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Patent and Trademark Office

NRD
10/12/00

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)
 Document ID #
☐ Correction of PTO Error
 Reel # _____ Frame # _____
☐ Corrective Document
 Reel # _____ Frame # _____

3. Name of Conveying Party:

Name: **Wall Street Systems, Inc.**

Formerly: _____

MONTH DAY YEAR

Execution Date

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation ☐ Other _____
☐ Citizenship/State of Incorporation/Organization:
New York

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

4. Conveyance Type:

- ☐ Assignment ☐ Merger ☐ License
☒ Security Agreement ☐ Change of Name ☐ Nunc Pro Tunc Assignment
☐ Other _____

Effective Date: _____ (if nunc pro tunc assignment)

2. Name and address of receiving party:

Name: **The Chase Manhattan Bank**

DBA/AKA/TA: _____

Address: **1411 Broadway, 5th Floor**City: **New York** State: **NY** Zip: **10018**

- ☐ Individual ☐ Corporation
☐ Association ☐ Limited Partnership
☐ General Partnership ☒ Other:

Banking Corporation

Citizenship/State of Incorporation:

New YorkAdditional name(s) of receiving parties attached: ☐ Yes ☒ No

If assignee is not domiciled in the United States, a domestic representative designation is attached:

☐ Yes ☐ No

(Designations must be a separate document from Assignment)

5. Application number(s) or registration number(s):

A. Trademark Application No.(s) **75/907,557**Additional numbers attached? ☒ Yes ☐ NoB. Trademark registration No.(s) **2,240,263**

6. Name and address of party to whom correspondence concerning document should be mailed (and Domestic Representative Address, if applicable):

Name: **Tedd W. Van Buskirk****MILBANK, TWEED, HADLEY & McCLOY LLP**Address: **One Chase Manhattan Plaza**City: **New York** State: **NY** Zip: **10005**Area Code and Telephone No: **212-530-5460**7. Total number of applications and registration involved: **19**8. Total fee (37 CFR 3.41): **\$490**☐ Enclosed☒ Authorized to be charged to deposit account9. Deposit account number: **13-3250**Authorized to charge additional fees ☒ Yes ☐ No

11/13/2000 MTHAI1 00000374 133250 75907557

FOR OFFICE USE ONLY

01 FD:481
02 FD:482

State of New York signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Tedd W. Van Buskirk

Name of Person Signing

Signature

Date

Total number of pages: _____
in cover sheet: **3**

Mail Documents to Commissioner of Patents and Trademarks, Box Assignments, Washington DC 20231

CONTINUATION

TRADEMARKS ONLY

Patents and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

11. Conveying Party

☐ Mark if additional names of conveying parties attached.

Enter Additional Conveying Party

Execution Date

Month Day Year

Name

Formerly

☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association

☐ Other

☐ Citizenship State of Incorporation/Organization

12. Receiving Party

Enter Additional Receiving Party

☐ Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed

Address (line 1)

Address (line 2)

Address (line 3)

☐ Individual ☐ General Partnership ☐ Limited Partnership

☐ Corporation ☐ Association

☐ Other

☐ Citizenship/State of Incorporation/Organization

if document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached (Designation must be a separate document from the Assignment).

13. Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers attached **YES**

Enter either the Trademark Application Number or the Registraton Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

76/109,699

75/907,556

76/075,789

2,233,488

76/109,806

75/907,561

75/907,560

2,233,489

75/907,563

75/907,559

76/001,814

75/907,562

76/109,571

76/009,908

CONTINUATION

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Enter Additional Conveying Party

Execution Date

Month Day Year

Name

Formerly

☐ Individual ☐ General Partnership ☐ Limited Partnership ☐ Corporation ☐ Association

☐ Other

☐ Citizenship State of Incorporation/Organization

14. Receiving Party

Enter Additional Receiving Party

☐ Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed

Address (line 1)

Address (line 2)

Address (line 3)

☐ Individual ☐ General Partnership ☐ Limited Partnership

☐ Corporation ☐ Association

☐ Other

☐ Citizenship/State of Incorporation/Organization

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15. Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registraton Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

76/009,909

75/907,558

76/109,570

SCHEDULE OF REGISTERED TRADEMARKS

| Trademark | Appl. No. | Reg. No. | Filing Date | Reg. Date |
|--------------------------------|------------------|-----------------|--------------------|------------------|
| TREASURYRISK.COM | 75/907,557 | | 02/01/2000 | |
| PORTAL MAN | 76/109,699 | | 08/15/2000 | |
| FXORDERLINK | 76/109,806 | | 08/15/2000 | |
| CORPORATE AFFILIATE WEB | 75/907,563 | | 02/01/2000 | |
| CORPORATE SALES WEB | 75/907,562 | | 02/01/2000 | |
| PRICE-A-BOND.COM | 75/907,556 | | 02/01/2000 | |
| MONEYMARKETAUCTION.COM | 75/907,561 | | 02/01/2000 | |
| WSSAUCTIONS.COM | 75/907,559 | | 02/01/2000 | |
| THE SERVICES FACTORY | 76/109,571 | | 08/15/2000 | |
| ETIE | 76/075,789 | | 06/22/2000 | |
| FINANCIALAUCTION.COM | 75/907,560 | | 02/01/2000 | |
| THE WALL STREET SYSTEM | 75/324,215 | 2,240,263 | 07/14/1997 | 04/20/1999 |
| THE ETREASURY STANDARD | 76/001,814 | | 03/16/2000 | |
| MISCELLANEOUS DESIGN | 76/009,908 | | 03/22/2000 | |
| WALL STREET SYSTEMS AND DESIGN | 76/009,909 | | 03/22/2000 | |
| WALL STREET SYSTEMS | 75/324,213 | 2,233,488 | 07/14/1997 | 03/23/1999 |
| WSSFAS133.COM | 75/907,558 | | 02/01/2000 | |
| WSS | 75/324,214 | 2,233,489 | 07/14/1997 | 03/23/1999 |
| MONEY-AT-RISK | 76/109,570 | | 08/15/2000 | |

SHORT-FORM TRADEMARK SECURITY AGREEMENT

WHEREAS, Wall Street Systems, Inc. (the "Debtor") has adopted, used, is using and is the owner of the trademarks listed in the attached Schedule of Registered Trademarks and the registrations and pending applications associated therewith;

WHEREAS, the Debtor has contemporaneously with the execution of this Short-Form Trademark Security Agreement entered into the Security Agreement dated as of October 11, 2000 (the "Security Agreement") in which Debtor has granted certain interests in favor of The Chase Manhattan Bank (the "Secured Party"); and

WHEREAS, pursuant to the Security Agreement, the Debtor has agreed with the Secured Party to execute this Short-Form Trademark Security Agreement;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Debtor hereby assigns, conveys, mortgages, pledges and hereby grants to the Secured Party, according to the terms of the Security Agreement, a security interest in all of its right, title and interest in, to and under all the trademarks of Debtor located in the United States of America, including the trademarks listed on the attached Schedule of Registered Trademarks, and all registrations and pending applications associated therewith, as collateral security for the prompt and complete payment and performance when due of all the Secured Obligations, as such term is defined in the Security Agreement.

Date: October 11, 2000

WALL STREET SYSTEMS, INC.

By: _____

Name: _____

Title: _____

L. Kneip
LUCIEN KNEIP
Chairman

Signed to before me on October 11, 2000

Stephen L. Belmonte

STEPHEN L. BELMONTE
NOTARY PUBLIC, State of New York
No. 01BE0043423
Qualified in New York County

STEPHEN L. BELMONTE
NOTARY PUBLIC, State of New York
No. 01BE0043423
Qualified in New York County
Commission Expires June 19, 2002

NY3:#7253405v1

RECORDED: 10/12/2000

TRADEMARK
REEL: 002173 FRAME: 0432