222 South North Street

Address (line 2) \_\_\_\_\_

Address (line 3) Muncipolis MN 55402  
City State/Country Zip Code

- Individual
  - General Partnership
  - Limited Partnership
  - Corporation
  - Association
  - Other \_\_\_\_\_
- If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

Citizenship/State of Incorporation/Organization Delaware

07/03/2000 ASCOTT 00000015 1943841

FOR OFFICE USE ONLY

01 FC:461 40.00 OP  
02 FC:462 25.00 OP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK  
REEL: 002093 FRAME: 0525

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

Name Michael Gannon

Address (line 1) U.S. Bancorp

Address (line 2) MPPF 2801

Address (line 3) 601 South Sixth Street

Address (line 4) Minneapolis, Minnesota 55402

Pages Enter the total number of pages of the attached conveyance document including any attachments. # 1

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

**Trademark Application Number(s)**

**Registration Number(s)**

1,943,841

1,864,930

Number of Properties Enter the total number of properties involved. # 2

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ 65.00

Method of Payment: Enclosed  Deposit Account

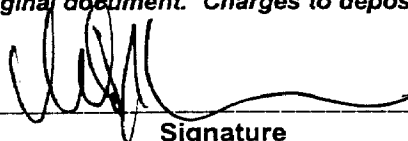
Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: # 50-1183

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Michael C. Gannon



5/13/2003

Name of Person Signing

Signature

Date Signed

**NUNC PRO TUNC SERVICE MARK ASSIGNMENT**

WHEREAS, U.S. Bancorp Piper Jaffray Inc. (formerly known as Piper Jaffray Inc.), a corporation organized under the laws of Delaware and having a principal place of business at 222 South Ninth Street, Minneapolis, Minnesota 55402, hereinafter ASSIGNOR, has adopted and used in its business the marks EMERGING AMERICA and FROM HERE YOU CAN SEE THE FUTURE ("the Marks"), which are registered in the United States Patent and Trademark Office as Registration Nos. 1,943,841 and 1,864,930, respectively; and

WHEREAS, U.S. Bancorp Piper Jaffray Companies Inc., hereinafter ASSIGNEE, a corporation organized under the laws of Delaware and having a principal place of business at 222 South Ninth Street, Minneapolis, Minnesota 55402, is desirous of acquiring the Marks and the registrations therefor for use in its business;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR does hereby assign unto ASSIGNEE *nunc pro tunc* as of June 4, 1999, all right, title, and interest in and to the Marks and any registrations therefor, together with the goodwill of the business symbolized by the Marks.

IN WITNESS WHEREOF, ASSIGNOR has caused this instrument to be executed by its duly authorized corporate officer as of the date shown below.

**U.S. BANCORP PIPER JAFFRAY INC.**

By: Richard T. Chase

Name: Richard T. Chase

Title: Managing Director

Date: 5/22/2000