FORM PTO-1618A Expires 06/20/99 OMB 065/-0027 IIII 2 8 2000

08-08-2000

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TO: The Commissioner of Patents and Trademarks:	ON FORM COVER SHEET						
TRADE	MARKS ONLY						
TO: The Commissioner of Patents and Trademarks: Submission Type	Please record the attached original document(s) or copy(ies).  Conveyance Type						
New	Assignment License						
Resubmission (Non-Recordation)	Security Agreement Nunc Pro Tunc Assignment						
Document ID #	Effective Date Month Day Year						
Reel # Frame #	Change of Name						
Corrective Document Reel # Frame #	X Other Release of Security Interest						
Conveying Party	Mark if additional names of conveying parties attached Execution Date						
Name Union Bank of California	Month Day Year 07/05/2000						
Formerly							
Individual General Partnership	Limited Partnership X Corporation Association						
Other							
Citizenship/State of Incorporation/Organiza	tion						
Receiving Party	Mark if additional names of receiving parties attached						
Name Axiohm Transaction Solutions, Inc.							
DBA/AKA/TA							
Composed of							
Address(line 1) 950 Dangy Road							
Address (line 2)							
Address (line 3) Ithaca	New York 14850						
Individual General Partnership	State/Country  Zip Code  Limited Partnership  If document to be recorded is an assignment and the receiving party is						
X Corporation Association	not domiciled in the United States, an appointment of a domestic representative should be attached.						
Other	(Designation must be a separate document from Assignment.)						
X Citizenship/State of Incorporation/Organiza	ation Delaware						
FOF	R OFFICE USE ONLY						

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover should be added to the control of t

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**TRADEMARK** 

**REEL: 002116 FRAME: 0302** 

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U.S. Department of Commerce Patent and Trademark Office

TRADEMARK

FORM	PTO-1618E
Expires 06	/30/99
OMP AGE 1	.0027

#### Page 2

U.S. Department of Commerce
Patent and Trademark Office
TRADFMARK

Expires 06/30/99 OMB 0651-0027	. ugo 2	TRADEMARK
Domestic Representative	e Name and Address Enter for the first	Receiving Party only.
Name John G. Bi	sbikis	
Address(line 1) McDermott,	Will & Emery	
Address (line 2) 227 West M	onroe	
Address(line 3) Chicago, I	11inois 60606	
Address (line 4)		
Correspondent Name an	d Address Area Code and Telephone Number	(312) 372-2000
Name John G. Bi	sbikis	
Address(line 1) McDermott,	Will & Emery	
Address (line 2) 227 West M	lonroe	
Address(fine 3) Chicago, 1	[11inois 60606	
Address (line 4)		
Pages Enter the total including any	number of pages of the attached conveyance attachments.	document # 43
Trademark Application N	lumber(s) or Registration Number(s) ation Number or the Registration Number (DO NOT ENTER	Mark if additional numbers attached  BOTH numbers for the same property).  gistration Number(s)
Number of Properties	Enter the total number of properties involved.	#1
1007	Fee Amount for Properties Listed (37 CFR 3.4	Ψ 40.00
Method of Payment:  Deposit Account  (Enter for payment by deposit	account or if additional fees can be charged to the account Deposit Account Number:	#[13-0206
Statement and Signature	Authorization to charge additional fee	es: Yes X No
Statement and Signature To the best of my kne attached copy is a tre indicated herein.  John G. Bisbikis	owledge and belief, the foregoing information is true ue copy of the original document. Charges to depos	sit account are authorized, as
Name of Person Sign		Date Signed



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機能	
190€	<b>▼</b> 6936
To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original documents or copy thereof.
1 Name of conveying partities). MAD 3-11-98	2. Name and address of receiving party(ies):
ANIOHM TRANSACTION SOLUTIONS, INC. (CA Corporation)	Name: Union Bank of California, N.A., as successor Administrative Agent
	Internal Address:
Additional name(s) of conveying particles) attached? Def Yes D No	
3. Nature of conveyance:	Street Address: 530 B Street, 4th Floor
D Assignment D Merger	
D. Security Agreement	City: San Diego State: California ZIP: 92101
THE Other Global Amendment and Assignment and Acceptance	Additional name(s) & address attached to Violen No
4 xecution Date: October 20, 1997	GUANG TW
2 (Assessment ingrittens) or patents partitions.	/ THUCKKKK
If this document is being filed together with a new application, the	ne execution date of the applications
A Patent Application No.(s)	B. Patent No(s)
ver Schedule 6 to Secur ty Acreement	see Schedule 6 to Security Agreement
Additional numbers at	ached? □√ Yok □ No
5 Name and address of party to whom correspondence concerning document should be matted.	6 Total number of applications and patents involved
Namic Raymond M. Maiello, Esq.	
internal Address. <u>Simpson Thacher &amp; Bartlett</u>	7. Total fee (37 CFR 3.41): S1.520  D▼ Unclosed
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Name of Person Signing	Total number of pages comprising cover sheet:

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Washington, D.C. 2023)

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1 2023; PATENT

RITHE 9052 FRAME: 0614

# CONTINUATION OF ITEM ONE FROM RECORDATION COVER SHEET

# 1. Name of conveying party(ies):

AXIOHM S.A. (French Corporation)

DARDEL TECHNOLOGIES, S.A. (French Corporation)

AXIOHM INVESTISSEMENTS (French Corporation)

AXIOHM IPB, INC. (DE Corporation)

STADIA COLORADO CORP. (CO Corporation)

PATENT REEL: 9052 FRAME: 0645

# Release of Security Interest in Intellectual Property

This Release of Security Interest in Intellectual Property (this "Release"), dated as of July 5, 2000, is made by and among Axiohm Transaction Solutions, Inc., a Delaware corporation ("Company"), successor in interest to Axiohm Transaction Solutions, Inc., a California corporation ("Axiohm") and Union Bank of California, N.A. ("Union Bank").

#### WITNESSETH:

WHEREAS, Axiohm and Union Bank are parties to that certain \$85,000,000 Credit Agreement (the "Credit Agreement") among Axiohm, as Borrower, the several lenders from time to time parties thereto, Lehman Brothers, Inc., as Arranger ("Lehman Bros."), Lehman Commercial Paper, Inc., as Syndication Agent and Administration Agent ("Lehman C.P."), dated as of October 2, 1997 (terms defined in the Credit Agreement being used herein as defined therein), the Guarantee and Collateral Agreement made by Axiohm and certain of its Subsidiaries in favor of Lehman C.P. as Administrative Agent, dated as of October 2, 1997 (the "Collateral Agreement"), and the Global Amendment and Assignment and Acceptance, dated as of October 20, 1997 among (i) Axiohm, the several lenders and other financial institutions party thereto, (ii) Union Bank as successor administrative agent, (iii) Lehman Bros. as Arranger, and (iv) Lehman C.P. as resigning administrative agent and as Syndication Agent and as a Lender (the "Global Amendment").

WHEREAS, pursuant to the Credit Agreement, the Collateral Agreement and the Global Amendment, Union Bank has a security interest in substantially all of the Intellectual Property (the "Intellectual Property"), as listed on <u>Schedule 1</u>, to secure Liabilities under the Credit Agreement;

WHEREAS, on November 8, 1999 (the "Petition Date"), Axiohm and certain of its subsidiaries (collectively, the "Debtors") filed voluntary petitions under Section 301 of the Bankruptcy Code with the Federal Bankruptcy Court of the District of Delaware initiating their Chapter 11 cases;

WHEREAS, the Debtors' first amended plan of reorganization dated February 10, 2000 (the Plan of Reorganization") has been confirmed pursuant to Section 1129 of the Bankruptcy Code;

WHEREAS, Axiohm merged into the Company as of May 31, 2000;

WHEREAS, the Company entered into a new \$85,357,966 Credit Agreement among the Company, the several lenders from time to time parties thereto, Lehman Bros., as Arranger, and Lehman C.P., as Syndication Agent, as of May 31, 2000 (the "New Credit Agreement");

WHEREAS, Lehman C.P. has assumed the role of administrative agent under the New Credit Agreement; and

CHI99 3499942-3.054937.0117

WHEREAS, in accordance with the Plan of Reorganization and in order to implement the New Credit Agreement, the Company has requested that Union Bank agree to release its lien on the Intellectual Property; and

WHEREAS, Union Bank is willing to release its lien on the Intellectual Property.

NOW, THEREFORE, in consideration of the premises, the mutual covenants herein contained and other good and valuable consideration (the receipt, adequacy and sufficiency of which is hereby acknowledged), the parties hereto, intending legally to be bound, hereby agree as follows:

## I. RELEASE

1.1. Release. Union Bank (i) hereby assigns, transfers, and releases any and all liens and security interests, that were granted to Union Bank pursuant to (a) the Global Amendment (b) the Credit Agreement and (c) the Collateral Agreement, in all of the Intellectual Property now owned or at any time hereafter acquired by the Company, Dardel Technologies, S.A., Axiohm-Investissements, Axiohm IPB, Inc., Stadia Colorado Corp., or Cognitive Solutions, Inc. or in which any such entity now has or at any time in the future may acquire any right, title or interest, and; (ii) acknowledges that Union Bank has no further interest in the Intellectual Property.

### II. GENERAL

- 2.1 Purpose. The foregoing release is a general release.
- 2.2 <u>Governing Law</u>. This Release shall be a contract made under and governed by the internal laws of the State of Delaware, without giving effect to principles of conflicts of laws.
- 2.3 <u>Successors and Assigns</u>. This Release shall be binding upon and inure to the benefit of the parties hereto and their respective successors and assigns. No third party beneficiaries are intended in connection with this Release.
- 2.4 <u>Counterparts</u>. This Release may be executed in any number of counterparts, each of which shall be deemed an original, but all such counterparts together shall constitute but one and the same instrument.
- 2.5 Entire Agreement. This Release contains the entire agreement of the parties hereto with reference to the matters discussed herein. This Release supersedes all prior drafts and communications with respect thereto.

СНІ99 3499942-3.054937.0117

IN WITNESS WHEREOF, the undersigned have executed this Release as of

СНІ99 3499942-3.054937.0117

IN WITNESS WHEREOF, the undersigned have executed this Release as of 5, 2000.

# UNION BANK OF CALIFORNIA, N.A.

By:		 	_
Name:	 	 	
Title:			

AXIOHM TRANSACTION SOLUTIONS, INC.

By: John W. Conty

Its: VP + Corporate Controller

CHI99 3499942-3.054937.0117

# SCHEDULE A INTELLECTUAL PROPERTY

Please see attached.

СНІ99 3499942-3.054937.0117

#### Schedule 6

# Copyrights and Copyright Licenses Patent and Patent Licenses Trademarks and Trademark Licenses

- 1. See Attachment 6(a) for information regarding the registration of the Axiohm trademark in the United States.
- 2. See Attachment 6(b) for information regarding certain foreign Intellectual Property held by the Borrower and its Subsidiaries.
- 3. See Attachment 6(c) for information regarding US Intellectual Property held by the Borrower and its Subsidiaries.
- 4. See Attachment 6(d) for a listing of certain additional patents and patent applications held by the Borrower and its Subsidiaries.
- 5. Axiohm-IPB is a party to a license agreement with Gilbarco, Inc., dated May 8, 1996, pursuant to which Gilbarco has granted Axiohm-IPB a license with respect to a patent owned by Gilbarco.
- 6. On June 17, 1997, Axiohm, S.A. filed a complaint in the Central District of California alleging infringement of Axiohm S.A.'s U.S. Patent No. 5,579,043 by Seiko Epson Corporation and Epson America Inc. The complaint has not been formally served upon Seiko Epson or Seiko America. Settlement discussions have taken place and in that context Seiko Epson has alleged validity defenses to the complaint and potential counterclaims based on their own patent portfolio.
- 7. In August 1992, the Borrower filed a complaint in the Northern District of California alleging infringement of the Borrower's U.S. Patent No. 5, 115,493 by Synergystex International, Inc. ("Synergystex") and seeking injunctive relief and unspecified damages. Synergystex subsequently asserted a counterclaim against the Borrower alleging that U.S. Patent No. 5,115,493 is invalid, unenforceable and not infringed. In August 1996, the District Court granted a Motion for Summary Judgment, finding on its own motion that the Borrower's patent was invalid for improper payment of a small entity fee and entered a judgment dismissing the Borrower's complaint. In November 1996, the Borrower appealed the District Court's judgment to the Federal Circuit Court of Appeals. The Circuit Court has stayed the appeal.

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PATENT REEL: 9052 FRAME: 0646

#### Trademark

1 - AXIOHM trademark seems not to be registered in USA.

In 1992 AXIOHM has applied for registration and is now in competition with three compagnies.

- Via Systems Incorporated - 665 south Pointe court - suite 100 - Colorado Springs - COLORADO - 80906 - which is the owner of United States Trademark Registration 1, 811, 458 issued December 14, 1993 for the mark AXIOM covering goods described as a « computer software and instruction manuals sold as a unit, for use in developing the graphical user interface position of software developed only for use in a PICK or PICK LIKE database environment » in international class 9 and claiming first use and first interstate use to March 1992.

AXIOHM and Via Systems have signed an agreement stated that Via shall prepare and execute a Consent to Register document for filling in the United States Patent and Trademark Office (PTO) and setting forth Via's reason why the mark AXIOHM in United is not considered by Via to be confusingly similar to Via's mark AXIOM in order to encourage the PTO to remove the Via registration as a reference against AXIOHM's application.

It is understood that AXIOHM at the same time does not accept Via's contention that the Via mark AXIOM is not confusingly similar to the mark AXIOHM.

If AXIOHM's application issues as a United States trademark registration, Via shall not file any cancellation action against such registration.

In the event the PTO rejects the consent to register document AXIOHM, at its sole discretion, may discontinue and resume the cancellation action.

It is agreed that AXIOHM shall have the unconditional right to remove the suspension on its own motion and for any reason reopen the cancellation action at any time.

- Numerical Algorithms Group limited Winkinson House Jordan Hill Road Oxford United Kingdom which is the owner of the trademark AXIOM 74, 230, 293, filed on December 13, 1991.
- Lightning Development Corporation 2800 West Bayshore Paolo Alto CALIFORNIA 93303 which is the owner of the trademark AXIOM 74, 262, 725, filed on April 6, 1992.

AXIOHM shall be commencing opposition or cancellation actions against both of the two additional pending trademark applications.

In any case, AXIOHM can still use its AXIOHM trademark on the basis of a first use on 21 July 1988.

2 - AXIOHM has filed a notice of opposition against AXIOM Technology Incorporation - 13791 Roswell Avenue, suite D, Chino - CALIFORNIA 91710.

PATENT REEL: 9052 FRAME: 0647 Lie du Course de cession de marques du 11 Hars 1996 signé eure DT et AX enregiaré dus impôts le 13 Arril 1998 Interpretation de la cession à l'IMPT en date du 10 Hai 1998 saus le n°201016 Franchiston partielle le 1 octobre 1896 n° 207-017

# MARQUES AXIOHM

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# MARQUE "AXIOHM"

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"Suite à la cession de la marque AXIOHM de Dardel Technologie à Aulohm le 11 hiert 1996 qui equi d'aux impost le 13 Avril 1996. Enrequirement de la cession à l'INPI en due du 10 mai 1996 mus le n° 201016.

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PATENT REEL: 9052 FRAME: **0649** 

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ROBLET S. SALIMAN

MARK LEVY ----

DAVID L BANGER

OF COUNTY

MARTIN D. WITTETTIN

CLORGE GRAYEON

RISTO A RINNE IL

JUL 28 2000

Salzman

THE BULLDING SUM GOT 19 Chamaco Stell. BINDHAMPON NEW YORK 11901

PHONE (607) 722-6600

FAR (607) 724-2207

AXIOHN INTELLECTUAL PROPERTY STATUS May 27, 1997

INVENTOR(S)

STATUS

Copyrights

Printer Fonts

David Werner

Filed on 6-21-97; pending.

Trademarks

Clemshell

Tiled on 6-21-96; pending.

1-2-3 Paper Loading

Filed on 6-21-96; pending.

It's Axiobmatic

Filed on 6-24-96; pending.

Priente

AXI-101 Method and Apparatus Improving Readhead Performance

John Mitchell

Piled on 1-31-96; allow

AXI-107 Cutting Mechanism for Alan H. Walker

riled on 5-23-96; pendi

Receipt Printer

AXI-108 Automatic Journal Loading Assembly

Barry E. Passer Michael Smith Robert Delaney

Filed on 3-18-96. Issue 3-25-97. Patent 5,613,70

AXI-108C Automatic Journal

Barry E. Passer

5,613,787

Loading Assembly

Michael Smith Robert Delaney Filed on 8-28-96; pendi:

AXI-109 Apparatus for Receipt Barry E. Passer Printing Maving Shared thusy With Check Validation

Filed on 1-31-96; allow

TRADEMARES

PATEMTE

CUPYRIGHTS

PATENT REIEL: 9052 FRAMIE: 0651

AXI-110 Bs'll-Actuated Microsvitch	Michael J. Smith	Filed on 8-29-96; pan;
AXI-111 Low-Paper Sensing Apparatus	Michael John Smith Kathleen Maginnity	Filed on 7-5-961 pendi
AXI-115 Flexible, Detented Axle	Scott Longrod	riled on J-10-97; pend
AXI-201 A Thermal Printer Designed to be Associated with a Terminal for a Data Processing Installation	Bernard Patry	Filed on 2-10-97; pend
AXI-251 Temperature Sensing of Heater Points in Thermal Print Bonds by Resistive Layer Beneath The Heating Points	Claude Morelle Bernard Houchet	riled on 5-20-91. Issue 3-23-93. Patent 5,196,: 5,196,865
AXI-252 Device For Registering the Operations of a Thormal Transfer Printer	Bernard Patry	Filed on 6-16-93. Issued 10-11-94. Patent 5,354,1 5,354,134
AXI-253 Thermal Print Head With Regulation of The Arount of Energy applied to its Heating Points	Bernard Patry	Piled on 6-2 93. Issued 8-29-95. Patent 5,446,47 5,446,475
NOT-154 Device for Reading the Printing Done by a Transfe Thermal Printer	Bernard Patry	Filed on 11-23-94. Issue 11-28-95. Patent 5,471,2 5,471,235
AXI-256 An Openable Thermal Printer	Bernard Patry ·	Filed 10/31/94. Issued 11-26-96. Patent 5,579,0. 5,574,04
AXI-5794 Check-Reading Machine	James DelSignore Andrev B. Hye John G. Kitchell Kathleen Haginnity	responsibility
AXI-6691 Self-Adjusting Sensin System for Financial and Retail Printers	g James DelSignore l Andrew B. Nye	Filed 10-25-95; pending? ATET has responsibility
AXI-6692 Security System for unattended Printing Mechanism	James DelSignore Andrew B. Nye Hillis L. Wilson	Pending? ATIT has responsibility

PATENT REEL: 9052 FRAME: 0652

#### Attachment 6(d)

#### Patents and Patent Applications

US 1 US Patent No. 4,230,038 issued 10/28/80 for MATRIX PRINT HEAD ASSEMBLY Status: Expires 10/28/97

Corresponding Foreign Patents and/or Applications:

F Pat I French Patent No. 78 18874 issued 12/5/83 (Application

Serial No. 7,818,874 filed 6/23/78)

Status: Expires 6/23/98. Annual Taxes Due 6/30

F Pat 2 West German Patent No. 28277396 issued 9/25/86

(Application No. P 2827739.6 filed 6/22/78)

Status: Expires 6/23/98 - Annual Taxes Due 6/23.

F Pat 3 Great Britain Patent No. 2000084 granted 1/13/82

Status: Expires 6/15/98. Annual Taxes Due 6/15

F Pat 4 Italian Patent No. 1105421 granted 11/4/85 (Application No.

49998A/78 filed 6/22/78).

Status: Expires 6/22/98. Annual Taxes Due 6/22

F Pat 5 Japanese Patent No. 1213048 issued 6/27/84 (Application No.

76314/78 filed 5/23/78)

Status: Expires 6/23/98. Annual Taxes Due 10/8

US 2 US Patent No. 4,230,412 issued 10/29/80 for

MATRIX PRINT HEAD ASSEMBLY

Status: Expires 3/17/98

US Patent No. 4,185,929 issued 1/29/80 for WIRE MATRIX PRINT HEAD ASSEMBLY

Status Expires 3/10/98

Corresponding Foreign Patents and/or Applications to US 2 and US 3:

French Patent No. 7906292 issued 8/3/97 (Application No.

7906292 filed 3/12/79)
Status: Abandoned 1/8/93

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PATENT REEL: 9052 FRAME: 0653

F Pat 7 West German Patent No. P 29095521 issued 8/13/87 (Application No. P 2909552.1 filed 3/10/79)
Status: Abandoned 1/8/93

F Pat 7A West German Divisional Patent No. P29541411 issued 8/6/87 (Application No. P 2954141.1 filed 3/10/79)
Status: Abandoned 1/8/93

F Pat 8 Italian Patent No. 1115083 granted 2/3/86 (Application No. 48312A/79 filed 3/12/79)
Status: Abandoned 1/8/93

F Pat 9 Japanese Patent No. 186652 issued 8/26/94 (Application No. 0500559/79 filed 3/9/79.

Status: Expires 3/9/99

F Pat 9(a) Japanese Divisional Application No. 269660/90 filed 3/9/79 Status: Abandoned 8/24/92

F Pat 10 United Kingdom Patent No. 2,015,931 granted 9/8/92. Status: Abandoned 1/8/93

F Pat 10A United Kingdom Divisional Patent No. 2,075,427 granted 9/29/82.
Status: Abandoned 1/8/93

US 4 US Patent No. 4,478,528 issued 10/23/84 (Serial No. 078,289 filed 9/24/79) for WIRE MATRIX PRINT HEAD ASSEMBLY

Status: Expires 10/23/01

Corresponding Foreign Patents an d'or Applications:

F Pat 1 1. 1 France
F Pat II. 2 Italy
F Pat 11.3 West Germany Pat. 3072061.7
F Pat 11.4 United Kingdom
Abandoned May, 1994

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PATENT REEL: 9052 FRAME: 0654

- F Pat II (a) EPO Patent Being Opposed by Mannesmann Opposition File Opposition Settled/Dropped February, 1990
- F Pat 12 PCT (Designating Japan) Patent No. 1593426 issued 12/14/90 (Application No. 502518/90 filed 9/19/90)
  Status: Expires 9/19/00
- US 5 US Patent Application Serial No. 592,400 filed 3/22/84 for HIGH SPEED WIRE PRINT HEAD WITH WIRE PRINT POSITION SHIFT APPARATUS (Ruby Shifter) of Donald G. Hebert. (See Falk US 5(a)). ABANDONED 2/2/86
- CIP Patent No. 4,640,633 issued 2/3/97 (Application Serial No. 710,633 filed 3/15/85 for HIGH SPEED WIRE PRINT HEAD WITH WIRE PRINT POSITION SHIFT APPARATUS of Donald G. Hebert

#### Corresponding Foreign Patent:

- F Pat 13 Canadian Patent No. 1,235,946 issued 5/3/88 (Application No. 477156 filed 3/21/85)
  Status: Expires 5/3/05
- F Pat 14 Taiwan Patent No. UM-40253 issued 8/6/88 (Application No. 74101252 filed 3/26/85) (Converted to Utility Model SN 76-206585)
  Status Expires 3/26/97
- F Pat 15 PC1 (Designating Australia, EPO & Japan)
  Application No. PCTIUS85/00466] filed 3/19/85
  Status: Completed 11/4/85
- F Pat 15.1 Australia Patent No. 583819 issued 9/l/89 (designated country of PCT) (Application No. 41132/85 filed 3/19/95)
  Status: Expires 3/19/01
- F Pat 15.2 EPO (designated country of PCT) Patent No. 175763 issued 10/10/90 (Application No. 85901793.1 filed 3/19/85) Status. Expires 3/19/05

## Completion of EPO Application in following designated countries:

- F Pat 15.2.1 Austria EPO 175763
- F Pat 15.2.2 Belgium EPO 175763
- F Pat 15.2.3 France EPO 175763
- \* F. Pat. 15.2.4 Germany 3580082.8-08
- Flat 15.2.5 Netherlands EPO 175763

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PATENT REEL: 9052 FRAME: 0655

### Attachment 6(d)

1	Patents	and	Patent	<b>Applications</b>

US 1 US Patent No. 4,230,038 issued 10/28/80 for

MATRIX PRINT HEAD ASSEMBLY

Status: Expires 10/28/97

Corresponding Foreign Patents and/or Applications:

F Pat I French Patent No. 78 18874 issued 12/5/83 (Application

Serial No. 7,818,874 filed 6/23/78)

Status: Expires 6/23/98. Annual Taxes Due 6/30

F Pat 2 West German Patent No. 28277396 issued 9/25/86

(Application No. P 2827739.6 filed 6/22/78)

Status: Expires 6/23/98 - Annual Taxes Due 6/23.

F Pat 3 Great Britain Patent No. 2000084 granted 1/13/82

Status: Expires 6/15/98. Annual Taxes Due 6/15

F Pat 4 Italian Patent No. 1105421 granted 11/4/85 (Application No.

49998A/78 filed 6/22/78).

Status: Expires 6/22/98. Annual Taxes Due 6/22

F Pat 5 Japanese Patent No. 1213048 issued 6/27/84 (Application No.

76314 78 filed 6/23/78)

Status: Expires 6/23/98. Annual Taxes Due 10/8

US Patent No. 4,230,412 issued 10/29/80 for

MATRIX PRINT HEAD ASSEMBLY

Status: Expires 3/17/98

US 3 US Patent No. 4.185.929 issued 1/29/80 for

WIRE MATRIX PRINT HEAD ASSEMBLY

Status: Expires 3/10/98

Corresponding Foreign Patents and/or Applications to US 2 and US 3:

F Pat 6 French Patent No. 7906292 issued 8/3/97 (Application No.

7906292 filed 3/12/79) Status: Abandoned 1/8/93

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PATENT REEL: 9052 FRAME: 0656

Γ Pat 7 West German Patent No. P 29095521 issued 8/13/87 (Application No. P 2909552.1 filed 3/10/79)

Status: Abandoned 1/8/93

F Pat 7A West German Divisional Patent No. P29541411 issued 8/6/87

(Application No. P 2954141.1 filed 3/10/79)

Status: Abandoned 1/8/93

F Pat 8 Italian Patent No. 1115083 granted 2/3/86 (Application No.

48312A/79 filed 3/12/79). Status: Abandoned 1/8/93

F Pat 9 Japanese Patent No. 186652 issued 8/26/94 (Application No.

0500559/79 filed 3/9/79. Status: Expires 3/9/99

F Pat 9(a) Japanese Divisional Application No. 269660/90 filed 3/9/79

Status: Abandoned 8/24/92

F Pat 10 United Kingdom Patent No. 2,015,931 granted 9/8/92.

Status: Abandoned 1/8/93

F Pat 10A United Kingdom Divisional Patent No. 2,075,427 granted

9/29/82.

Status: Abandoned 1/8/93

US Patent No. 4,478.528 issued 10/23/84 (Serial No. 078,289 filed 9/24/79) for WIRE MATRIX PRINT HEAD ASSEMBLY

Status: Expires 10/23/01

Corresponding Foreign Patents an d'or Applications:

F Pat II EPO Patent No. 26623 issued 1/17/88 (Application No.

80303318.2 filed 9/22/80) Status: Completed 9/9/87

Expires 9/22/2000

F Pat 1 1.1 France

F Pat II. 2 rtaly

F Pat 11.5 West Germany Pat. 3072061.7

F Pat 11.4 United Kingdom

Abandoned May, 1994

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PATENT REEL: 9052 FRAME: 0657

F Pat II (a)	EPO Patent Being Opposed by Mannesmann - Opposition
	File Opposition Settled/Dropped February, 1990

F Pat 12 PCT (Designating Japan) Patent No. 1593426 issued 12/14/90 (Application No. 502518/90 filed 9/19/90)
Status: Expres 9/19/00

- US 8 US Patent Application Serial No. 592,400 filed 3/22/84 for HIGH SPEED WIRE PRINT HEAD WITH WIRE PRINT POSITION SHIET APPARATUS (Ruby Shifter) of Donald G. Hebert. (See Falk US 5(a)). ABANDONED 2/2/86
- US 5(a) CIP Patent No. 4.640,633 issued 2/3/97 (Application Serial No. 710,633 filed 3/15/85 for HIGH SPEED WIRE PRINT HEAD WITH WIRE PRINT POSITION SHIFT APPARATUS of Donald G. Hebert

#### Corresponding Foreign Patent:

- F Pat 13 Canadian Patent No. 1.235,946 issued 5/3/88 (Application No. 477156 filed 3/21/85)
  Status: Expires 5/3/05
- F Pat 14 Taiwan Patent No. UM-40253 issued 8/6/88 (Application No. 74101252 filed 3/26/85) (Converted to Utility Model SN 76-206585)

  Status: Expires 3/26/97
- F Pat 15 PCT (Designating Australia, EPO & Japan)
  Application No. PCTIUS85/00466] filed 3/19/85
  Status: Completed 11/4/85
- E Pat 15.1 Australia Patent No. 583819 issued 9/l/89 (designated country of PCT) (Application No. 41132/85 filed 3/19/95)
  Status: Expires 3/19/01
- F Pat 15.2 EPO (designated country of PCT) Patent No. 175763 issued 10/10/90 (Application No. 85901793.1 filed 3/19/85)
  Status: Expires 3/19/05

Completion of EPO Application in following designated countries:

- F Pat 15.2.1 Austria EPO 175763
- F Pat 15.2.2 Belgium EPO 175763
- F Pat 15.2.3 France EPO 175763
- F Pat 15.2.4 Germany 3580082.8-08
- F Pat 15.2.5 Netherlands EPO 175763

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PATENT REEL: 9052 FRAME: 0658

- F Pat 15.2.6 Switzerland EPO 175763.
- F Pat 15.2.7 Sweden EPO 175763
- F Pat 15.2.8 United Kingdom EPO 175763
- Expires 3/19/05
- F Pat 15.3 Japan (designated country of PCT) Patent No. 1886353 issued 11/22/94 (Application No. 501362/85 filed 3/19/95)
  Status: Expires 3/19/05
- US 6
  US Patent Application for
  WIRE SHIFT APPARATUS
  Filed as a CIP of US 5 See US 5(a).
- US 7 United States Patent No. 4,755,068 issued 7/5/89 for DOT MATRIX PRINT HEAD ASSEMBLY

Corresponding foreign patent applications as follows:

US 7 F Pat 16 - EPO (designating Belgium, France, Germany, Italy, Netherlands, Sweden, Switzerland & UK)

Application No. 88300729.6 filed 11/28/88
Patent No. 281240 issued 4/27/94 - Expires 1/29/08

- US 7 F Pat 16.1 France Patent No. 281240
- US 7 E-Pat 16.2 Germany Patent No. 3889244.8-08
- US 7 F Pat 16.3 Italy Patent No. 281240
- US 7 F Pat 16.4 United Kingdom Patent No. 281240
  - Expires 1.28/08
- US 7 F Pat 17 Japan

Application No. 17482/88 filed 1/29/99 Status: O/A received - response due 7/8/97

United States Patent No. 4,886,381 issued 12/12/89 (Serial No. 185, 390 filed 5/6/88) for (Model 3024 Print Head) DOT MATRIX PRINT HEAD ASSEMBLY

Corresponding foreign patent applications as follows: US 8 F Pat 18 - PCT (designating Australia, Brazil, EPO, Korea & Japan)

Application No. US89/01874 filed

Application No. US89/01874 filed Status: Completed 10/18/90

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PATENT REEL: 9052 FRAME: 0659

US 8 F Pat 18.1 Australia Patent No. 626,873 issued 1/12/93 (Application No. 35767/89 filed 5/2/89)

Status Expires 5/2/2005

US 8 F Pat 15.2.

Brazil Patent No. 8907403-3 issued 8/30/94 (Application No.

P18907403 filed 5/2/89)

Status: Expires 5/2/64 - Annual tax due 5/2

US 8 F Pat 18.3 EPO Patent No. 418268 issued 10/26/84 (Application No. 89905926.5 filed 5/2/89)

Status: Expires 5/2/09

EPO F Pat 18.3 completed in the following countries:

- US 8 F Pat 18.3.1 French Patent No. 418268
- US 8 F Pat 18.3.2 German Parent No. 68919083.2-08
- US 8 F Pat 18.3.3 U.K. Patent No. 418268
   Expires 5/2/09

US 16 F Pat 18 Japan Application No. 505957/89 filed 5/2/99 Status: Requested examination 11/21/95

- United States Patent Application. Serial No. 541,820 for CONTINUOUS LASER PRINTER CONTROLLER
- US 10 US Patent, No. 4,735,521 issued 1/6/88 for DRIVE APPARATUS FOR PRR41TR MECHANISM Status: Expires 1/6/08
- US Patent No. 4.8 10,110 issued 3/7/89 for FORM THICKNESS COMPENSATOR Status Expires 3/7/06

Corresponding Foreign Patents and/or Applications to US 21:

F Pat 16 Great Britain Patent No. 2210330 granted 1/6/88 Status: Expires 1/6/08

- 15 12 United States Patent Application filed 10/18/96 VICTOR PRINTER
- 1.8.13 US Patent No. 4,884,904 issued 12/05/89 for BAR CODE PRINTER Status Expires 12.05/06

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PATENT REEL: 9052 FRAME: 0660

US 14	US Patent No. 4,685,815 issued 8/11/87 for PRINTING APPARATUS Status: Expires 8/11/04	
US 15	United States Patent Application filed 10/4/96 for TRANSACTION PRINTER	
US 16	United States Patent Application filed 9/16/96 for TRANSACTION PRINTER	
US 17	US Patent No. 4,544,293 issued 10/I/85 for PRINTER APP & CUTTING MECHANISM Status: Expires 10/I/02	
US 18	United States Patent Application filed 2/23/95 for OPTICAL WELL COMMUNICATIONS PORT	
US 19	US Patent No. D308072 issued 5/22/90 for PRINTER MECHANISM (DESIGN) Status: Expires 5/22/04	
US 20	US Patent No. D347021 issued 5/17/94 for THERMAL TRANSFER PRINTER Status: Expires 5/17/07	
US 21	US Patent No. 5,092,688 issued 3/3/92 for PORTABLE BARCODE PRINTER Status Expires 3/3/09	
US 22	United States Patent Application filed 11/05/96 for CHEETAH PRINTER	a. <del>Sa</del>
US 23	United States Patent Application filed 5/9/97 for POWER REGULATION CIRCUITRY	e de la companya de La companya de la co
US 24	US Patent No. D308072 issued 5/22/90 for PRINTER (DESIGN)	
US 25	US Patent No. 4,848,943 issued 7/18/89 for METHOD AND APPARATUS FOR ENERGIZING	G PRINTHEAD (UTILITY)
US 26	US Patent No. 4,810,1 10 issued 3/7/89 for FORM THICKNESS COMPENSATOR WITH CU	TTER PRINTER MECHANISM

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PATENT REEL: 9052 FRAME: 0661

US 27	US Patent No. D273868 issued 5/15/84 for PAPER GUIDE FOR PRINTER TERMINAL
US 28	US Patent No. 5,554,840 issued 9/10/96 for HYBRID CARD READER
US 29	US Patent No. 5,491,327 issued 2/13/96 for UNIVERSAL MAGNETIC NEDRUM ENCODER WITH TILT-COMPENSATING APPARATUS
US 30	US Patent No. 5.331,003 issued 7/19/94 for HYBRID CARD READER
US 31	US Patent No. 5,311,003 issued 5/10/94 for HAND-OPERATED MAGNETIC STRIPE READER
US 32	US Patent No. 5,204,513 issued 4/20/93 for DECODER FOR MAGNETIC STRIPE RECORDING
138.33	US Patent No. 5.101.097 issued 3/31/92 for HAND SWIPE MAGNETIC STRIPE ENCODER
US 34	US Patent No. 4,742,213 issued 5/3/88 for CREDIT CARD READING APPARATUS WITH RELIABLE OPTICAL PATH INTERRUPT MEANS
US 35	US Patent No. 4,598,479 issued 7/8/86 for TIMING WHEEL FOR CARD ENCODER, MOUNTING FOR A SPATIAL MEASUREMENT WHEEL
US 36	US Patent No. 4.593.328 issued 6/3/86 for TIMING WHEEL FOR CARD ENCODER
US 37	US Patent No. 4,028,734 issued 6/6/77 for PLURAL MAGNETIC HEAD ASSEMBLY WITH INDEPENDENTLY SUPPORTING STRUCTURE
178-38	US Patent No. 5,092,688 issued 3/3/92 for FORTABLE BARCODE PRINTER
US 39	US Patent No. 4,884,904 issued 12/5/89 for BAR CODE PRINTER 2222

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PATENT REEL: 9052 FRAME: 0662

of October 20, 1997 (this "Global Amendment"), among (i) AXIOHM TRANSACTION SOLUTIONS, INC., a California corporation (the "Borrower"), (ii) the several lenders and other financial institutions listed on the signature pages of this Global Amendment (individually, a "Lender", and collectively, the "Lenders"); (iii) UNION BANK OF CALIFORNIA, N.A. as successor administrative agent (the "Administrative Agent"); (iv) LEHMAN BROTHERS INC., as Arranger, and (v) LEHMAN COMMERCIAL PAPER INC., as resigning administrative agent and as Syndication Agent and as a Lender ("LCPI").

#### WITNESSEIH

WHEREAS, the Borrower and LCPI are parties to the Credit Agreement (as amended, supplemented or otherwise modified prior to the date hereof, the "Credit Agreement") and the other Loan Documents thereunder, each dated as of October 2, 1997; and

WHEREAS, the parties wish to amend the Credit Agreement and the other Loan Documents to, among other things, add the Lenders as parties to the Credit Agreement, replace LCPL as administrative agent, with the Administrative Agent and to effectuate certain other changes requested by the Lenders, all as set forth in this Global Amendment:

NOW THEREFORE, in consideration of the premises, the parties hereto agree as follows:

#### SECTION 1 DEFINITIONS

CASE CONSTRUCTS ON VINE HISTORY

1.1 <u>Defined Terms</u>. Unless otherwise defined herein and except as set forth in this Global Amendment, terms defined in the Credit Agreement are used herein as therein defined.

#### SECTION 2. AMENDMENT OF CREDIT AGREEMENT.

21 Amendments As of the Effective Date (as defined in Section 5 below) the Credit Agreement is hereby amended to read in its entirety as set forth in Exhibit A hereto.

## SECTION 3. REPLACEMENT OF ADMINISTRATIVE AGENT.

3.1 Resignation and Appointment. LCPI hereby resigns as administrative agent under the Credit Agreement and the other Loan Documents and the Lenders hereby appoint Union Bank of California, N.A., as Administrative Agent under the Credit Agreement and the other Loan Documents and Union Bank of California N.A., hereby accepts such

PATENT REEL: 9052 FRAME: 0663

hereby assigns to Union Bank of California, N.A., as successor Administrative Agent, the security interests created in its favor pursuant to the Loan Documents, and all UCC Financing Statements filed to perfect such security interests. LCPI agrees that it will execute and deliver to Union Bank of California, N.A., as Administrative Agent, UCC-3 Assignments assigning to Union Bank of California, N.A., as Administrative Agent, all UCC Financing Statements heretofore filed in favor of LCPI in connection with (i) the Credit Agreement and (ii) the Credit Agreement, dated as of August 19, 1997, among Axiohm S.A., Axiohm IPB. Inc., AX Acquisition Corporation, the several lenders from time to time parties thereto and Lehman Commercial Paper Inc., as arranger, syndication agent and administrative agent. Such UCC Assignments will be prepared, executed and delivered to Union Bank of California, N.A. as promptly as practicable after the returning to LCPI of the original UCC Financing Statements from the filing offices. LCPI also agrees to deliver to Union Bank of California, N.A., as successor Administrative Agent, all stock certificates and instruments delivered to it as collateral under the Loan Documents.

#### SECTION 4. ADDITION OF LENDERS.

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- 4.1 Assignment. By its signature below each of the Lenders (other than LCPI to the is already a Lender) reach such other Lender, an "Assignee") shall become a Lender party to the Credit Agreement and the other Loan Documents with all rights, powers and obligations of a Lender thereunder and with Commitments as set forth on Schedule I attached mero. I CPI hereby irrevocably sells and assigns to each Assignee without recourse to LCPI, and each Assignee hereby irrevocably purchases and assumes from LCPI without recourse to LCPI, as of the Effective Date, the Commitments set forth opposite such Assignee's name on Schedule I and any Loans outstanding under such Commitments, effective upon receipt by LCPI in immediately available funds of the outstanding principal amount of such Loans.
- 4.2 Representations and Warranties of LCPI. LCPI (a) makes no representation or warranty and assumes no responsibility with respect to any statements, warranties or representations made in or in connection with the Credit Agreement or with respect to the execution, legality, validity, enforceability, genuineness, sufficiency or value of the Credit Agreement, any other Loan Document or any other instrument or document furnished pursuant thereto, other than that LCPI has not created any adverse claim upon the interest being assigned by it hereunder and that such interest is free and clear of any such adverse claim, and (b) makes no representation or warranty and assumes no responsibility

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with respect to the financial condition of the Borrower, any of its Subsidiaries or any other obligor or the performance or observance by the Borrower, any of its Subsidiaries or any other obligor of any of their respective obligations under the Credit Agreement or any other Loan Documen, or any other instrument or document furnished pursuant hereto or thereto.

- 4.3 Representations and Warranties of Assignees. Each Assignee (a) represents and warrants that it is legally authorized to enter into this Global Amendment; (b) confirms that it has received a copy of the Credit Agreement, together with copies of the financial statements referred to in Section 4.1 or delivered pursuant to Section 6.1 thereof and such other documents and information as it has deemed appropriate to make its own credit analysis and decision to enter into this Global Amendment; (c) agrees that it will. independently and without reliance upon LCPI, the Administrative Agent or any other Lender and based on such documents and information as it shall deem appropriate at the time, continue to make its own credit decisions in taking or not taking action under the Credit Agreement, the other Loan Documents or any other instrument or document furnished pursuant hereto or thereto; (d) appoints and authorizes the Administrative Agent to take such action as agent on its behalf and to exercise such powers and discretion under the Credit Agreement, the other Loan Documents or any other instrument or document furnished pursuant hereto or thereto as are delegated to the Administrative Agent by the terms thereof, together with such powers as are incidental thereto; and (c) agrees that it will be bound by the provisions of the Credit Agreement and will perform in accordance with its terms all the obligations which by the terms of the Credit Agreement are required to be performed by it as a Lender including, if it is organized under the laws of a jurisdiction outside the United States, its obligation pursuant to Section 2.18(d) of the Credit Agreement.
- 4.4 Payments. From and after the Effective Date, the Administrative Agent shall make all payments in respect of the Loans and Commitments assigned hereunder (including payments of principal, interest, fees and other amounts) to each Assignee whether such amounts have accrued prior to the Effective Date or accrue subsequent to the Effective Date. LCPI and each Assignee shall make all appropriate adjustments in payments by the Administrative Agent for periods prior to the Effective Date or with respect to the making of this assignment directly between themselves.
- 4.5 Certain Rights. From and after the Effective Date, (a) each Assignee shall be a party to the Credit Agreement and, to the extent provided in this Global Amendment, have the rights and obligations of a Lender thereunder and under the other Loan Documents and shall be bound by the provisions thereof and (b) LCPI shall, to the extent provided in this Global Amendment, relinquish its rights and be released from its obligations under the Credit Agreement.

#### SECTION 5. MISCELLANEOUS.

5.1 Effectiveness. This Global Amendment shall become effective as of October 20, 1997 (the "Effective Date") when the Administrative Agent shall have received

05-113-09564[2509-97ALBIDL\_AMD]

PATENT REEL: 9052 FRAME: 0665

counterparts of this Global Amendment, duly executed and delivered by the Borrower, LCPL the Administrative Agent and each Lender which signs a counterpart hereof.

- 5.2 Representations and Warranties. After giving effect to the amendments contained herein, on the Effective Date, the Borrower hereby (i) confirms, reaffirms and restates the representations and warranties set forth in Section 4 of the Credit Agreement; provided that each reference in such Section 4 to "this Agreement" shall be deemed to be a reference both to this Global Amendment and to the Credit Agreement as amended by this Global Amendment and (ii) confirms that no Default or Event of Default shall have occurred and be continuing.
- 5.3 Continuing Effect; No Other Amendments. Except as expressly amended or waived hereby, all of the terms and provisions of the Credit Agreement and the other Loan Documents are and shall remain in full force and effect. The amendments contained herein shall not constitute an amendment or waiver of any other provision of the Credit Agreement or the other Loan Documents or for any purpose except as expressly set forth herein.
- 5.4 Counterparts. This Global Amendment may be executed in any number of counterparts by the parties hereto, each of which counterparts when so executed shall be an original, but all the counterparts shall together constitute one and the same instrument.
- 5.5 GOVERNING LAW. THIS GLOBAL AMENDMENT SHALL BE GOVERNED BY, AND CONSTRUED AND INTERPRETED IN ACCORDANCE WITH, THE LAWS OF THE STATE OF NEW YORK.

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PATENT REEL: 9052 FRAME: 0666

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IN WITNESS WHEREOF, the parties hereto have caused this Global Amendment to be executed and delivered by their respective duly authorized officers as of the date first above written.

By:

Title:

By: Syndication Agent and as a Lender

By: Title:

LEHMAN COMMERCIAL PAPER INC., as resigning administrative agent and as Syndication Agent and as a Lender

AXIOHM TRANSACTION SODUTIONS, INC.

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PATENT REEL: 9052 FRAME: 0667

IN WITNESS WHEREOF, the parties hereto have caused this Global Amendment to be executed and delivered by their respective duly authorized officers as of the date first above written.

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AXIOHM TRA	NSACTION SOL	UTIONS, IN	C.
Title:			
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Title: Vice	President		
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IN WITNESS WHEREOF, the parties hereto have caused this Global Amendment to be executed and delivered by their respective duly authorized officers as of the date first above written.

AXIO	HM TRANSACTION SOLUTIONS, INC
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Title	: 13

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- 10 年 - 10 年 - 10 日	SOUTHERN PACIFIC BANK
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	BSB BANK & TRUST COMPANY  By: Title:
	IMPERIAL BANK, A CALIFORNIA BANKING CORPORATION
	By:
	MELLON BANK, N.A.
	By:Title:
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**REEL: 002116 FRAME: 0335** 

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SOUTHERN PACIFIC BANK

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Title: John Vice SAMPERIAL BANCORPORATION	B. Westco President NK, A CAI	M		ANKI	N
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By: GL S Tipe: John: Vice: MPERIAL BAN	B. Westco President NK, A CAI	M		ANKI	N

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By: Title:

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# LENDERS, NOTICE INFORMATION AND COMMITMENTS

ADDRESS FOR NOTICES	REVOLVING CREDIT COMMITMENT	TRANCHE A TERM LOAN COMMITMENT	TRANCHE B TERM LOAN COMMITMENT
Union Bank of California, N.A. 530 B Street, 4th Floor San Diego, California 92101 Attention: Bruce Breslau Telecopy: (619) 230-3766	\$4.500.000	\$4.500,000	
Lehman Commercial Paper Inc. 3 World Financial Center New York, New York 10285 Attention: Michele Swanson Telecopy: (212) 528-0819	\$5,000,000	\$9,500,000	\$15,000.000
Southern Pacific Bank 12300 Wilshire Boulevard Los Angeles, California 90071 Attention Chris Kellcher Felecopy (310) 442-3351	\$2.500.000	\$2.500.000	
BHF-BANK Aktiengesellschaft 590 Madison Avenue New York, New York 10022-2504 Attention: Renate Boston Telecopy (212) 756-5536	\$4,500,000		
BSB Bank & Trust Company 58-68 Exchange Street Binghamton, New York 13901 Attention: John B. Westcott Telecopy: (607) 772-6287	\$2,500,000	\$2.500,000	

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Imperial Back, A California Banking Corporation 9920 S. La Cienega Boulevard. 14th Floor Inglewood, California 90301 Attention: Jamie Harney Telecopy: (310) 417-5997	\$2.500,000	\$2,500,000	
Mellon Bank, N.A. 400 South Hope Street. 5th Floor Los Angeles, California 90071 Attention: Everett Orrick Lelecopy. (213) 629-0484	\$4.500,000	\$4,500,000	
Société Générale 1221 Avenue of the Americas. 11th Floor New York, New York 10020 Attention Nathalie Thullez Paolo Salvi Telecopy: (212) 278-7463	\$4.500,000	\$4.500.000	
Banque Nationale de Paris T25 S. Figueroa Street Suite 2090 Los Angeles, California Attention: Tjalling Terpstra Telecopy: (213) 488-9602	\$4,500,000	\$4.500.000	
TOTAL	\$35,000,000	\$35,000,000	\$15,000,000

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TRADEMARK
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