

10-26-2000



101497209

**RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type** 10-13-00

New

Resubmission (Non-Recordation)  
Document ID #

Correction of PTO Error  
Reel #  Frame #

Corrective Document  
Reel #  Frame #

**Conveyance Type**

Assignment  License

Security Agreement  Nunc Pro Tunc Assignment

Merger  
Effective Date  
Month Day Year

Change of Name

Other

**Conveying Party**  Mark if additional names of conveying parties attached

Name  Execution Date  
Month Day Year

Formerly

Individual  General Partnership  Limited Partnership  Corporation  Association

Other

Citizenship/State of Incorporation/Organization

**Receiving Party**  Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

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City State/Country Zip Code

Individual  General Partnership  Limited Partnership  Corporation  Association

Other

Citizenship/State of Incorporation/Organization

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached. (Designation must be a separate document from Assignment.)

**FOR OFFICE USE ONLY**

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**TRADEMARK**  
REEL: 002162 FRAME: 0886

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Trademark Application Number(s) or Registration Number(s)**

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

**Trademark Application Number(s)**

**Registration Number(s)**

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="1720642"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Emily J. Yukich

Name of Person Signing

  
(Signature)

10/06/00

Date Signed

**ASSIGNMENT AND SALE OF REGISTERED TRADEMARK**

A. LECG, Inc., a Delaware corporation ("Assignor"), having its principal offices at 2000 Powell Street, Suite 600, Emeryville, CA 94608, has adopted and is using the following trademark now registered in the United States Patent and Trademark Office:

<u>Trademark</u>	<u>Registration No.</u>	<u>Date of Registration</u>
LECG	1720642	September 29, 1992

B. Such trademark covers the following goods and services: consulting services, namely, expert analysis, litigation support and management consulting in economics, accounting and finance.

C. LECG, LLC, a California limited liability company ("Assignee"), having its principal place of business at 2000 Powell Street, Suite 600, Emeryville, CA 94608, desires to acquire said registered trademark.

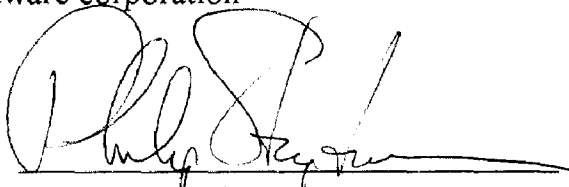
For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby grants and assigns to Assignee all of its right, title and interest in and to said trademark and registration and renewals with the use of and symbolized by said trademark and registration and renewals thereof.

Assignor shall, upon execution of this Assignment, immediately discontinue its use of the aforementioned trademark and make the appropriate filings with the U.S. Patent and Trademark Office to reflect this Assignment.

Each party shall, at the reasonable request of the other, execute and deliver all such further documents as may be necessary or desirable to effectuate the terms and purposes of this Assignment, and to ensure to the respective parties their full rights and entitlement hereunder.

Signed at Chicago, Illinois this 29<sup>th</sup> day of September, 2000.

LECG, Inc.,  
a Delaware corporation

By: 

Name: Philip Steptoe

Its: V.P.

TR 102642



# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME;

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

September 14, 2000

THE ATTACHED U.S. TRADEMARK REGISTRATION 1,720,642 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 10 YEARS FROM *September 29, 1992*

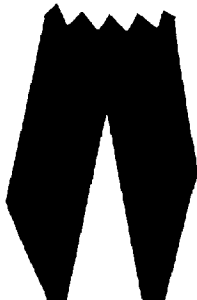
*SECTION 8 & 15*

SAID RECORDS SHOW TITLE TO BE IN:

*LECG, INC.*

*A CA CORP*

By Authority of the  
COMMISSIONER OF PATENTS AND TRADEMARKS



*H. Phillips*  
H. PHILLIPS  
Certifying Officer

TRADEMARK  
REEL: 002162 FRAME: 0889

Int. Cl.: 35

Prior U.S. Cl.: 101

**United States Patent and Trademark Office**

**Reg. No. 1,720,642**

**Registered Sep. 29, 1992**

**SERVICE MARK  
PRINCIPAL REGISTER**

**LECG**

JORDE, THOMAS M. (UNITED STATES CITIZEN)

PARKER PLAZA  
2560 NINTH STREET, SUITE 212  
BERKELEY, CA 94710 AND

TEECE, DAVID C. (UNITED STATES CITIZEN)

PARKER PLAZA  
2560 NINTH STREET, SUITE 212  
BERKELEY, CA 94710

FOR: CONSULTING SERVICES; NAMELY, EXPERT ANALYSIS, LITIGATION SUPPORT AND MANAGEMENT CONSULTING IN ECONOMICS, ACCOUNTING AND FINANCE, IN CLASS 35 (U.S. CL. 101).

FIRST USE 6-13-1989; IN COMMERCE 6-13-1989.

SER. NO. 74-216,820, FILED 10-29-1991.

DARREN COHEN, EXAMINING ATTORNEY

FOLGER LEVIN & KAHN LLP

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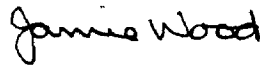
Re: LECG, Inc.

Dear Sir or Madam:

Enclosed please find the original and one copy of Form PTO-1618A/B to assign the trademark of the above-referenced company and our check in the amount of \$40.00. Please return the conformed copy to our office in the envelope provided for your convenience.

If you have any questions, please do not hesitate to contact the undersigned.

Very truly yours,



Jamie Wood  
Legal Secretary

jaw  
Enclosure  
JA58023\1001\0133jaw.ltr

RECORDED: 10/13/2000

TRADEMARK  
REEL: 002162 FRAME: 0891