

9-11-00

FORM PTO-1618A Expires 06/30/99 OMB 0651-0027

ADDRESS.

10-30-2000



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U.S. Department of Commerce Patent and Trademark Office TRADEMARK

RECORDATION FORM COVER SHEET TRADEMARKS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(les). Submission Type Conveyance Type XX New **Assignment** License Resubmission (Non-Recordation) Security Agreement **Nunc Pro Tunc Assignment** Document ID # **Effective Date** Month Day Year Merger Correction of PTO Error Reel # Frame # Change of Name **Corrective Document** Reel # Frame # Other Conveying Party Mark if additional names of conveying parties attached **Execution Date** Month Day Year 2000 Name | Peet's Coffee and Tea, Inc. 09 01 Formerly Individual General Partnership Limited Partnership XX Corporation Association Other Ealifornia XX Citizenship/State of Incorporation/Organization Washington Receiving Party Mark if additional names of receiving parties attached General Electric Capital Corporation Name DBA/AKA/TA Composed of 350 South Beverly Drive Address (line 1) Suite 200 Address (line 2) 90212 California Beverly Hills Address (line 3) Zip Code State/Country If document to be recorded is an **Limited Partnership** General Partnership assignment and the receiving party is Individual not domiciled in the United States, an appointment of a domestic **Association** Corporation representative should be attached. (Designation must be a separate document from Assignment.) Other New York XX Citizenship/State of Incorporation/Organization FOR OFFICE USE ONLY

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TRADEMARK

FORM PTO- Expires 06/30/99 OMB 0651-0027	1618B	Page 2	U.S. Department of Commerce Patent and Trademark Office TRADEMARK
Domestic R	epresentative Name a	nd Address Enter for the first	Receiving Party only.
Name			
Address (line 1)			
Address (line 2)			
Address (line 3)			
Address (line 4)			
Correspond	lent Name and Addres	S Area Code and Telephone Number	415/398-4700
Name	Murphy Sheneman Jul	ian & Rogers	
Address (line 1)	Attention: D. Ela	ine Howard, Legal Assistant	
Address (line 2)	101 California Stre	et, 39th Floor	
Address (line 3)	San Francisco, CA	94111	
Address (line 4)			
Pages	Enter the total number of including any attachment	pages of the attached conveyance d s.	ocument # 12
		or Registration Number(s)	XXX Mark if additional numbers attached
	, ,	Lthe Registration Number (DO NOT ENTER B	OTH numbers for the same property). stration Number(s)
75679535	emark Application Number 75688760 75	496603 1061798	945867 1496949
75692034		787128 955285	1818311 955284
75607850	75486820 76	030395 1500867	2295386 2310873
Number of F	Properties Enter the to	tal number of properties involved.	# 31
Fee Amoun		for Properties Listed (37 CFR 3.41)	: \$ 790 °C
Method o Deposit A	•	losed Deposit Account	
(Enter for p	ayment by deposit account or if ac	dditional fees can be charged to the account.) Deposit Account Number:	# 20-0052
		Authorization to charge additional fees	: Yes No
Statement a	nd Signature		
To the attack indicates at the second	e best of my knowledge and i hed copy is a true copy of the ated herein.	belief, the foregoing information is true a original document. Charges to deposit	and correct and any account are authorized, as
Christi	e Howard, Legal Assi	stant M. Faine Howard	09/05/00
	of Person Signing	Signature	Date Signed

FORM PTO-1618C Expires 06/30/99 OMB 0651-0027

RECORDATION FORM COVER SHEET CONTINUATION TRADEMARKS ONLY

U.S. Department of Commerce Patent and Trademark Office TRADEMARK

Conveying Party Enter Additional Conveying Party	Mark if additional names of conveying parties attached Execution Date Month Day Year
Name Peet's Companies, Inc.	09 01 2000
Formerly	
Individual General Partnership L	Limited Partnership XX Corporation Association
Other	
X Citizenship State of Incorporation/Organization	Washington
Receiving Party Enter Additional Receiving Party	flark if additional names of receiving parties attached
Name	
DBA/AKA/TA	
Composed of	
Address (line 1)	
Address (line 2)	
Address (line 3)	
Individual General Partnership	State/Country Zip Code Limited Partnership If document to be recorded is an assignment and the receiving party is
- Association	not domiciled in the United States, an appointment of a domestic
Corporation Association	representative should be attached (Designation must be a separate
Other	document from the Assignment.)
Citizenship/State of Incorporation/Organization	
Trademark Application Number(s) or Regi	istration Number(s) Mark if additional numbers attached tration Number (DO NOT ENTER BOTH numbers for the same property).
	Registration Number(s)
Trademark Application Number(s) 76030394 76032845	2331751
	2355383
70030370	2219016
76030399	
76033453	2352103
76030825	
76072468	

FORM PTO-1618C Expires 06/30/99 OMB 0651-0027

RECORDATION FORM COVER SHEET CONTINUATION TRADEMARKS ONLY

U.S. Department of Commerce Patent and Trademark Office TRADEMARK

OMB 0651-0027	IRAUE	MARKS UNLT		
Conveying Pa Enter Additional Con	I rty veying Party	Mark if additional names o	f conveying parties attacl	ned Execution Date Month Day Year
Name Pee	t's Trademark Company			09 01 2000
Formerly				
Individual	General Partnership	Limited Partnership XX	Corporation	Association
Other				
	State of Incorporation/Organization	Washington		
Receiving Par Enter Additional Rec		Mark if additional names of receive	ving parties attached	
Name				
DBA/AKA/TA				
Composed of				
Address (line 1)				
Address (line 2)				
Address (line 3)	City	State/Country		ZIp Code
Individual Corporation Other	General Partnership Association	Limited Partnership	not domiciled in a appointment of a	the receiving party is the United States, an domestic to the attached as separate
Citizenship/	State of Incorporation/Organization	1		
Trademark Ap	plication Number(s) or Reg	gistration Number(s)		nal numbers attached
	ademark Application Number or the Regu		egistration Number	
Tradem	nark Application Number(s)			`

TRADEMARK

PATENT, TRADEMARK AND COPYRIGHT SECURITY AGREEMENT

THIS PATENT, TRADEMARK AND COPYRIGHT SECURITY AGREEMENT (as the same may be amended, restated, supplemented or otherwise modified from time to time, the "Agreement"), dated as of September 1, 2000, is made by PEET'S COFFEE AND TEA, INC. ("Borrower"), PEET'S COMPANIES, INC. ("Holdings"), and PEET'S TRADEMARK COMPANY, ("Trademark Co."), each a Washington corporation (collectively, "Grantors," and each individually a "Grantor"), in favor of GENERAL ELECTRIC CAPITAL CORPORATION, a New York corporation ("Lender"), with reference to the following:

RECITALS

- A. Pursuant to that certain Credit Agreement of even date herewith by and among Grantors and Lender (including all annexes, exhibits and schedules thereto, and as the same may be amended, restated, supplemented or otherwise modified from time to time, the "Credit Agreement"), Lender has agreed to extend certain financial accommodations to or for the direct or indirect benefit of Grantors.
- B. Holdings is the holder of 100% of the capital stock of Borrower and Trademark Co., and will derive substantial direct and indirect economic benefit if Lender enters into the Credit Agreement. Trademark Co. is party to license agreements with Borrower and benefits from the financial accommodations made by Lender under the Credit Agreement. Therefore, to guarantee the payment and performance of Borrower's obligations to Lender under the Credit Agreement, (i) Holdings has agreed to execute and deliver to Lender that certain Holdings Guaranty and Pledge Agreement of even date herewith, and (ii) Trademark Co. has agreed to execute and deliver to Lender that certain Continuing Guaranty of even date herewith (collectively, as either of the same may be amended, restated, supplemented or otherwise modified from time to time, the "Guaranty Agreements").
- C. In order to induce Lender to enter into the Credit Agreement and the other Loan Documents and to induce Lender to extend the financial accommodations as provided for in the Credit Agreement, Grantors have agreed to execute and deliver to Lender that certain Security Agreement of even date herewith (as amended, restated, supplemented or otherwise modified from time to time, the "Security Agreement").
- D. Pursuant to the Guaranty Agreements and the Security Agreement, Grantors are required to execute and deliver to Lender this Patent, Trademark and Copyright Security Agreement.

AGREEMENT

NOW, THEREFORE, in consideration of the premises and mutual covenants herein contained and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Grantors and Lender hereby agree as follows:

PATENT, TRADEMARK AND COPYRIGHT SECURITY AGREEMENT

- 1. <u>Definitions</u>. Unless otherwise defined herein, capitalized terms or matters of construction defined or established in <u>Annex A</u> to the Credit Agreement shall be applied herein as defined or established therein. All other undefined terms contained in this Agreement, unless the context indicates otherwise, shall have the meanings provided for by the Code to the extent the same are used or defined therein.
- 2. <u>Grant of Security Interest in Intellectual Property Collateral</u>. Each Grantor hereby grants to Lender, a continuing first priority Lien upon all of such Grantor's right, title and interest in, to and under the following, whether presently existing or hereafter created or acquired (collectively, the "Intellectual Property Collateral"):
- (a) all of such Grantor's Patents and Patent Licenses to which it is a party, including those referred to in Part A to <u>Schedule I</u> hereto, and all reissues, continuations or extensions thereof;
- (b) all of such Grantor's Trademarks and Trademark Licenses to which it is a party, including those referred to in Part B to <u>Schedule I</u> hereto, and all reissues, continuations or extensions thereof;
- (c) all of such Grantor's Copyrights and Copyright Licenses to which it is a party, including those referred to in Part C to <u>Schedule I</u> hereto;
- (d) all Goodwill, trade secrets, proprietary or confidential information, technical information, procedures, formulae, quality control standards, designs, operating and training manuals, customer lists, and other General Intangibles with respect to the foregoing; and
- (e) all Proceeds of the foregoing, including (i) any and all proceeds of any insurance, indemnity, warranty or guaranty payable to any Person from time to time with respect to any of the foregoing, (ii) any and all payments (in any form whatsoever) made or due and payable to any Person from time to time in connection with any requisition, confiscation, condemnation, seizure or forfeiture of all or any part of the foregoing by any Governmental Authority (or any Person acting under color of Governmental Authority), (iii) any claim of any Person against third parties for (A) past, present or future infringement of any Patent or Patent License, (B) past, present or future infringement or dilution of any Copyright, Copyright License, Trademark, or Trademark License, or (C) injury to the Goodwill associated with any License, Patent, Trademark or Copyright, (iv) any recoveries by any Person against third parties with respect to any litigation or dispute concerning any of the foregoing, and (v) any and all other amounts from time to time paid or payable under or in connection with any of the foregoing, upon disposition or otherwise.
- 3. <u>Security Agreement</u>. The Lien granted pursuant to this Agreement is granted in conjunction with the Liens granted to Lender pursuant to the Security Agreement. Each Grantor hereby acknowledges and affirms that the rights and remedies of Lender with respect to the Liens granted under this Agreement are more fully set forth in the Security Agreement, the terms and provisions of which are incorporated by reference herein as if fully set forth herein.

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IN WITNESS WHEREOF, the parties have executed this Patent, Trademark and Copyright Security Agreement as of the date first set forth above.

"Grantors"

PEET'S COFFEE AND TEA, INC.

Mark N. Rudolph

Chief Financial Officer

PEET'S COMPANIES, INC.

Mark N. Rudolph

Chief Financial Officer

PEET'S TRADEMARK COMPANY

Mark N. Rudolph

Chief Financial Officer

Agreed to and Acknowledged by:

"Lender"

GENERAL ELECTRIC CAPITAL CORPORATION

Todd Gronski

Duly Authorized Signatory

SCHEDULE I to PATENT, TRADEMARK AND COPYRIGHT SECURITY AGREEMENT

(PART A)

PATENTS

None as of the Closing Date

(PART B)

TRADEMARKS

1. FEDERAL TRADEMARKS

DESCRIPTION	APPLICATION NO.	REGISTRATION NO.	APPLICATION DATE	REGISTRATION DATE
Garuda Blend	73-090,372	1,061,798	06/14/76	03/22/77
Major Dickason's Blend	72-397,592	945,867	07/16/71	10/24/72
Peet's Mug and Design	73-685,492	1,496,949	09/21/87	07/19/88
Peet's Coffee Tea & Spices and Design	73-397,593	955,285	07/16/71	03/13/73
Peet's Coffee + Tea	74-302,214	1,818,311	08/05/92	01/25/94
Peet's	72-397,590	955,284	07/16/71	03/13/73
Peet's	73-685,493	1,500,867	09/21/87	08/16/88
Blend 101	75-486,819	2,295,386	05/19/98	11/30/99
Peet's Deep-Roast	75-518,496	2,310,873	07/14/98	01/25/00
Peet's Deep-Roasted	75-607,252	2,331,751	12/16/98	03/21/00
Pride of the Port	75-795,888	2,355,383	09/09/99	06/06/00
Sierra Dorada Blend	75-447,887	2,219,016	03/10/98	10/27/98
Summer House	75-796,194	2,352,103	09/09/99	05/23/00
Maduro Blend	75-679,535	PENDING	04/09/99	PENDING

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PATENT, TRADEMARK AND COPYRIGHT SECURITY AGREEMENT

DESCRIPTION	APPLICATION NO.	REGISTRATION NO.	APPLICATION DATE	REGISTRATION DATE
Peet's Coffee of California	75-692,034	PENDING	04/26/99	PENDING
Peet's Deep-Roasting	75-607,850	PENDING	12/16/98	PENDING
Peet's.Com	75-688,760	PENDING	04/22/99	PENDING
Pumphrey's Blend	75-894,752	PENDING	01/12/00	PENDING
Top Blend	75-486,820	PENDING	05/19/98	PENDING
Vine Street Blend	75-496,603	PENDING	06/05/98	PENDING
Xiao's Blend	75-787,128	PENDING	08/27/99	PENDING
Alfredpeet.Com	76-030,395	PENDING	04/20/00	PENDING
Deeproasted.com	76-030,394	PENDING	04/20/00	PENDING
Expressbeans.com	76-030,393	PENDING	04/20/00	PENDING
Freshestcoffee.com	76-030,399	PENDING	04/20/00	PENDING
Freshpeets.com	76-033,453	PENDING	04/20/00	PENDING
Onlyfreshcoffee.com	76-030,825	PENDING	04/20/00	PENDING
Gaia Organic Blend	76-072468	PENDING	06/19/00	PENDING
Peetsbeans.com	76-033,251	PENDING	04/20/00	PENDING
Roastedtoday.com	76-032,845	PENDING	04/20/00	PENDING
Coffee Calendar	75-939,942	PENDING	03/09/00	PENDING

2. FOREIGN TRADEMARKS

COUNTRY	DESCRIPTION	APPLICATION NO.	REGISTRATION NO.	APPLICATION OR REGISTRATION DATE
Argentina	Peet's	2211214		03/31/99
Argentina	Peet's	2211215		03/31/99
Argentina	Peet's Coffee & Tea	2211212		03/31/99
Argentina	Peet's Coffee & Tea	2211213		03/31/99
Argentina	Peet's Coffee of California	2211216		03/31/99

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PATENT, TRADEMARK AND COPYRIGHT SECURITY AGREEMENT

COUNTRY	DESCRIPTION	APPLICATION NO.	REGISTRATION NO.	APPLICATION OR REGISTRATION DATE
Argentina	Peet's Coffee of California	2211217		03/31/99
Argentina	Peet's P and sunburst design	2211210		03/31/99
Argentina	Peet's P and sunburst design	2211211		03/31/99
Australia	Peet's	809946		10/11/99
Australia	Peet's Coffee & Tea 9	809943		10/11/99
Australia	Peet's Coffee of California	809944		10/11/99
Australia	Peet's P and sunburst design	809945		10/11/99
Brazil	Peet's	821567136		04/22/99
Brazil	Peet's	821567152		04/22/99
Brazil	Peet's	832567144		04/22/99
Brazil	Peet's Coffee & Tea	821567276		04/22/99
Brazil	Peet's Coffee & Tea	821567292		04/22/99
Brazil	Peet's Coffee & Tea	821567284		04/22/99
Brazil	Peet's Coffee of California	821567160		04/22/99
Brazil	Peet's Coffee of California	821567187		04/22/99
Brazil	Peet's Coffee of California	821567179		04/22/99
Brazil	Peet's P and sunburse design	821567241		04/22/99
Brazil	Peet's P and sunburst design	821567268		04/22/99
Brazil	Peet's P and sunburst design	821567250		04/22/99

COUNTRY	DESCRIPTION	APPLICATION NO.	REGISTRATION NO.	APPLICATION OR REGISTRATION DATE
Canada	Peet's	750846	465933	Application: 03/29/94 Registration: 11/08/96
Canada	Peet's & mug design	767729	466696	Application: 11/03/94 Registration: 11/29/96
Canada	Peet's Coffee & Tea	767728		11/03/94
Canada	Peet's Coffee of California	1007685		03/08/99
Canada	Peet's P and sunburst design	1007068		03/02/99
Chile	Peet's	464901		10/22/99
Chile	Peet's	464902		10/22/99
Chile	Peet's	464903		10/22/99
Chile	Peet's Coffee and Tea	464904		10/22/99
Chile	Peet's Coffee of California	464905		10/22/99
Chile	Peet's Coffee of California	464906		10/22/99
Chile	Peet's P and sunburst design	464899		10/22/99
Chile	Peet's P and sunburst design	464900		10/22/99
China	Peet's	9900042541		04/23/99
China	Peet's	9900042542		04/23/99
China	Peet's	9900042543		04/23/99
China	Peet's Coffee &	9900042547		04/23/99
China	Peet's Coffee & Tea	9900042548		04/23/99
China	Peet's Coffee & Tea	9900042549		04/23/99
China	Peet's Coffee of California	9900042552		04/23/99

COUNTRY	DESCRIPTION	APPLICATION NO.	REGISTRATION NO.	APPLICATION OR REGISTRATION DATE
China	Peet's Coffee of California	9900042553		04/23/99
China	Peet's Coffee of California	9900042554		04/23/99
China	Peet's P and sunburst design	9900042544		04/23/99
China	Peet's P and sunburst design	9900042545		04/23/99
China	Peet's P and sunburst design	9900042546		04/23/99
Colombia	Peet's	99013472		03/04/99
Colombia	Peet's	99013475		03/04/99
Colombia	Peet's	99013477		03/04/99
Colombia	Peet's Coffee & Tea	99015144		03/11/99
Colombia	Peet's Coffee & Tea	99015147		03/11/99
Colombia	Peet's Coffee & Tea	99015145		03/11/99
Colombia	Peet's Coffee of California	99019186		03/30/99
Colombia	Peet's Coffee of California	99019185		03/30/99
Colombia	Peet's P and sunburst design	99013473		03/04/99
Colombia	Peet's P and sunburst design	99013474		03/04/99
European Community	Peet's Coffee & Tea	1083401		02/22/99
European Community	Peet's	1075589		02/15/99
European Community	Peet's Coffee of California	1077567		03/08/99

COUNTRY	DESCRIPTION	APPLICATION NO.	REGISTRATION NO.	APPLICATION OR REGISTRATION DATE
European Community	Peet's P and sunburst design	1092204		03/02/99
Hong Kong	Peet's	1959/1999	2217/2000	Application: 02/15/99 Registration: 02/02/00
Hong Kong	Peet's	1960/1999	4833/2000	Application: 02/15/99 Registration: 02/02/00
Hong Kong	Peet's	6672/1999	3600/2000	Application: 05/25/99 Registration: 02/29/00
Hong Kong	Peet's Coffee & Tea	3311/1999	4834/2000	Application: 03/18/99 Registration: 03/27/00
Hong Kong	Peet's Coffee & Tea	3312/1999	4835/2000	Application: 03/18/99 Registration: 03/27/00
Hong Kong	Peet's Coffee of California	2734/1999		03/08/99
Hong Kong	Peet's Coffee of California	2735/1999		03/08/99
Hong Kong	Peet's P and sunburst design	2508/1999	1517/2000	Application: 03/02/99 Registration: 01/24/00
Hong Kong	Peet's P and sunburst design	2509/1999		03/02/99
Japan	Peet's	33617/94	4045957	Application: 04/04/94 Registration: 08/22/97
Japan	Peet's	33618/94	4024777	Application: 04/04/94 Registration: 07/11/97
Japan	Peet's		2663026	
Japan	Peet's (in Katakana)	33619/94	4111130	Application: 04/04/94 Registration: 02/06/98
Japan	Peet's (in Katakana)	33620/94	4024778	Application: 04/04/94 Registration: 07/11/97
Japan	Peet's Coffee of California	11-25016		03/19/99
Japan	Peet's P and sunburst design	11-25015	4377049	Application: 03/19/99 Registration: 04/14/00
Korea, South	Peet's	99-539		03/10/99

COUNTRY	DESCRIPTION	APPLICATION NO.	REGISTRATION NO.	APPLICATION OR REGISTRATION DATE
Korea, South	Peet's Coffee & Tea	99-540		03/10/99
Korea, South	Peet's Coffee of California	99-542		03/10/99
Korea, South	Peet's P and sunburst design	99-541		03/10/99
Paraguay	Peet's	2504-99		02/16/99
Paraguay	Peet's	2503-99		02/16/99
Paraguay	Peet's Coffee & Tea	3227-1999		02/24/99
Paraguay	Peet's Coffee & Tea	3228-1999		02/24/99
Paraguay	Peet's Coffee of California	11883-1999		06/10/99
Paraguay	Peet's Coffee of California	11884-1999		06/10/99
Paraguay	Peet's P and sunburst design	3890-1999		03/05/99
Paraguay	Peet's P and sunburst design	3889-1999		03/05/99
Philippines	Peet's Coffee & Tea	4-1999-01288		02/23/99
Philippines	Peet's	4-1999-01046		02/15/99
Philippines	Peet's Coffee of California	4-1999-01589		03/08/99
Philippines	Peet's P and sunburst design	4-1999-01463		03/02/99
Singapore	Peet's	T99/02128Z		03/04/99
Singapore	Peet's	Т99/02129Н		03/04/99
Singapore	Peet's	T99/02130A		03/04/99
Singapore	Peet's Coffee & Tea	T99/02125E	T99/02125E	Application: 03/04/99 Registration: 03/04/99
Singapore	Peet's Coffee & Tea	T99/02126C		03/04/99

				
COUNTRY	DESCRIPTION	APPLICATION NO.	REGISTRATION NO.	APPLICATION OR REGISTRATION DATE
Singapore	Peet's Coffee & Tea	T99/02127A		03/04/99
Singapore	Peet's Coffee of California	T99/03145E	T99/03145E	Application: 03/27/99 Registration: 03/27/99
Singapore	Peet's Coffee of California	T99/03146C		03/27/99
Singapore	Peet's Coffee of California	T99/03147A		03/27/99
Singapore	Peet's P and sunburst design	T99/02153J		03/05/99
Singapore	Peet's P and sunburst design	T99/02154I		03/05/99
Singapore	Peet's P and sunburst design	T99/02155G		03/05/99
Taiwan, Province of China	Peet's	88-007666	883155	Application: 02/05/99 Registration: 02/01/00
Taiwan, Province of China	Peet's	88-007667		02/05/99
Taiwan, Province of China	Peet's Coffee & Tea	88-007670		02/25/99
Taiwan, Province of China	Peet's Coffee & Tea	88-007671		02/25/99
Taiwan, Province of China	Peet's Coffee of California	88-010115		03/10/99
Taiwan, Province of China	Peet's Coffee of California	88-010116		03/10/99
Taiwan, Province of China	Peet's P and sunburst design	88-009663	887244	Application: 03/08/99 Registration: 03/16/00
Taiwan, Province of China	Peet's P and sunburst design	88-009664		03/08/99
Thailand	Peet's	395677		08/23/99
Thailand	Peet's	395678		08/23/99
Thailand	Peet's Coffee & Tea	395681		08/23/99

COUNTRY	DESCRIPTION	APPLICATION NO.	REGISTRATION NO.	APPLICATION OR REGISTRATION DATE
Thailand	Peet's Coffee & Tea	395682		08/23/99
Thailand	Peet's Coffee of California	395683		08/23/99
Thailand	Peet's Coffee of California	395684		08/23/99
Thailand	Peet's P and sunburst design	395679		08/23/99
Thailand	Peet's P and sunburst	395680		08/23/99

(PART C)

COPYRIGHTS

None as of the Closing Date

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PATENT, TRADEMARK AND COPYRIGHT SECURITY AGREEMENT

RECORDED: 09/11/2000