

08-01-2000

Docket No.:



101419426

Attached original documents or copy thereof.

To the Honorable Commissioner of Patent

1. Name of conveying party(ies):

The FINOVA Group Inc.

- Individual(s)
- General Partnership
- Corporation-State Delaware
- Other

- Association
- Limited Partnership

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: Harris Williams & Co.

Internal Address: 19th Floor

Street Address: 707 East Main Street

City: Richmond State: VA ZIP: 23219

Individual(s) citizenship

Association

General Partnership

Limited Partnership

Corporation-State Virginia

Other

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  N

(Designations must be a separate document from

Additional name(s) & address(es)  Yes  N

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: June 20, 2000

4. Application number(s) or registration numbers(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

2,207,235

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mary Dalton Baril, Esq.

Internal Address: McGuire, Woods, Battle & Boothe LLP

Street Address: 901 East Cary Street, One James Center

City: Richmond State: VA ZIP: 23219-4030

6. Total number of applications and registrations involved:.....

1

7. Total fee (37 CFR 3.41):.....\$ \$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mary Dalton Baril

Name of Person Signing

Mary D Baril

Signature

June 30, 2000

Date

Total number of pages including cover sheet, attachments, and

4

## TRADEMARK ASSIGNMENT

This TRADEMARK ASSIGNMENT is made on this 20<sup>th</sup> day of June 2000, by The FINOVA Group Inc., a corporation duly organized and existing under the laws of the State of Delaware and having offices at 4800 N. Scottsdale Road, Scottsdale, Arizona 85251 ("ASSIGNOR"), and Harris Williams & Co., a corporation duly organized and existing under the laws of the Commonwealth of Virginia and having its principal office at 707 East Main Street, 19<sup>th</sup> Floor, Richmond, Virginia 23219 ("ASSIGNEE").

WHEREAS, Assignor is the assignee of the entire right, title and interest in and to the mark HARRIS WILLIAMS & CO. ("Mark") pursuant to a Trademark Assignment dated as of March 22 1999 from Christopher H. Williams and H. Hiter Harris III Partnership, the federal registration therefor which was registered in accordance with the information on Schedule A (attached hereto), and the goodwill developed through the use of said Mark;

WHEREAS, Assignor has not heretofore assigned any interest in the Mark to any other person or entity; and

WHEREAS, Assignee is desirous of acquiring all rights to the Mark, the registration therefor and the goodwill developed through the use of the Mark.

NOW THEREFORE, for One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over to ASSIGNEE and its successors and assigns all of its right, title and interest in and to the Mark, the registration therefor as identified on Schedule A, and the goodwill of the business connected with the Mark, the same, including the right to sue for and receive all

damages from past infringements of the Mark, to be held and enjoyed by ASSIGNEE its successors, assigns and other legal representatives.

IN WITNESS WHEREOF, ASSIGNOR has caused this Assignment to be executed by its proper officers thereunto duly authorized.

THE FINOVA GROUP INC.

By: Matthew M. Breyne

Name: Matthew M. Breyne

Title: President and Chief Executive Officer

Attest:

Name: Betty J. Pecha

Title: Assistant Secretary

State of Arizona,

County of Maricopa :

On this 21 day of June, 2000, before me personally appeared Matthew M. Breyne to me known to be the President & CEO of The FINOVA Group Inc., a Delaware corporation, on whose behalf (s)he executed the foregoing instrument, and acknowledged that (s)he executed the same as his/her free act and deed.

My commission expires: January 30, 2002



Amy R. Guthart  
Notary Public

Schedule A

Registrations

<u>Mark</u>	<u>Registration No.</u>	<u>Date</u>
HARRIS WILLIAMS & CO.	2,207,235	December 1, 1998

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