

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF
Patent and Trade

TRADEMARKS ONLY

11-16-2000



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Tag, settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy there

1. Name of conveying party(ies):

Caradon Thermal-Gard, A Division of
Caradon America, Inc.

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State Virginia
☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

2. Name and address of receiving party(ies)

Name: Thermal-Gard, Inc.

Internal Address:

Street Address: 400 Walnut Street

City/Punxsutawney State: PA ZIP: 15767

- ☐ Individual(s) citizenship
☐ Association
☐ General Partnership
☐ Limited Partnership
☒ Corporation-State Pennsylvania
☐ Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other nunc pro tunc assignment

Execution Date: April 6, 1999

4. Application number(s) or patent number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

1,814,572

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David B. Spear

Internal Address: MARKEL, SCHAFER &

GOLDMAN P.C.

Street Address: 1120 Grant Building

City: Pittsburgh State: PA ZIP: 15219

6. Total number of applications and registrations involved:

1

7. Total fee (37 CFR 2.41) \$ 40

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE:

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David B. Spear

Name of Person Signing

Signature

July 25, 2000

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box 4000
Washington, D.C. 20531



YKLL

03-23-2000

U.S. Patent & TMOrc/TM Mail Rcpt Dt. #22

In re: Registration No. 1,814,572 for the mark
AMERICA'S #1 INSULATED REPLACEMENT WINDOW

* * * * *

AFFIDAVIT OF LOUIS LOOP

AND NOW, before me appeared Louis Loop, who, being duly sworn, deposes and says:

1. My name is Louis Loop.
2. I am the Acting President of Thermal-Gard, Inc.
3. Thermal-Gard, Inc., has its principal place of business at 400 Walnut Street, Punxsutawney, Pennsylvania 15767-1368.

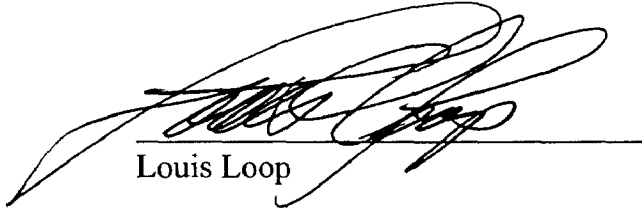
4. Season-All Industries, Inc., adopted and used the "America's #1 Insulated Replacement Window" trademark, which is registered at No. 1,814,572, dated December 28, 1993 (the "Trademark").

5. Season-All Industries, Inc., assigned the Trademark to Caradon Thermal-Gard, a division of Caradon America Inc., on or about April 22, 1994. A copy of the Trademark Recordation Cover Sheet is attached hereto at Exhibit "A" and a copy of the assignment is attached hereto at Exhibit "B".

6. Caradon Thermal-Gard, a division of Caradon America Inc., became Caradon Thermal-Gard, Inc.

7. Nortek, Inc., purchased all of the issued and outstanding shares of capital stock and all of the operating assets of Caradon Thermal-Gard, Inc., pursuant to a purchase agreement (the "Purchase Agreement") dated April 6, 1999.

8. Pursuant to the terms of the Purchase Agreement, Caradon Thermal-Gard, Inc.,
became Thermal-Gard, Inc.


Louis Loop

SWORN TO AND SUBSCRIBED

before me this 20th day of

March, 2000.


Notary Public

