

09-25-2000



U.S. Department of Commerce
Patent and Trademark Office

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101469912

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 9-13-00
Richard Harvey
 Individual(s) Association
 General Partnership Limited Partnership
 Corporation-State
 Other _____
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and Address of receiving party(ies)
Name: Firstrade Securities Inc.
Address: 136-21 Roosevelt Avenue
3rd Floor
New York, New York 11354

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____
Execution Date: July 25, 2000

Individual(s) citizenship
 Association
 General Partnership
 Limited Partnership
 Corporation-State New York
 Other _____
If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)
Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):
A. Trademark Application No.(s) 75/470384 B. Trademark Registration No.(s)
Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Cowan, Liebowitz & Latman, P.C.
1133 Avenue of the Americas
New York, NY 10036-6799

Attention: Lynn S. Fruchter

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00
 Enclosed
 Any deficiency is authorized to be charged to
 Deposit Account No. 03-3415.

8. Deposit Account No. 03-3415
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lynn S. Fruchter *Lynn S. Fruchter* September 7, 2000
Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: 2

Mail to: U.S. Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, DC 20231

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NMP/NMP/00001/902/208686.1

TRADEMARK
REEL: 002146 FRAME: 0464

TRADEMARK ASSIGNMENT

WHEREAS, **Richard Harvey**, an individual, of 55 West Borough, Wimborne, Dorset BH21 1LX, England ("Assignor") is the owner of US Application No. 75/470384, dated April 20, 1998 for the trademark 1st TRADING and Design (the "Application"), and


WHEREAS, **Firsttrade Securities Inc. f/k/a First Flushing Securities Inc.**, a corporation organized and existing under the laws of the state of New York, of 136-21 Roosevelt Avenue, 3rd Floor, New York, New York 11354, United States of America ("Assignee") is desirous of acquiring that mark and the Application;

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby assign unto Assignee, all of Assignor's right, title and interest in and to the 1st TRADING mark standing alone and/or with any design component (the "Mark"), worldwide, together with the portion of Assignor's business to which the Mark pertains, as well as the goodwill of the business symbolized by it, and any and all applications for it and registrations of it, including but not limited to the Application, as well as the right to file and prosecute applications to register the Mark and to take legal actions against infringements occurring either before or after the date of this assignment and to collect and retain all monetary and other benefits derived from such actions.

Dated: July 25, 2000

RICHARD HARVEY

By: 

Before me: 

Name: D. INNES

Title: Solicitor
12 Great James St.
London.