FORM PTO-1594

MRD 9.18.00

**RE**( T

09-18-2000



101455050

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

 $\blacktriangledown$ 

To the Honorable Commissioner of Patc	original documents or copy thereof.
1. Name of conveying party(ies): St. Maarten Spirits, Ltd.	Name and address of receiving party(ies):
□ Individual(s) □ Association	Name: Joseph E. Seagram & Sons, Inc.
☐ General Partnership ☐ Limited Partnership	Internal Address:
	Street Address: 375 Park Avenue
□ Other	City: New York State: NY ZIP: 10152
Additional name(s) of conveying party(ies) attached?	-
3. Nature of conveyance:	□ Individual(s) citizenship
□ Assignment □ Merger	☐ Association ☐ General Partnership
☐ Security Agreement ☐ Change of Name	□ Limited Partnership
Ø Other <u>Judgment and Lien Against Certain Trademarks of</u>	⊠ Corporation-State <u>Indiana</u>
Judgment Debtor St. Maarten Spirits, Ltd.	☐ Other  If assignee is not domiciled in the United States, a domestic representative designation is
Execution Date: September 13, 2000	attached:  (Designation must be a separate document from Assignment)
	Additional name(s) & address(es) attached?
4. Application number(a) or registration number(a):	
4. Application number(s) or registration number(s):	
A. Trademark Application No.(s)	B. Trademark Registration No.(s)
	2,031,540 ; 2,058,067 ; 1,971,273 ; 1,948,031
Additional numbers	attached? □ Yes ⊠ No
5. Name and address of party to whom correspondence	6. Total number of applications and
concerning document should be mailed:	registrations involved:
Name: David W. Ichel, Esq.	7. Total fee (37 CFR 3.41): \$115.00
•	⊠ Enclosed €
Internal Address: Simpson Thacher & Bartlett	☐ Authorized to be charged to deposit account
	8. Deposit account number:
Street Address: 425 Lexington Avenue	
	(Attached duplicate copy of this page if paying by deposit account)
City: New York State: New York ZIP: 10017	120 Exp. Fee
	SE THIS SPACE
	SE THIS STACE
<ol> <li>Statement and signature.</li> <li>To the best of my knowledge and belief, the foregoing information is t</li> </ol>	rue and correct and any attached copy is a true copy of the original
document.	11 9/10/
David W. Ichel, Esq.	Signature 9/18/00 Date
Name of Person Signing // 19/2000 DNGUYEN 00000105 2031540	
FC. 481 40 00 00	Total number of pages comprising cover sheet: 6

02 FC:482 03 FC:484



JOHN A. CLABKE, CLERK
BY P. SOLIS, DEPUTY

## SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE COUNTY OF LOS ANGELES

THE SEAGRAM COMPANY LTD. and JDC S.A. de C.V.,
Plaintiffs, )
v. )
ST. MAARTEN SPIRITS, LTD., ST. MAARTEN SPIRITS LIMITED, and DOES 1 through 10, inclusive,
Defendants. )
ST. MAARTEN SPIRITS, LTD., et al.,
Cross-Complainants,
v. )
JOSEPH E. SEAGRAM & SONS, INC., et al.,
Cross-Defendants.

## CASE NO. BC191681

Assigned for all purposes to the Hon. Paul Boland Dept. 36

JUDGMENT AFTER TRIAL BY COURT

TRADEMARK REEL: 002114 FRAME: 0357

WHEREAS, Plaintiffs and Cross-Defendants Joseph E. Seagram & Sons, Inc. and JDC S.A. de C.V. filed a complaint in this action on May 27. 1998, and, along with Plaintiff and Cross-Defendant The Seagram Company Ltd., filed an Amended Complaint on June 22, 1998;

WHEREAS, Defendants and Cross-Complainants St. Maarten Spirits, Ltd. and St. Maarten Spirits Limited filed a Cross-Complaint on July 22, 1998, and an Amended Cross-Complaint on July 16, 1999;

WHEREAS, the Court on August 6, 1999 granted summary adjudication in favor of Plaintiff and Cross-Defendant The Seagram Company Ltd. and against Defendants and Cross-Complainants St. Maarten Spirits, Ltd. And St. Maarten Spirits Limited on the Fifth Cause of Action in the Amended Complaint in the amount of \$1,000,000;

WHEREAS, the Court on August 6, 1999 granted summary adjudication in favor of Plaintiff and Cross-Defendant Joseph E. Seagram & Sons, Inc. and against Defendants and Cross-Complainants St. Maarten Spirits, Ltd. and St. Maarten Spirits Limited with respect to certain contractual obligations claimed in the First Cause of Action in the Amended Complaint, and certain other issues regarding the amounts owed by St. Maarten under Seagram's First and Second Causes of Action were referred to an accounting reference, as more fully reflected in the August 6, 1999 Ruling, the August 11, 1999 Order Re: Accounting Reference and the August 13, 1999 Clarification of the August 11, 1999 Order, which are incorporated by reference herein;

WHEREAS, the accounting Referee issued a report and recommendation dated September 7, 1999;

WHEREAS, the above-entitled cause came on regularly for trial on September 7, 1999, in Department 36 of the above-entitled Court, the Honorable Paul Boland, Judge, presiding. Plaintiffs and Cross-Defendants Joseph E. Seagram & Sons, Inc., The Seagram Company Ltd., and JDC S.A. de C.V. appearing by their counsel Simpson Thacher & Bartlett. Defendants and Cross-Complainants St. Maarten Spirits, Ltd. and St. Maarten Spirits Limited appearing by their counsel Christensen, Miller, Fink, Jacobs, Glaser, Weil & Shapiro, LLP;

WHEREAS, the parties having waived a jury, Plaintiff and Cross-Defendant Joseph E. Seagram & Sons, Inc., having previously dismissed without prejudice the Third, Fourth and

Seventh Causes of Action of its First Amended Complaint and having withdrawn the Sixth Cause of Action of its First Amended Complaint; Defendants and Cross-Complainants St. Maarten Spirits, Ltd. and St. Maarten Spirits Limited having previously dismissed without prejudice the Fourth Cause of Action of their First Amended Cross-Complaint, evidence, both oral and documentary, having been presented by the parties, and the cause having been argued and submitted for decision by the Court, and the Court's Statement of Decision having been filed on August 4, 2000;

## IT IS ORDERED, ADJUDGED AND DECREED, as follows:

1. Plaintiff and Cross-Defendant Joseph E. Seagram & Sons, Inc. shall recover judgment against Defendants and Cross-Complainants St. Maarten Spirits, Ltd. and St. Maarten Spirits Limited, jointly and severally, on the First and Second Causes of Action in the Amended Cross-Complaint in the principal amount of \$25,189,606;

plus interest at a rate of nine percent (9%) per annum on the sum of \$6,844,980, at the daily rate of \$1,687.80, running from May 23, 1998, until the date of entry of judgement on September 13, 2000, in the sum of \$1,422,817.80;

plus interest at a rate of nine percent (9%) per annum on the sum of \$25,167, at the daily rate of \$6.21, running from June 2, 1999, until the date of entry of judgment on September 13, 2000, in the sum of 2,904.66;

plus interest at a rate of nine percent (9%) per annum on the sum of \$18,319,459, at the daily rate of \$4,517.13, running from September 7, 1999, until the date of entry of judgment on September 13, 2000, in the sum of \$1,675,854.09;

for a total sum of \$28,291,182.55 plus interest thereon at a rate of ten percent (10%) per annum from the date of entry of this Judgment on September 13, 2000 until paid.

2. Plaintiff The Seagram Company Ltd. shall recover judgment against Defendants and Cross-Complainants St. Maarten Spirits, Ltd. and St. Maarten Spirits Limited, jointly and severally, on the Fifth Cause of Action in the Amended Complaint in the principal amount of \$1,000,000 plus interest at a rate of nine percent (9%) per annum, at the daily rate of \$246.58, running from May 23, 1998, until the date of entry of judgment on September 13, 2000 in the sum of \$207,863.54, for a total sum of \$1,207,863.54 plus interest thereon at a rate of ten percent (10%)

per annum from the date of entry of this Judgment on September 13, 2000 until paid.

- Judgment against Defendants and Cross-Complainants St. Maarten Spirits, Ltd. And St. Maarten Spirits Limited, jointly and severally, on the Tenth Cause of Action in the Amended Complaint, in the principal amount of \$50,597.26, which is interest at the rate of nine percent (9%) per annum on \$150,000 running from August 24, 1994 to May 23, 1998, plus interest thereon at a rate of ten percent (10%) per annum from the date of entry of this Judgment on September 13, 2000 until paid.
- 4. Plaintiff and Cross-Defendant Joseph E. Seagram & Sons, Inc. shall recover judgment against Defendants and Cross-Complainants St. Maarten Spirits, Ltd. and St. Maarten Spirits Limited, jointly and severally, on the Eleventh Cause of Action in the Amended Complaint in the principal amount of \$326,153 plus interest thereon at a rate of ten percent (10%) per annum from the date of entry of this Judgment on September 13, 2000 until paid.
- 5. Except as provided herein, the Court denies and dismisses all other claims and causes of action in the Amended Complaint and the Amended Cross-Complaint, and the parties shall take nothing under said claims and causes of action.
- 6. As prevailing parties, Plaintiffs and Cross-Defendants Joseph E. Seagram & Sons, Inc. and The Seagram Company Ltd. shall recover from Defendants and Cross-Complainants St. Maarten Spirits, Ltd. And St. Maarten Spirits Limited, jointly and severally, costs in the total amount of \$\_\_\_\_\_\_\_ As prevailing party against JDC S.A. de C.V., Defendants and Cross-Complainants St. Maarten Spirits, Ltd. and St. Maarten Spirits Limited shall recover from JDC S.A. de C.V. costs in the total amount of \$\_\_\_\_\_\_\_ Plaintiff Joseph E. Seagram & Sons, Inc. shall recover from Defendants and Cross-Complainants St. Maarten Spirits, Ltd. and St. Maarten Spirits Limited, jointly and severally, reasonable attorneys' fees of \$\_\_\_\_\_\_ and accountants' fees of \$\_\_\_\_\_\_ as provided in Section 25(*l*) of the Amended and Restated U.S. Distribution Agreement, dated October 27, 1995.

Dated: September 13, 2000

Marengolann

Hon. Paul Boland
Judge of the Superior Court of California
for the County of Los Angeles

THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED IS A FULL, TRUE AND CORRECT COPY OF THE ORIGINAL ON FILE AND COPY OF THE O

ATTEST	3EP 1 4 2000	
	County Clerk and Clerk of the Superior Court of California, County of Los Ansales,	
er	C NO A DEPUT	Y



- 5 -

TRADEMARK REEL: 002114 FRAME: 0361