

11-16-2000



101518645

RECORDATION FORM COVER SHEET
TRADEMARKS ONLY

10-30-00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)
Document ID #

Correction of PTO Error
Reel # Frame #

Corrective Document
Reel # Frame #

Conveyance Type

Assignment License

Security Agreement Nunc Pro Tunc Assignment

Merger

Change of Name

Other

Effective Date
Month Day Year
 10 02 2000

Conveying Party

Mark if additional names of conveying parties attached

Name Investnet Advisory Corp. Execution Date
Month Day Year
 10 30 2000

Formerly

Individual General Partnership Limited Partnership Corporation Association

Other

Citizenship/State of Incorporation/Organization Delaware

Receiving Party

Mark if additional names of receiving parties attached

Name Investnet Group, Inc., The

DBA/AKA/TA

Composed of

Address (line 1) 35 East Wacker Drive

Address (line 2)

Address (line 3) Chicago Illinois 60601
City State/Country Zip Code

Individual General Partnership Limited Partnership If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative should be attached (Designation must be a separate document from Assignment.)

Corporation Association

Other

Citizenship/State of Incorporation/Organization Delaware

11/15/2000 AAHMED1 00000061 500926 75830941 FOR OFFICE USE ONLY

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK
REEL: 002176 FRAME: 0226

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$

Method of Payment:

Enclosed Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

James W. Lumberg
Name of Person Signing

James W. Lumberg
Signature

10/30/00
Date Signed

**RECORDATION FORM COVER SHEET
CONTINUATION
TRADEMARKS ONLY**

FORM PTO-1618C
Expires 06/30/99
OMB 0651-0027

U.S. Department of Commerce
Patent and Trademark Office
TRADEMARK

Conveying Party

Enter Additional Conveying Party

Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name

Formerly

Individual General Partnership Limited Partnership Corporation Association

Other

Citizenship State of Incorporation/Organization

Receiving Party

Enter Additional Receiving Party

Mark if additional names of receiving parties attached

Name

DBA/AKA/TA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Corporation Association

Other

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Trademark Application Number(s) or Registration Number(s)

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Trademark Application Number(s)

Registration Number(s)

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ASSIGNMENT

THIS ASSIGNMENT is entered into as of October 2, 2000, by and between, EnvestNet Advisory Corp., a corporation duly organized and existing under the laws of the State of Delaware with its principal place of business at 35 E. Wacker Dr., Chicago, Illinois 60601, as successor in interest to Envestnet.com, Inc., a dissolved Illinois corporation (hereinafter "Assignor"), and The Envestnet Group, Inc., a corporation duly organized and existing under the laws of the State of Delaware with its principal place of business at 35 E. Wacker Dr., Chicago, Illinois 60601 (hereinafter "Assignee").

WHEREAS, Assignor, as set forth in the Affidavit of James W. Lumberg, attached hereto as Exhibit A and made a part hereof, owns all right, title and interest in and to the trademark ENVESTNET (the "Trademark"), has intended to use said trademark in commerce and has filed an application, now assigned Serial Number 75/830,941 (hereinafter "Trademark Application"), indicating that intention, but has not yet filed an allegation of use under §§1(c) or 1(d) of The Trademark Act;

WHEREAS, Assignor is assigning to Assignee all right, title and interest in and to the Trademark and the Trademark Application, including the goodwill of the business symbolized by the Trademark, as part of the entire business or portion thereof to which the mark pertains as required by 15 U.S.C. § 1060; and

WHEREAS, Assignee, as the successor of the ongoing and existing business, or portion thereof, of Assignor to which the mark pertains, wishes to accept such assignment;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, Assignor does hereby assign unto Assignee all right, title and interest in and to the said Trademark and Trademark Application, together with the goodwill of the business symbolized by the Trademark, the same to be held and enjoyed by the Assignee for its own use and benefit, and for its legal representatives and assigns as fully and entirely as the same would have been held by the Assignor had this assignment not been made, including, without limitation, the right to sue for any past infringements of the Trademark.

ASSIGNOR:

ENVESTNET ADVISORY CORP.,
as successor in interest to Envestnet.com, Inc.

By: James W. Lumberg

Its: Co-founder & Managing Director

STATE OF ILLINOIS)
) SS.
COUNTY OF COOK)

SUBSCRIBED AND SWORN TO
before me this 30th day of October, 2000

Janet L. Virva
NOTARY
Commission Expires 3/29/03



ACCEPTED, this 30th day of October, 2000, on behalf of assignee
THE ENVESTNET GROUP, INC.

ASSIGNEE:

THE ENVESTNET GROUP, INC.

By: Janet L. Lumberg

Its: Co-founder & Managing Director

STATE OF ILLINOIS)
) SS.
COUNTY OF COOK)

SUBSCRIBED AND SWORN TO
before me this 30th day of October, 2000

Janet L. Virva
NOTARY
Commission Expires 3/29/03



STATE OF ILLINOIS)
) SS.
COUNTY OF COOK)

AFFIDAVIT OF JAMES W. LUMBERG

I, James W. Lumberg, being duly sworn on oath, depose and state that:

1. I am the Co-founder : Managing Director of InvestNet Advisory Corp., a corporation duly organized and existing under the laws of the State of Delaware with its principal place of business at 33 E. Wacker Dr., Chicago, Illinois 60601.

2. InvestNet Advisory Corp. became the successor in interest to Investnet.com, Inc., a dissolved Illinois corporation, by the merger, effective May 12, 2000, of Investnet.com into InvestNet Advisory Corp.

3. Investnet.com, Inc., owned all right, title and interest in and to the trademark "ENVESTNET" and to the U.S. trademark application for the trademark "ENVESTNET" (Application Serial Number: 75/830,941 - Application Date: October 25, 1999).

4. Pursuant to the merger of Investnet.com, Inc., into Investnet Advisory Corp., on May 12, 2000, InvestNet Advisory Corp. acquired and became the sole owner of all assets of Investnet.com, Inc., including all right, title and interest in and to the trademark

“ENVESTNET” and to the U.S. trademark application for the trademark “ENVESTNET”

(Application Serial Number: 75/830,941 - Application Date: October 25, 1999).

FURTHER AFFIANT SAYETH NOT.

James W. Lumberg
JAMES W. LUMBERG

Subscribed and sworn to before me
this 30th day of October, 2000.

Janet L. Virva
Notary Public

My commission expires:

3/29/03

